



LIFE, AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2020
OF THE CONDITION AND AFFAIRS OF THE

American Equity Investment Life Insurance Company of New York

NAIC Group Code26582658NAIC Company Code11135Employer's ID Number22-3762465
(Current)(Prior)

Organized under the Laws ofNew York, State of Domicile or Port of EntryNY

Country of DomicileUnited States of America

Licensed as business type:Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized03/01/2001Commenced Business07/01/2001

Statutory Home Office1979 Marcus Avenue, Ste 210Lake Success, NY, US 11042
(Street and Number)(City or Town, State, Country and Zip Code)

Main Administrative Office6000 Westown Pkwy
(Street and Number)
West Des Moines, IA, US 50266-5921866-233-6660
(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Mail AddressPO Box 71157Des Moines, IA, US 50325
(Street and Number or P.O. Box)(City or Town, State, Country and Zip Code)

Primary Location of Books and Records6000 Westown Pkwy
(Street and Number)
West Des Moines, IA, US 50266-5921515-221-0002
(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Internet Website Addresswww.eagle-lifeco.com/american-equity-of-new-york

Statutory Statement ContactScott Anthony Samuelson515-457-1718
(Name)(Area Code) (Telephone Number)
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(E-mail Address)(FAX Number)

OFFICERS

PresidentRonald James GrensteinerChief Financial Officer & TreasurerTed Morris Johnson

Executive Vice President & SecretaryRenee Denise MontzSenior Vice President & Chief Corporate ActuaryChristopher Alan Plucar #

OTHER

Anant Bhalla #

DIRECTORS OR TRUSTEES

Anant Bhalla #Brenda Jean CushingRonald James Grensteiner

Douglas Taylor Healy #Robert Lee HoweTed Morris Johnson

David Stephen Mulcahy

State ofIowaSS:

County ofPolk

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Ronald James GrensteinerPresidentRenee Denise MontzExecutive Vice President & SecretaryTed Morris JohnsonChief Financial Officer & Treasurer

Subscribed and sworn to before me this19th day ofFebruary 2021

Rebecca S Modlin

March 3, 2023

a. Is this an original filing? Yes [X] No []

b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D)	168,398,207		168,398,207	180,969,725
2. Stocks (Schedule D):				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens				
3.2 Other than first liens				
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$				
encumbrances)				
4.2 Properties held for the production of income (less				
\$				
encumbrances)				
4.3 Properties held for sale (less \$				
encumbrances)				
5. Cash (\$714,361, Schedule E - Part 1), cash equivalents				
(\$6,055,000, Schedule E - Part 2) and short-term				
investments (\$, Schedule DA)	6,769,361		6,769,361	2,134,181
6. Contract loans (including \$ premium notes)				
7. Derivatives (Schedule DB)				
8. Other invested assets (Schedule BA)	3,149,682		3,149,682	3,162,428
9. Receivables for securities	1,000,139		1,000,139	
10. Securities lending reinvested collateral assets (Schedule DL)				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	179,317,389		179,317,389	186,266,333
13. Title plants less \$ charged off (for Title insurers				
only)				
14. Investment income due and accrued	1,678,045		1,678,045	1,958,469
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection				
15.2 Deferred premiums and agents' balances and installments booked but				
deferred and not yet due (including \$				
earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$) and				
contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset	2,076,115	1,758,371	317,744	386,344
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets				
(\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	20,572	15,450	5,122	5,283
26. Total assets excluding Separate Accounts, Segregated Accounts and				
Protected Cell Accounts (Lines 12 to 25)	183,092,121	1,773,821	181,318,300	188,616,429
27. From Separate Accounts, Segregated Accounts and Protected Cell				
Accounts				
28. Total (Lines 26 and 27)	183,092,121	1,773,821	181,318,300	188,616,429
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)				
2501. PREPAID ASSETS	15,450	15,450		
2502. STATE INCOME TAX RECOVERABLE	5,122		5,122	5,283
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	20,572	15,450	5,122	5,283

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Year	2 Prior Year
1. Aggregate reserve for life contracts \$ 132,977,889 (Exh. 5, Line 9999999) less \$ included in Line 6.3 (including \$ Modco Reserve)	132,977,889	144,286,442
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)		
3. Liability for deposit-type contracts (Exhibit 7, Line 14, Col. 1) (including \$ Modco Reserve)	1,099,387	830,764
4. Contract claims:		
4.1 Life (Exhibit 8, Part 1, Line 4.4, Col. 1 less sum of Cols. 9, 10 and 11)	838,934	33,776
4.2 Accident and health (Exhibit 8, Part 1, Line 4.4, sum of Cols. 9, 10 and 11)		
5. Policyholders' dividends/refunds to members \$ and coupons \$ due and unpaid (Exhibit 4, Line 10)		
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ Modco)		
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ Modco)		
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ accident and health premiums (Exhibit 1, Part 1, Col. 1, sum of lines 4 and 14)		
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$ ceded		
9.4 Interest maintenance reserve (IMR, Line 6)	543,723	286,702
10. Commissions to agents due or accrued-life and annuity contracts \$ accident and health \$ and deposit-type contract funds \$		
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued (Exhibit 2, Line 12, Col. 7)	42,500	26,519
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes (Exhibit 3, Line 9, Col. 6)	7,700	48,480
15.1 Current federal and foreign income taxes, including \$ on realized capital gains (losses)	566,730	769,639
15.2 Net deferred tax liability		
16. Unearned investment income		
17. Amounts withheld or retained by reporting entity as agent or trustee	11,830	1,655
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	2,171	23,041
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above		
22. Borrowed money \$ and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve (AVR, Line 16, Col. 7)	1,172,694	1,259,407
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	67,312	297
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives		
24.09 Payable for securities	1,779,990	788,137
24.10 Payable for securities lending		
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities		
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	139,110,860	148,354,860
27. From Separate Accounts Statement		
28. Total liabilities (Lines 26 and 27)	139,110,860	148,354,860
29. Common capital stock	2,000,000	2,000,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds		
32. Surplus notes		
33. Gross paid in and contributed surplus (Page 3, Line 33, Col. 2 plus Page 4, Line 51.1, Col. 1)	28,000,000	27,948,754
34. Aggregate write-ins for special surplus funds		
35. Unassigned funds (surplus)	12,207,440	10,312,816
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	40,207,440	38,261,570
38. Totals of Lines 29, 30 and 37 (Page 4, Line 55)	42,207,440	40,261,570
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	181,318,300	188,616,429
DETAILS OF WRITE-INS		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)		
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page		
3199. Totals (Lines 3101 thru 3103 plus 3198)(Line 31 above)		
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page		
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above)		

