Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, I	D.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person AMERICAN EQUITY INVESTMENT (Check all applicable) Bhalla Anant Director X 10% Owner LIFE HOLDING CO [AEL] Officer (give title Other (specify (First) (Middle) below) below) (Last) 3. Date of Earliest Transaction (Month/Day/Year) 01/12/2024 CEO & President 6000 WESTOWN PARKWAY 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person **WEST DES** IA 50266 Form filed by More than One Reporting **MOINES** Person Rule 10b5-1(c) Transaction Indication (City) (State) (Zip) Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 2A. Deemed 1. Title of Security (Instr. 3) 2. Transaction 5. Amount of 6. Ownership 7. Nature Date (Month/Day/Year) Execution Date, Securities Beneficially Transaction Form: Direct if any (Month/Day/Year) Code (Instr. (D) or Indirect Beneficial 8) Owned Following (I) (Instr. 4) Ownership (Instr. 4) Reported (A) or (D) Transaction(s) Price Code ν Amount (Instr. 3 and 4) 01/12/2024 965,160 Common Stock 62,974(1) D \$55 D Common Stock 01/12/2024 D 103,693(2) D \$55 D 861.467 Ē Common Stock 01/12/2024 52,467(3) D \$55.76 809,000 D Common Stock 01/12/2024 F 13,117(3) D \$55.2 795,883 D By Common Stock 2,075 **ESOP** Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 9. Number of 1. Title of 3. Transaction 3A. Deemed Execution Date, 5. Number 7. Title and 8. Price of 11. Nature Conversion Derivative Transaction Expiration Date Amount of Derivative derivative Ownership of Indirect Form: Direct (D) Security (Instr. 3) or Exercise (Month/Day/Year if any (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Securities Security Securities Beneficial Price of Securities Underlying (Instr. 5) Beneficially Ownership Derivative Security Acquired (A) or Derivativ Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security (Instr. Disposed 3 and 4) Reported Transaction(s) of (D) (Instr. 3, 4 (Instr. 4) and 5)

Explanation of Responses:

- 1. Tax withholding on vesting of cash-payable restricted stock units
- 2. Vesting of cash-payable restricted stock units, net of tax withholding.
- 3. Tax withholding on vesting of restricted stock units.

Remarks:

/s/ Anant Bhalla

Title

Expiration

01/17/2024

** Signature of Reporting Person Date

Amount Number

Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Code v (A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date

Exercisable

^{*} If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).