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SUMMARY OF OPERATIONS

	1 Current Year	2 Prior Year
1. Premiums and annuity considerations for life and accident and health contracts (Exhibit 1, Part 1, Line 20.4, Col. 1, less Col. 11)	322,984	1,614,459
2. Considerations for supplementary contracts with life contingencies		
3. Net investment income (Exhibit of Net Investment Income, Line 17)	7,796,575	8,951,591
4. Amortization of Interest Maintenance Reserve (IMR, Line 5)	23,812	(3,332)
5. Separate Accounts net gain from operations excluding unrealized gains or losses		
6. Commissions and expense allowances on reinsurance ceded (Exhibit 1, Part 2, Line 26.1, Col. 1)		
7. Reserve adjustments on reinsurance ceded		
8. Miscellaneous Income:		
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts		
8.2 Charges and fees for deposit-type contracts		
8.3 Aggregate write-ins for miscellaneous income		37
9. Total (Lines 1 to 8.3)	8,143,371	10,562,755
10. Death benefits		
11. Matured endowments (excluding guaranteed annual pure endowments)		
12. Annuity benefits (Exhibit 8, Part 2, Line 6.4, Cols. 4 + 8)	3,018,697	1,385,938
13. Disability benefits and benefits under accident and health contracts		
14. Coupons, guaranteed annual pure endowments and similar benefits		
15. Surrender benefits and withdrawals for life contracts	12,860,141	15,977,316
16. Group conversions		
17. Interest and adjustments on contract or deposit-type contract funds	60,487	40,520
18. Payments on supplementary contracts with life contingencies	164,876	185,421
19. Increase in aggregate reserves for life and accident and health contracts	(11,308,553)	(11,334,527)
20. Totals (Lines 10 to 19)	4,795,648	6,254,666
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only) (Exhibit 1, Part 2, Line 31, Col. 1)	2,800	50,722
22. Commissions and expense allowances on reinsurance assumed (Exhibit 1, Part 2, Line 26.2, Col. 1)		
23. General insurance expenses and fraternal expenses (Exhibit 2, Line 10, Cols. 1, 2, 3, 4 and 6)	539,138	538,323
24. Insurance taxes, licenses and fees, excluding federal income taxes (Exhibit 3, Line 7, Cols. 1 + 2 + 3 + 5)	161,245	120,356
25. Increase in loading on deferred and uncollected premiums		
26. Net transfers to or (from) Separate Accounts net of reinsurance		
27. Aggregate write-ins for deductions		
28. Totals (Lines 20 to 27)	5,498,830	6,964,068
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	2,644,541	3,598,687
30. Dividends to policyholders and refunds to members		
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	2,644,541	3,598,687
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	549,902	753,565
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	2,094,639	2,845,122
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$(57,824) (excluding taxes of \$74,652 transferred to the IMR)	(217,528)	(56,499)
35. Net income (Line 33 plus Line 34)	1,877,111	2,788,624
CAPITAL AND SURPLUS ACCOUNT		
36. Capital and surplus, December 31, prior year (Page 3, Line 38, Col. 2)	40,261,570	37,437,813
37. Net income (Line 35)	1,877,111	2,788,624
38. Change in net unrealized capital gains (losses) less capital gains tax of \$		
39. Change in net unrealized foreign exchange capital gain (loss)		
40. Change in net deferred income tax	(910)	(4,156)
41. Change in nonadmitted assets	(68,290)	3,590
42. Change in liability for reinsurance in unauthorized and certified companies		
43. Change in reserve on account of change in valuation basis, (increase) or decrease		
44. Change in asset valuation reserve	86,713	35,699
45. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Col. 2 minus Col. 1)		
46. Surplus (contributed to) withdrawn from Separate Accounts during period		
47. Other changes in surplus in Separate Accounts Statement		
48. Change in surplus notes		
49. Cumulative effect of changes in accounting principles		
50. Capital changes:		
50.1 Paid in		
50.2 Transferred from surplus (Stock Dividend)		
50.3 Transferred to surplus		
51. Surplus adjustment:		
51.1 Paid in	51,246	
51.2 Transferred to capital (Stock Dividend)		
51.3 Transferred from capital		
51.4 Change in surplus as a result of reinsurance		
52. Dividends to stockholders		
53. Aggregate write-ins for gains and losses in surplus		
54. Net change in capital and surplus for the year (Lines 37 through 53)	1,945,870	2,823,757
55. Capital and surplus, December 31, current year (Lines 36 + 54) (Page 3, Line 38)	42,207,440	40,261,570
DETAILS OF WRITE-INS		
08.301. MISCELLANEOUS INCOME		37
08.302.		
08.303.		
08.398. Summary of remaining write-ins for Line 8.3 from overflow page		
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398)(Line 8.3 above)		37
2701.		
2702.		
2703.		
2798. Summary of remaining write-ins for Line 27 from overflow page		
2799. Totals (Lines 2701 thru 2703 plus 2798)(Line 27 above)		
5301.		
5302.		
5303.		
5398. Summary of remaining write-ins for Line 53 from overflow page		
5399. Totals (Lines 5301 thru 5303 plus 5398)(Line 53 above)		

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

CASH FLOW

	1	2
	Current Year	Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance	322,984	1,614,459
2. Net investment income	8,240,656	9,379,640
3. Miscellaneous income		37
4. Total (Lines 1 through 3)	8,563,641	10,994,137
5. Benefit and loss related payments	15,238,556	17,581,713
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts		
7. Commissions, expenses paid and aggregate write-ins for deductions	676,575	672,157
8. Dividends paid to policyholders		
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	769,639	525,422
10. Total (Lines 5 through 9)	16,684,770	18,779,292
11. Net cash from operations (Line 4 minus Line 10)	(8,121,129)	(7,785,155)
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	39,521,108	26,947,692
12.2 Stocks		
12.3 Mortgage loans		
12.4 Real estate		
12.5 Other invested assets		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments		
12.7 Miscellaneous proceeds		
12.8 Total investment proceeds (Lines 12.1 to 12.7)	39,521,108	26,947,692
13. Cost of investments acquired (long-term only):		
13.1 Bonds	27,020,368	19,638,239
13.2 Stocks		
13.3 Mortgage loans		
13.4 Real estate		
13.5 Other invested assets		
13.6 Miscellaneous applications		
13.7 Total investments acquired (Lines 13.1 to 13.6)	27,020,368	19,638,239
14. Net increase (decrease) in contract loans and premium notes		
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	12,500,740	7,309,453
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes		
16.2 Capital and paid in surplus, less treasury stock		
16.3 Borrowed funds		
16.4 Net deposits on deposit-type contracts and other insurance liabilities	208,136	(269,606)
16.5 Dividends to stockholders		
16.6 Other cash provided (applied)	47,434	798,668
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	255,570	529,062
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	4,635,181	53,360
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	2,134,181	2,080,820
19.2 End of year (Line 18 plus Line 19.1)	6,769,361	2,134,181

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - SUMMARY

	1	2	3	4	5	6	7	8	9
	Total	Individual Life	Group Life	Individual Annuities	Group Annuities	Accident and Health	Fraternal	Other Lines of Business	YRT Mortality Risk Only
1. Premiums and annuity considerations for life and accident and health contracts	322,984			322,984					
2. Considerations for supplementary contracts with life contingencies		XXX	XXX			XXX	XXX		XXX
3. Net investment income	7,796,575			7,796,575					
4. Amortization of Interest Maintenance Reserve (IMR)	23,812			23,812					
5. Separate Accounts net gain from operations excluding unrealized gains or losses							XXX		
6. Commissions and expense allowances on reinsurance ceded							XXX		
7. Reserve adjustments on reinsurance ceded							XXX		
8. Miscellaneous Income:									
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts							XXX		
8.2 Charges and fees for deposit-type contracts						XXX	XXX		
8.3 Aggregate write-ins for miscellaneous income									
9. Totals (Lines 1 to 8.3)	8,143,371			8,143,371					
10. Death benefits						XXX	XXX		
11. Matured endowments (excluding guaranteed annual pure endowments)						XXX	XXX		
12. Annuity benefits	3,018,697	XXX	XXX	3,018,697		XXX	XXX		XXX
13. Disability benefits and benefits under accident and health contracts							XXX		
14. Coupons, guaranteed annual pure endowments and similar benefits							XXX		
15. Surrender benefits and withdrawals for life contracts	12,860,141			12,860,141		XXX	XXX		
16. Group conversions							XXX		
17. Interest and adjustments on contract or deposit-type contract funds	60,487			60,487			XXX		
18. Payments on supplementary contracts with life contingencies	164,876			164,876		XXX	XXX		
19. Increase in aggregate reserves for life and accident and health contracts	(11,308,553)			(11,308,553)			XXX		
20. Totals (Lines 10 to 19)	4,795,648			4,795,648			XXX		
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	2,800			2,800					XXX
22. Commissions and expense allowances on reinsurance assumed							XXX		
23. General insurance expenses and fraternal expenses	539,138			539,138					
24. Insurance taxes, licenses and fees, excluding federal income taxes	161,245			161,245					
25. Increase in loading on deferred and uncollected premiums							XXX		
26. Net transfers to or (from) Separate Accounts net of reinsurance							XXX		
27. Aggregate write-ins for deductions									
28. Totals (Lines 20 to 27)	5,498,830			5,498,830					
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	2,644,541			2,644,541					
30. Dividends to policyholders and refunds to members							XXX		
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	2,644,541			2,644,541					
32. Federal income taxes incurred (excluding tax on capital gains)	549,902			549,902					
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	2,094,639			2,094,639					
34. Policies/certificates in force end of year	1,758			1,758			XXX		
DETAILS OF WRITE-INS									
08.301.									
08.302.									
08.303.									
08.398. Summary of remaining write-ins for Line 8.3 from overflow page									
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)									
2701.									
2702.									
2703.									
2798. Summary of remaining write-ins for Line 27 from overflow page									
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)									

Analysis of Operations by Lines of Business - Individual Life Insurance

N O N E

Analysis of Operations by Lines of Business - Group Life Insurance

N O N E

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS - INDIVIDUAL ANNUITIES (a)

	1	Deferred				6	7
	Total	Fixed Annuities	Indexed Annuities	Variable Annuities with Guarantees	Variable Annuities Without Guarantees	Life Contingent Payout (Immediate and Annuitizations)	Other Annuities
1. Premiums for individual annuity contracts	322,984	322,984					
2. Considerations for supplementary contracts with life contingencies		XXX	XXX	XXX	XXX		XXX
3. Net investment income	7,796,575	7,549,554				183,092	63,929
4. Amortization of Interest Maintenance Reserve (IMR)	23,812	23,058				559	195
5. Separate Accounts net gain from operations excluding unrealized gains or losses							
6. Commissions and expense allowances on reinsurance ceded							
7. Reserve adjustments on reinsurance ceded							
8. Miscellaneous Income:							
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts							
8.2 Charges and fees for deposit-type contracts							
8.3 Aggregate write-ins for miscellaneous income							
9. Totals (Lines 1 to 8.3)	8,143,371	7,895,596				183,651	64,124
10. Death benefits							
11. Matured endowments (excluding guaranteed annual pure endowments)							
12. Annuity benefits	3,018,697	2,753,520				265,177	
13. Disability benefits and benefits under accident and health contracts							
14. Coupons, guaranteed annual pure endowments and similar benefits							
15. Surrender benefits and withdrawals for life contracts	12,860,141	12,860,141					
16. Group conversions							
17. Interest and adjustments on contract or deposit-type contract funds	60,487						60,487
18. Payments on supplementary contracts with life contingencies	164,876					164,876	
19. Increase in aggregate reserves for life and accident and health contracts	(11,308,553)	(10,918,032)				(390,521)	
20. Totals (Lines 10 to 19)	4,795,648	4,695,629				39,532	60,487
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	2,800	2,800					
22. Commissions and expense allowances on reinsurance assumed							
23. General insurance expenses	539,138	522,056				12,661	4,421
24. Insurance taxes, licenses and fees, excluding federal income taxes	161,245	156,136				3,787	1,322
25. Increase in loading on deferred and uncollected premiums							
26. Net transfers to or (from) Separate Accounts net of reinsurance							
27. Aggregate write-ins for deductions							
28. Totals (Lines 20 to 27)	5,498,830	5,376,621				55,980	66,229
29. Net gain from operations before dividends to policyholders, refunds to members and federal income taxes (Line 9 minus Line 28)	2,644,541	2,518,975				127,671	(2,105)
30. Dividends to policyholders and refunds to members							
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	2,644,541	2,518,975				127,671	(2,105)
32. Federal income taxes incurred (excluding tax on capital gains)	549,902	523,792				26,548	(438)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	2,094,639	1,995,183				101,123	(1,667)
34. Policies/certificates in force end of year	1,758	1,682				62	14
DETAILS OF WRITE-INS							
08.301.							
08.302.							
08.303.							
08.398. Summary of remaining write-ins for Line 8.3 from overflow page							
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)							
2701.							
2702.							
2703.							
2798. Summary of remaining write-ins for Line 27 from overflow page							
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)							

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which which columns are affected.

Analysis of Operations by Lines of Business - Group Annuities

N O N E

Analysis of Operations by Lines of Business - Accident and Health

N O N E

Analysis of Increase in Reserves During the Year - Individual Life Insurance

N O N E

Analysis of Increase in Reserves During the Year - Group Life Insurance

N O N E

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
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ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - INDIVIDUAL ANNUITIES ^(a)

	1 Total	Deferred				6 Life Contingent Payout (Immediate and Annuitizations)	7 Other Annuities
		2 Fixed Annuities	3 Indexed Annuities	4 Variable Annuities with Guarantees	5 Variable Annuities without Guarantees		
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)							
1. Reserve December 31 of prior year	144,286,442	140,747,298				3,539,144	
2. Tabular net premiums or considerations	322,984	322,984					
3. Present value of disability claims incurred	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4. Tabular interest	6,141,560	5,978,112				163,448	
5. Tabular less actual reserve released	(2,534,540)	(2,410,625)				(123,915)	
6. Increase in reserve on account of change in valuation basis							
7. Other increases (net)							
8. Totals (Lines 1 to 7)	148,216,446	144,637,769				3,578,677	
9. Tabular cost							
10. Reserves released by death	XXX	XXX	XXX	XXX	XXX	XXX	XXX
11. Reserves released by other terminations (net)	3,464,259	3,464,259					
12. Annuity, supplementary contract and disability payments involving life contingencies	11,774,297	11,344,244				430,053	
13. Net transfers to or (from) Separate Accounts							
14. Total Deductions (Lines 9 to 13)	15,238,556	14,808,503				430,053	
15. Reserve December 31 of current year	132,977,890	129,829,266				3,148,624	
Cash Surrender Value and Policy Loans							
16. CSV Ending balance December 31, current year	119,354,067	119,354,067					
17. Amount Available for Policy Loans Based upon Line 16 CSV							

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ANALYSIS OF INCREASE IN RESERVES DURING THE YEAR - GROUP ANNUITIES ^(a)
(N/A Fraternal)

	1 Total	Deferred				6 Life Contingent Payout (Immediate and Annuitizations)	7 Other Annuities
		2 Fixed Annuities	3 Indexed Annuities	4 Variable Annuities with Guarantees	5 Variable Annuities without Guarantees		
Involving Life or Disability Contingencies (Reserves) (Net of Reinsurance Ceded)							
1. Reserve December 31 of prior year							
2. Tabular net premiums or considerations							
3. Present value of disability claims incurred	xxx	xxx	xxx	xxx	xxx	xxx	xxx
4. Tabular interest							
5. Tabular less actual reserve released							
6. Increase in reserve on account of change in valuation basis							
7. Other increases (net)							
8. Totals (Lines 1 to 7)							
9. Tabular cost							
10. Reserves released by death	xxx	xxx	xxx	xxx	xxx	xxx	xxx
11. Reserves released by other terminations (net)							
12. Annuity, supplementary contract and disability payments involving life contingencies							
13. Net transfers to or (from) Separate Accounts							
14. Total Deductions (Lines 9 to 13)							
15. Reserve December 31 of current year							
Cash Surrender Value and Policy Loans							
16. CSV Ending balance December 31, current year							
17. Amount Available for Policy Loans Based upon Line 16 CSV							

(a) Indicate if blocks of business in run-off that comprise less than 5% of premiums and less than 5% of reserve and loans liability are aggregated with material blocks of business and which columns are affected.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
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EXHIBIT OF NET INVESTMENT INCOME

		1	2
		Collected During Year	Earned During Year
1.	U.S. Government bonds	(a) 20,582 20,597
1.1	Bonds exempt from U.S. tax	(a)
1.2	Other bonds (unaffiliated)	(a) 8,285,368 8,012,035
1.3	Bonds of affiliates	(a)
2.1	Preferred stocks (unaffiliated)	(b)
2.11	Preferred stocks of affiliates	(b)
2.2	Common stocks (unaffiliated)
2.21	Common stocks of affiliates
3.	Mortgage loans	(c)
4.	Real estate	(d)
5	Contract loans
6	Cash, cash equivalents and short-term investments	(e) 24,531 17,425
7	Derivative instruments	(f)
8.	Other invested assets 175,629 175,629
9.	Aggregate write-ins for investment income (6) (6)
10.	Total gross investment income	8,506,105	8,225,680
11.	Investment expenses		(g) 429,105
12.	Investment taxes, licenses and fees, excluding federal income taxes		(g)
13.	Interest expense		(h)
14.	Depreciation on real estate and other invested assets		(i)
15.	Aggregate write-ins for deductions from investment income
16.	Total deductions (Lines 11 through 15) 429,105
17.	Net investment income (Line 10 minus Line 16)		7,796,575
DETAILS OF WRITE-INS			
0901.	Miscellaneous Interest Income	(6)	(6)
0902.		
0903.		
0998.	Summary of remaining write-ins for Line 9 from overflow page		
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	(6)	(6)
1501.		
1502.		
1503.		
1598.	Summary of remaining write-ins for Line 15 from overflow page		
1599.	Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		

- (a) Includes \$ 276,661 accrual of discount less \$ 647,798 amortization of premium and less \$ 87,690 paid for accrued interest on purchases.
- (b) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued dividends on purchases.
- (c) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued interest on purchases.
- (d) Includes \$ for company's occupancy of its own buildings; and excludes \$ interest on encumbrances.
- (e) Includes \$ accrual of discount less \$ amortization of premium and less \$ paid for accrued interest on purchases.
- (f) Includes \$ accrual of discount less \$ amortization of premium.
- (g) Includes \$ investment expenses and \$ investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ interest on surplus notes and \$ interest on capital notes.
- (i) Includes \$ depreciation on real estate and \$ depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

		1	2	3	4	5
		Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1.	U.S. Government bonds					
1.1	Bonds exempt from U.S. tax					
1.2	Other bonds (unaffiliated) 322,937 (242,807) 80,130		
1.3	Bonds of affiliates					
2.1	Preferred stocks (unaffiliated)					
2.11	Preferred stocks of affiliates					
2.2	Common stocks (unaffiliated)					
2.21	Common stocks of affiliates					
3.	Mortgage loans					
4.	Real estate					
5.	Contract loans					
6.	Cash, cash equivalents and short-term investments					
7.	Derivative instruments					
8.	Other invested assets					
9.	Aggregate write-ins for capital gains (losses)					
10.	Total capital gains (losses)	322,937	(242,807)	80,130		
DETAILS OF WRITE-INS						
0901.					
0902.					
0903.					
0998.	Summary of remaining write-ins for Line 9 from overflow page					
0999.	Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)					

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

EXHIBIT - 1 PART 1 - PREMIUMS AND ANNUITY CONSIDERATIONS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

	1	2	Ordinary		5	Insurance Group		Accident and Health			11	12
			3	4		6	7	8	9	10		
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)
FIRST YEAR (other than single)												
1. Uncollected												
2. Deferred and accrued												
3. Deferred , accrued and uncollected:												
3.1 Direct												
3.2 Reinsurance assumed												
3.3 Reinsurance ceded												
3.4 Net (Line 1 + Line 2)												
4. Advance												
5. Line 3.4 - Line 4												
6. Collected during year:												
6.1 Direct	80,000			80,000								
6.2 Reinsurance assumed												
6.3 Reinsurance ceded												
6.4 Net	80,000			80,000								
7. Line 5 + Line 6.4	80,000			80,000								
8. Prior year (uncollected + deferred and accrued - advance)												
9. First year premiums and considerations:												
9.1 Direct	80,000			80,000								
9.2 Reinsurance assumed												
9.3 Reinsurance ceded												
9.4 Net (Line 7 - Line 8)	80,000			80,000								
SINGLE												
10. Single premiums and considerations:												
10.1 Direct												
10.2 Reinsurance assumed												
10.3 Reinsurance ceded												
10.4 Net												
RENEWAL												
11. Uncollected												
12. Deferred and accrued												
13. Deferred, accrued and uncollected:												
13.1 Direct												
13.2 Reinsurance assumed												
13.3 Reinsurance ceded												
13.4 Net (Line 11 + Line 12)												
14. Advance												
15. Line 13.4 - Line 14												
16. Collected during year:												
16.1 Direct	242,984			242,984								
16.2 Reinsurance assumed												
16.3 Reinsurance ceded												
16.4 Net	242,984			242,984								
17. Line 15 + Line 16.4	242,984			242,984								
18. Prior year (uncollected + deferred and accrued - advance)												
19. Renewal premiums and considerations:												
19.1 Direct	242,984			242,984								
19.2 Reinsurance assumed												
19.3 Reinsurance ceded												
19.4 Net (Line 17 - Line 18)	242,984			242,984								
TOTAL												
20. Total premiums and annuity considerations:												
20.1 Direct	322,984			322,984								
20.2 Reinsurance assumed												
20.3 Reinsurance ceded												
20.4 Net (Lines 9.4 + 10.4 + 19.4)	322,984			322,984								

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

EXHIBIT - 1 PART 2 - POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED, REINSURANCE COMMISSIONS AND
EXPENSE ALLOWANCES AND COMMISSIONS INCURRED (Direct Business Only)

Insurance												
	1	2	Ordinary		5	Group		Accident and Health			11	12
			3	4		6	7	8	9	10		
	Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other	Aggregate of All Other Lines of Business	Fraternal (Fraternal Benefit Societies Only)
POLICYHOLDERS' DIVIDENDS, REFUNDS TO MEMBERS AND COUPONS APPLIED (included in Part 1)												
21. To pay renewal premiums												
22. All other												
REINSURANCE COMMISSIONS AND EXPENSE ALLOWANCES INCURRED												
23. First year (other than single):												
23.1 Reinsurance ceded												
23.2 Reinsurance assumed												
23.3 Net ceded less assumed												
24. Single:												
24.1 Reinsurance ceded												
24.2 Reinsurance assumed												
24.3 Net ceded less assumed												
25. Renewal:												
25.1 Reinsurance ceded												
25.2 Reinsurance assumed												
25.3 Net ceded less assumed												
26. Totals:												
26.1 Reinsurance ceded (Page 6, Line 6)												
26.2 Reinsurance assumed (Page 6, Line 22)												
26.3 Net ceded less assumed												
COMMISSIONS INCURRED (direct business only)												
27. First year (other than single)	2,800			2,800								
28. Single												
29. Renewal												
30. Deposit-type contract funds												
31. Totals (to agree with Page 6, Line 21)	2,800			2,800								

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

EXHIBIT 2 - GENERAL EXPENSES

	Insurance				5	6	7
	1	Accident and Health		4			
		2	3				
	Life	Cost Containment	All Other	All Other Lines of Business	Investment	Fraternal	Total
1. Rent	31,540						31,540
2. Salaries and wages	36,000						36,000
3.11 Contributions for benefit plans for employees	11,895						11,895
3.12 Contributions for benefit plans for agents							
3.21 Payments to employees under non-funded benefit plans							
3.22 Payments to agents under non-funded benefit plans							
3.31 Other employee welfare							
3.32 Other agent welfare							
4.1 Legal fees and expenses	51,246						51,246
4.2 Medical examination fees							
4.3 Inspection report fees							
4.4 Fees of public accountants and consulting actuaries	125,218						125,218
4.5 Expense of investigation and settlement of policy claims							
5.1 Traveling expenses							
5.2 Advertising							
5.3 Postage, express, telegraph and telephone	7,249						7,249
5.4 Printing and stationery	5,095						5,095
5.5 Cost or depreciation of furniture and equipment							
5.6 Rental of equipment							
5.7 Cost or depreciation of EDP equipment and software	5,657						5,657
6.1 Books and periodicals							
6.2 Bureau and association fees	10,300						10,300
6.3 Insurance, except on real estate	571						571
6.4 Miscellaneous losses							
6.5 Collection and bank service charges	5,051						5,051
6.6 Sundry general expenses	(289)						(289)
6.7 Group service and administration fees	250,047						250,047
6.8 Reimbursements by uninsured plans							
7.1 Agency expense allowance							
7.2 Agents' balances charged off (less \$ recovered)	(442)						(442)
7.3 Agency conferences other than local meetings							
8.1 Official publication (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX		
8.2 Expense of supreme lodge meetings (Fraternal Benefit Societies Only)	XXX	XXX	XXX	XXX	XXX		
9.1 Real estate expenses							
9.2 Investment expenses not included elsewhere					429,105		429,105
9.3 Aggregate write-ins for expenses							
10. General expenses incurred	539,138				429,105	(b)	(a) 968,243
11. General expenses unpaid Dec. 31, prior year	26,519						26,519
12. General expenses unpaid Dec. 31, current year	42,500						42,500
13. Amounts receivable relating to uninsured plans, prior year							
14. Amounts receivable relating to uninsured plans, current year							
15. General expenses paid during year (Lines 10+11-12-13+14)	523,157				429,105		952,262
DETAILS OF WRITE-INS							
09.301.							
09.302.							
09.303.							
09.398. Summary of remaining write-ins for Line 9.3 from overflow page.....							
09.399. Totals (Lines 09.301 thru 09.303 plus 09.398) (Line 9.3 above)							

(a) Includes management fees of \$ 418,715 to affiliates and \$ to non-affiliates.
(b) Show the distribution of this amount in the following categories (Fraternal Benefit Societies Only):
1. Charitable \$; 2. Institutional \$; 3. Recreational and Health \$; 4. Educational \$
5. Religious \$; 6. Membership \$; 7. Other \$; 8. Total \$

EXHIBIT 3 - TAXES, LICENSES AND FEES (EXCLUDING FEDERAL INCOME TAXES)

		Insurance			4	5	6
		1	2	3			
		Life	Accident and Health	All Other Lines of Business	Investment	Fraternal	Total
1.	Real estate taxes						
2.	State insurance department licenses and fees	157,479					157,479
3.	State taxes on premiums						
4.	Other state taxes, including \$ (28) for employee benefits	(28)					(28)
5.	U.S. Social Security taxes	2,566					2,566
6.	All other taxes	1,228					1,228
7.	Taxes, licenses and fees incurred	161,245					161,245
8.	Taxes, licenses and fees unpaid Dec. 31, prior year	48,480					48,480
9.	Taxes, licenses and fees unpaid Dec. 31, current year	7,700					7,700
10.	Taxes, licenses and fees paid during year (Lines 7 + 8 - 9)	202,025					202,025

EXHIBIT 4 - DIVIDENDS OR REFUNDS

	1 Life	2 Accident and Health
1. Applied to pay renewal premiums		
2. Applied to shorten the endowment or premium-paying period		
3. Applied to provide paid-up additions		
4. Applied to provide paid-up annuities		
5. Total Lines 1 through 4		
6. Paid in cash		
7. Left on deposit		
8. Aggregate write-ins for dividend or refund options		
9. Total Lines 5 through 8		
10. Amount due and unpaid		
11. Provision for dividends or refunds payable in the following calendar year		
12. Terminal dividends		
13. Provision for deferred dividend contracts		
14. Amount provisionally held for deferred dividend contracts not included on Line 13		
15. Total Lines 10 through 14		
16. Total from prior year		
17. Total dividends or refunds (Lines 9 + 15 - 16)		
DETAILS OF WRITE-INS		
0801.		
0802.		
0803.		
0898. Summary of remaining write-ins for Line 8 from overflow page		
0899. Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above)		

EXHIBIT 5 - AGGREGATE RESERVE FOR LIFE CONTRACTS

(a) Included in the above table are amounts of deposit-type contracts that originally contained a mortality risk. Amounts of deposit-type contracts in Column 2 that no longer contain a mortality risk are Life Insurance \$; Annuities \$167,162; Supplementary Contracts with Life Contingencies \$283,847; Accidental Death Benefits \$; Disability - Active Lives \$; Disability - Disabled Lives \$; Miscellaneous Reserves \$

EXHIBIT 5 - INTERROGATORIES

1.1

Has the reporting entity ever issued both participating and non-participating contracts?

Yes [] No [X]

1.2

If not, state which kind is issued.
NON-PARTICIPATING

2.1

Does the reporting entity at present issue both participating and non-participating contracts?

Yes [] No [X]

2.2

If not, state which kind is issued.
NON-PARTICIPATING

3.

Does the reporting entity at present issue or have in force contracts that contain non-guaranteed elements?
If so, attach a statement that contains the determination procedures, answers to the interrogatories and an actuarial opinion as described in the instructions.

Yes [X] No []

4.

Has the reporting entity any assessment or stipulated premium contracts in force?
If so, state:
4.1 Amount of insurance?
4.2 Amount of reserve?
4.3 Basis of reserve:

4.4 Basis of regular assessments:

4.5 Basis of special assessments:

4.6 Assessments collected during the year

\$

\$

5.

If the contract loan interest rate guaranteed in any one or more of its currently issued contracts is less than 5%, not in advance, state the contract loan rate guarantees on any such contracts.

6.

Does the reporting entity hold reserves for any annuity contracts that are less than the reserves that would be held on a standard basis?
6.1 If so, state the amount of reserve on such contracts on the basis actually held:
6.2 That would have been held (on an exact or approximate basis) using the actual ages of the annuitants; the interest rate(s) used in 6.1; and the same mortality basis used by the reporting entity for the valuation of comparable annuity benefits issued to standard lives. If the reporting entity has no comparable annuity benefits for standard lives to be valued, the mortality basis shall be the table most recently approved by the state of domicile for valuing individual annuity benefits:
Attach statement of methods employed in their valuation.

Yes [] No [X]

\$

\$

7.

Does the reporting entity have any Synthetic GIC contracts or agreements in effect as of December 31 of the current year?
7.1 If yes, state the total dollar amount of assets covered by these contracts or agreements
7.2 Specify the basis (fair value, amortized cost, etc.) for determining the amount:

7.3 State the amount of reserves established for this business:
7.4 Identify where the reserves are reported in the blank:

Yes [] No [X]

\$

\$

8.

Does the reporting entity have any Contingent Deferred Annuity contracts or agreements in effect as of December 31 of the current year?
8.1 If yes, state the total dollar amount of account value covered by these contracts or agreements:
8.2 State the amount of reserves established for this business:
8.3 Identify where the reserves are reported in the blank:

Yes [] No [X]

\$

\$

9.

Does the reporting entity have any Guaranteed Lifetime Income Benefit contracts, agreements or riders in effect as of December 31 of the current year?
9.1 If yes, state the total dollar amount of any account value associated with these contracts, agreements or riders:
9.2 State the amount of reserves established for this business:
9.3 Identify where the reserves are reported in the blank:

Yes [] No [X]

\$

\$

EXHIBIT 5A - CHANGES IN BASES OF VALUATION DURING THE YEAR

1	Valuation Basis		4 Increase in Actuarial Reserve Due to Change
	2	3	
Description of Valuation Class	Changed From	Changed To	
	NONE		
9999999 - Total (Column 4, only)			

Exhibit 6 - Aggregate Reserves for Accident and Health Contracts

N O N E

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
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EXHIBIT 7 - DEPOSIT TYPE CONTRACTS

	1	2	3	4	5	6
	Total	Guaranteed Interest Contracts	Annuities Certain	Supplemental Contracts	Dividend Accumulations or Refunds	Premium and Other Deposit Funds
1. Balance at the beginning of the year before reinsurance	830,765		620,938	209,827		
2. Deposits received during the year	532,679			532,679		
3. Investment earnings credited to the account	33,921		18,793	15,128		
4. Other net change in reserves	26,565		(14,092)	40,657		
5. Fees and other charges assessed						
6. Surrender charges						
7. Net surrender or withdrawal payments	324,543		213,390	111,153		
8. Other net transfers to or (from) Separate Accounts						
9. Balance at the end of current year before reinsurance (Lines 1+2+3+4-5-6-7-8)	1,099,387		412,249	687,138		
10. Reinsurance balance at the beginning of the year						
11. Net change in reinsurance assumed						
12. Net change in reinsurance ceded						
13. Reinsurance balance at the end of the year (Lines 10+11-12)						
14. Net balance at the end of current year after reinsurance (Lines 9 + 13)	1,099,387		412,249	687,138		

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 1 - Liability End of Current Year											
		1	2	Ordinary		6	Group		Accident and Health		
				3	4		7	8	9	10	11
		Total	Industrial Life	Life Insurance	Individual Annuities	Credit Life (Group and Individual)	Life Insurance	Annuities	Group	Credit (Group and Individual)	Other
1.	Due and unpaid:										
	1.1 Direct										
	1.2 Reinsurance assumed										
	1.3 Reinsurance ceded										
	1.4 Net										
2.	In course of settlement:										
	2.1 Resisted										
	2.11 Direct										
	2.12 Reinsurance assumed										
	2.13 Reinsurance ceded										
	2.14 Net			(b)	(b)	(b)	(b)				
	2.2 Other										
	2.21 Direct	838,934			838,934						
	2.22 Reinsurance assumed										
	2.23 Reinsurance ceded										
	2.24 Net	838,934		(b)	(b) 838,934	(b)	(b)		(b)	(b)	(b)
3.	Incurred but unreported:										
	3.1 Direct										
	3.2 Reinsurance assumed										
	3.3 Reinsurance ceded										
	3.4 Net			(b)	(b)	(b)	(b)		(b)	(b)	(b)
4.	TOTALS										
	4.1 Direct	838,934			838,934						
	4.2 Reinsurance assumed										
	4.3 Reinsurance ceded										
	4.4 Net	838,934	(a)	(a)	838,934		(a)				

(a) Including matured endowments (but not guaranteed annual pure endowments) unpaid amounting to \$ in Column 2, \$ in Column 3 and \$ in Column 7.

(b) Include only portion of disability and accident and health claim liabilities applicable to assumed "accrued" benefits. Reserves (including reinsurance assumed and net of reinsurance ceded) for unaccrued benefits for Ordinary Life Insurance \$
Individual Annuities \$, Credit Life (Group and Individual) \$, and Group Life \$, are included in Page 3, Line 1, (See Exhibit 5, Section on Disability Disabled Lives); and for Group Accident and Health \$
Credit (Group and Individual) Accident and Health \$, and Other Accident and Health \$ are included in Page 3, Line 2 (See Exhibit 6, Claim Reserve).

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

EXHIBIT 8 - CLAIMS FOR LIFE AND ACCIDENT AND HEALTH CONTRACTS

PART 2 - Incurred During the Year

	1 Total	2 Industrial Life (a)	Ordinary			6 Credit Life (Group and Individual)	Group		Accident and Health		
			3 Life Insurance (b)	4 Individual Annuities	5 Supplementary Contracts		7 Life Insurance (c)	8 Annuities	9 Group	10 Credit (Group and Individual)	11 Other
1. Settlements During the Year:											
1.1 Direct	2,378,416			2,213,539	164,876						
1.2 Reinsurance assumed											
1.3 Reinsurance ceded											
1.4 Net (d)	2,378,416			2,213,539	164,876						
2. Liability December 31, current year from Part 1:											
2.1 Direct	838,934			838,934							
2.2 Reinsurance assumed											
2.3 Reinsurance ceded											
2.4 Net	838,934			838,934							
3. Amounts recoverable from reinsurers December 31, current year											
4. Liability December 31, prior year:											
4.1 Direct	33,776			33,776							
4.2 Reinsurance assumed											
4.3 Reinsurance ceded											
4.4 Net	33,776			33,776							
5. Amounts recoverable from reinsurers December 31, prior year											
6. Incurred Benefits											
6.1 Direct	3,183,574			3,018,697	164,876						
6.2 Reinsurance assumed											
6.3 Reinsurance ceded											
6.4 Net	3,183,574			3,018,697	164,876						

(a) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ in Line 1.1, \$ in Line 1.4.
\$ in Line 6.1, and \$ in Line 6.4.

(b) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ in Line 1.1, \$ in Line 1.4.
\$ in Line 6.1, and \$ in Line 6.4.

(c) Including matured endowments (but not guaranteed annual pure endowments) amounting to \$ in Line 1.1, \$ in Line 1.4.
\$ in Line 6.1, and \$ in Line 6.4.

(d) Includes \$ premiums waived under total and permanent disability benefits.

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

EXHIBIT OF NON-ADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D)			
2. Stocks (Schedule D):			
2.1 Preferred stocks			
2.2 Common stocks			
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens			
3.2 Other than first liens			
4. Real estate (Schedule A):			
4.1 Properties occupied by the company			
4.2 Properties held for the production of income			
4.3 Properties held for sale			
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)			
6. Contract loans			
7. Derivatives (Schedule DB)			
8. Other invested assets (Schedule BA)			
9. Receivables for securities			
10. Securities lending reinvested collateral assets (Schedule DL)			
11. Aggregate write-ins for invested assets			
12. Subtotals, cash and invested assets (Lines 1 to 11)			
13. Title plants (for Title insurers only)			
14. Investment income due and accrued			
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection			
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due ..			
15.3 Accrued retrospective premiums and contracts subject to redetermination			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers			
16.2 Funds held by or deposited with reinsured companies			
16.3 Other amounts receivable under reinsurance contracts			
17. Amounts receivable relating to uninsured plans			
18.1 Current federal and foreign income tax recoverable and interest thereon			
18.2 Net deferred tax asset	1,758,371	1,690,681	(67,690)
19. Guaranty funds receivable or on deposit			
20. Electronic data processing equipment and software			
21. Furniture and equipment, including health care delivery assets			
22. Net adjustment in assets and liabilities due to foreign exchange rates			
23. Receivables from parent, subsidiaries and affiliates			
24. Health care and other amounts receivable			
25. Aggregate write-ins for other than invested assets	15,450	14,850	(600)
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,773,821	1,705,531	(68,290)
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			
28. Total (Lines 26 and 27)	1,773,821	1,705,531	(68,290)
DETAILS OF WRITE-INS			
1101.			
1102.			
1103.			
1198. Summary of remaining write-ins for Line 11 from overflow page			
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)			
2501. PREPAID ASSETS	15,450	14,850	(600)
2502.			
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page			
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	15,450	14,850	(600)

NOTES TO FINANCIAL STATEMENTS

1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN:

A. Accounting Practices

The financial statements of American Equity Investment Life Insurance Company of New York (“the Company”) are presented on the basis of accounting practices prescribed or permitted by the New York State Insurance Department (the “Insurance Department”).

The Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of New York for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under New York Insurance Law. The National Association of Insurance Commissioners’ (“NAIC”) *Accounting Practices and Procedures Manual* (“NAIC SAP”) has been adopted as a component of prescribed or permitted practices by the Insurance Department. There are no differences between accounting practices prescribed or permitted by the Insurance Department and NAIC SAP that materially impact the Company.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Insurance Department is shown below:

NET INCOME	SSAP	F/S Page	F/S Line #	December 31, 2020	December 31, 2019
Net income, New York basis				\$ 1,877,111	\$ 2,788,624
State Prescribed Practices that increase/(decrease) NAIC SAP:				—	—
State Permitted Practices that increase/(decrease) NAIC SAP:				—	—
Net income, NAIC SAP				<u>\$ 1,877,111</u>	<u>\$ 2,788,624</u>
SURPLUS					
Statutory surplus, New York basis				\$ 42,207,440	\$ 40,261,570
State Prescribed Practices that increase/(decrease) NAIC SAP:				—	—
State Permitted Practices that increase/(decrease) NAIC SAP:				—	—
Statutory surplus, NAIC SAP				<u>\$ 42,207,440</u>	<u>\$ 40,261,570</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make certain estimates that affect the reported assets and liabilities, including reporting or disclosure of contingent assets and liabilities as of the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Future events, including changes in interest rates and asset valuations, could cause actual results to differ in the near term from the estimates used in the financial statements.

The most significant estimates include those used in determining policy reserves, impairment losses on investments and federal income taxes. Although some variability is inherent in these estimates, the recorded amounts reflect management’s best estimates based on facts and circumstances as of the date of the financial statements. Management believes the amounts provided are appropriate.

C. Accounting Policy

The company uses the following accounting policies:

1. The Company considers all highly liquid debt instruments purchased with a maturity of twelve months or less to be short-term investments and are stated at amortized cost.
2. Realized capital gains and losses are recorded on the trade date and determined on the basis of specific identification and are recorded net of related federal income taxes and amounts transferred to the Interest Maintenance Reserve (“IMR”). Under a formula prescribed by the NAIC, the Company defers, in the IMR, the portion of realized gains and losses on sales of fixed income investments, principally bonds, attributable to changes in the general level of interest rates and amortizes those deferrals over the remaining period to maturity of the security. The Asset Valuation Reserve (“AVR”) is established by the Company to provide for anticipated

NOTES TO FINANCIAL STATEMENTS

losses in the event of default by issuers of certain invested assets. The AVR is determined using a formula that is intended to establish a reserve to offset potential credit-related investment losses.

The carrying values of all investments are reviewed on an ongoing basis for credit deterioration. An other-than-temporary impairment shall be considered to have occurred if it is probable that the reporting entity will be unable to collect all amounts due according to the contractual terms of a debt security in effect at the date of acquisition. If this review indicates a decline in fair value that is other than temporary, the carrying amount of the investment, other than loan-backed and structured securities, is reduced to its fair value and a specific other than temporary impairment is taken. A decline in fair value which is other than temporary includes situations where the Company has made a decision to sell a security prior to its maturity at an amount below its carrying value. Such reductions in carrying values are recognized as realized losses on investments.

3. The Company has no investments in common stock.
4. The Company has no investments in preferred stock.
5. The Company has no investments in mortgage loans.
6. If the fair value of a loan-backed or structured security is less than its amortized cost at the reporting date, the Company assesses whether the impairment is other than temporary. If the Company intends to sell the loan-backed or structured security, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

If the Company does not intend to sell the loan-backed or structured security, the Company assesses whether it has the intent and ability to retain the investment in the security for a period of time sufficient to recover the amortized cost. If the Company does not have the intent and ability to retain the investment for the time sufficient to recover the amortized cost, an other than temporary impairment is considered to have occurred and a loss is recognized through earnings in an amount equal to the excess of amortized cost over fair value at the reporting date.

If the Company does not expect to recover the amortized cost basis of the security, it is unable to assert that it will recover its amortized cost even if it does not intend to sell the security and the entity has the intent and ability to hold such security. Therefore, in those situations, an other than temporary impairment is considered to have occurred and a loss is recognized in an amount equal to the excess of amortized cost over the present value of cash flows expected to be collected, discounted at the loan-backed or structured security's effective interest rate.

Interest income is recognized on an accrual basis. Dividend income is recognized when declared. The Company does not accrue income on bonds in default which are delinquent more than twelve months. Further, income is not accrued when collection is uncertain.

7. The Company has no investments in subsidiaries, controlled or affiliated companies.
8. The Company has no ownership interests in joint ventures, partnerships, and limited liability companies.
9. The Company has no investments in derivatives.
10. The premium deficiency calculation does not apply to the Company.
11. The Company does not have liabilities for losses and loss/claim adjustment expense for accident and health contracts.
12. No material changes were made to the capitalization policy during 2020.
13. The Company did not have any pharmaceutical rebate receivables as of December 31, 2020.

D. Going Concern

The Company's management has evaluated its ability to continue as a going concern and does not have substantial doubt the entity will continue as a going concern.

NOTES TO FINANCIAL STATEMENTS

2 - ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS:

The Company had no material changes in accounting principles or correction of errors to report for the year ended December 31, 2020.

3 - BUSINESS COMBINATIONS AND GOODWILL:

A. Statutory Purchase Method

During 2020, the Company did not have any business combinations taking the form of a statutory purchase.

B. Statutory Mergers

During 2020, the Company did not have any business combinations taking the form of a statutory merger.

C. Assumption Reinsurance

As of December 31, 2020, the Company did not have goodwill resulting from assumption reinsurance.

D. Impairment Losses

As of December 31, 2020, the Company did not have any impaired assets related to business combinations or goodwill.

4 - DISCONTINUED OPERATIONS:

The Company did not have any discontinued operations during 2020.

5 - INVESTMENTS:

A. Mortgage Loans, including Mezzanine Real Estate Loans

The Company did not hold any mortgage loans during 2020.

B. Debt Restructuring

The Company did not have any troubled debt restructuring during 2020.

C. Reverse Mortgages

The Company did not have any reverse mortgages as of December 31, 2020.

D. Loan-Backed Securities

1. Prepayment assumptions for loan-backed securities were obtained from third party rating agencies and/or third party loan servicers.
2. For the year ended December 31, 2020, the Company did not recognize other than temporary impairments ("OTTI") on the basis of intent to sell and on the basis of the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.
3. The Company did not have any loan-backed securities for which the present value of cash flows expected to be collected was less than the amortized cost, and as a result no OTTI was recorded during the year ended December 31, 2020.

NOTES TO FINANCIAL STATEMENTS

4. For loan-backed and structured securities with unrealized losses as of December 31, 2020, the gross unrealized losses and fair value, aggregated by length of time that individual securities have been in a continuous unrealized loss position are summarized as follows:

a. Aggregate amount of unrealized loss:		
Less than 12 months	\$	147,610
12 months or longer	\$	462,199
b. Aggregate related fair value of securities with unrealized losses:		
Less than 12 months	\$	6,651,568
12 months or longer	\$	7,977,816

5. At December 31, 2020 the Company had no exposure to subprime loan-backed securities.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

1. None
2. There were no assets pledged as collateral as of December 31, 2020.
3. The Company has not pledged as collateral any assets as part of a repurchase agreement or securities lending transaction as of December 31, 2020.
4. The Company has no securities lending agreements as of December 31, 2020.
5. The Company does not have any securities lending or repurchase agreements open as of December 31, 2020.
6. There was no collateral received as of December 31, 2020.
7. None

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any repurchase agreements transactions accounted for as secured borrowing as of December 31, 2020.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not have any reverse repurchase agreements transactions accounted for as secured borrowing as of December 31, 2020.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any repurchase agreements transactions accounted for as a sale as of December 31, 2020.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company did not have any reverse repurchase agreements transactions accounted for as a sale as of December 31, 2020.

J. Real Estate

The Company did not have any investments in real estate as of December 31, 2020.

K. Low Income Housing Credits

The Company did not have any investments in low-income housing tax credits as of December 31, 2020.

L. Restricted Assets

1. Restricted Assets (including Pledged)

NOTES TO FINANCIAL STATEMENTS

Restricted Asset Category		Gross Restricted				
		Current Year				
		1	2	3	4	5
		Total General Account (G/A)	G/A Supporting S/A Activity (a)	Total Separate Account (S/A) Restricted Assets	S/A Assets Supporting G/A Activity (b)	Total (1 plus 3)
a.	Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	\$ —
b.	Collateral held under security lending agreements	—	—	—	—	—
c.	Subject to repurchase agreements	—	—	—	—	—
d.	Subject to reverse repurchase agreement	—	—	—	—	—
e.	Subject to dollar repurchase agreements	—	—	—	—	—
f.	Subject to dollar reverse repurchase agreements	—	—	—	—	—
g.	Placed under option contracts	—	—	—	—	—
h.	Letter stock or securities restricted as to sale	—	—	—	—	—
i.	FHLB capital stock	—	—	—	—	—
j.	On deposit with states	404,137	—	—	—	404,137
k.	On deposit with other regulatory bodies	—	—	—	—	—
l.	Pledged collateral to FHLB	—	—	—	—	—
m.	Pledged as collateral not captured in other categories	—	—	—	—	—
n.	Other restricted assets	—	—	—	—	—
o.	Total Restricted Assets	\$ 404,137	\$ —	\$ —	\$ —	\$ 404,137

Restricted Asset Category		Gross Restricted		Current Year			
		6	7	8	9	Percentage	
		Total From Prior Year	Increase / (Decrease) (5-6)	Total Nonadmitted Restricted	Total Admitted Restricted (5-8)	10	11
						Gross (Admitted & Nonadmitted) Restricted to Total Assets	Admitted Restricted to Total Admitted Assets
a.	Subject to contractual obligation for which liability is not shown	\$ —	\$ —	\$ —	\$ —	— %	— %
b.	Collateral held under security lending agreements	—	—	—	—	— %	— %
c.	Subject to repurchase agreements	—	—	—	—	— %	— %
d.	Subject to reverse repurchase agreement	—	—	—	—	— %	— %
e.	Subject to dollar repurchase agreements	—	—	—	—	— %	— %
f.	Subject to dollar reverse repurchase agreements	—	—	—	—	— %	— %
g.	Placed under option contracts	—	—	—	—	— %	— %
h.	Letter stock or securities restricted as to sale	—	—	—	—	— %	— %
i.	FHLB capital stock	—	—	—	—	— %	— %
j.	On deposit with states	404,555	(418)	—	404,137	0.22 %	0.22 %
k.	On deposit with other regulatory bodies	—	—	—	—	— %	— %
l.	Pledged collateral to FHLB	—	—	—	—	— %	— %
m.	Pledged as collateral not captured in other categories	—	—	—	—	— %	— %
n.	Other restricted assets	—	—	—	—	— %	— %
o.	Total Restricted Assets	\$ 404,555	\$ (418)	\$ —	\$ 404,137	0.22 %	0.22 %

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, are Reported in the Aggregate)

None

NOTES TO FINANCIAL STATEMENTS

3. Detail of Other Restricted Assets (Contracts that Share Similar Characteristics, such as Reinsurance and Derivatives, are Reported in the Aggregate)

None

4. Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

None

M. Working Capital Finance Investments

The Company did not have any working capital finance investments as of December 31, 2020.

N. Offsetting and Netting of Assets and Liabilities

The Company did not have any offsetting and netting of assets and liabilities as of December 31, 2020.

O. 5GI Securities

The Company did not have any investments in 5GI securities as of December 31, 2020.

P. Short Sales

The Company did not have unsettled and settled short sale transactions outstanding as of December 31, 2020.

Q. Prepayment Penalty and Acceleration Fees

	General Account	Separate Accounts
(1) Number of CUSIPS	22	—
(2) Aggregate Amount of Investment Income	\$ 220,226	\$ —

6 - JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES:

- A. The Company did not have any investments in joint ventures, partnerships, or limited liability companies that exceed 10% of its admitted assets as of December 31, 2020.
- B. The Company did not recognize any impairment write down for its investments in joint ventures, partnerships, or limited liability companies as of December 31, 2020.

7 - INVESTMENT INCOME:

- A. Interest income is recognized on an accrual basis. Due and accrued income was excluded from surplus on the following bases:

The Company does not accrue income on bonds in default which are delinquent more than twelve months. Further, income is not accrued when collection is uncertain. Accrued investment income on bonds that are over 90 days past due are not admitted.

- B. At December 31, 2020, no amounts were excluded from investment income due and accrued.

8 - DERIVATIVE INSTRUMENTS:

The Company did not hold any derivative instruments during 2020.

NOTES TO FINANCIAL STATEMENTS

9 - INCOME TAXES:

A. The components of the net deferred tax asset/(liability) are as follows:

1.

	12/31/2020		
	Ordinary	Capital	Total
(a) Gross deferred tax assets	\$ 2,412,064	\$ 75,514	\$ 2,487,578
(b) Statutory valuation allowance adjustments	—	—	—
(c) Adjusted gross deferred tax assets	2,412,064	75,514	2,487,578
(d) Deferred tax assets nonadmitted	1,758,371	—	1,758,371
(e) Subtotal net admitted deferred tax assets	653,693	75,514	729,207
(f) Deferred tax liabilities	411,463	—	411,463
(g) Net admitted deferred tax assets	\$ 242,230	\$ 75,514	\$ 317,744

	12/31/2019		
	Ordinary	Capital	Total
(a) Gross deferred tax assets	\$ 2,546,255	\$ 24,525	\$ 2,570,780
(b) Statutory valuation allowance adjustments	—	—	—
(c) Adjusted gross deferred tax assets	2,546,255	24,525	2,570,780
(d) Deferred tax assets nonadmitted	1,690,681	—	1,690,681
(e) Subtotal net admitted deferred tax assets	855,574	24,525	880,099
(f) Deferred tax liabilities	493,755	—	493,755
(g) Net admitted deferred tax assets	\$ 361,819	\$ 24,525	\$ 386,344

	Change		
	Ordinary	Capital	Total
(a) Gross deferred tax assets	\$ (134,191)	\$ 50,989	\$ (83,202)
(b) Statutory valuation allowance adjustments	—	—	—
(c) Adjusted gross deferred tax assets	(134,191)	50,989	(83,202)
(d) Deferred tax assets nonadmitted	67,690	—	67,690
(e) Subtotal net admitted deferred tax assets	(201,881)	50,989	(150,892)
(f) Deferred tax liabilities	(82,292)	—	(82,292)
(g) Net admitted deferred tax assets	\$ (119,589)	\$ 50,989	\$ (68,600)

NOTES TO FINANCIAL STATEMENTS

2. The admission calculation components of the deferred tax assets under SSAP No. 101 are provided in the following table:

Admission Calculation Components of SSAP No. 101

12/31/2020			
	Ordinary	Capital	Total
(a) Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks	\$ —	\$ 75,514	\$ 75,514
(b) Adjusted Gross Deferred Tax Assets Expected to be Realized (Excluding the Amount of Deferred Tax Assets from 2(a) above) After Application of the Threshold Limitation (the lesser of 2(b)1 and 2(b)2 below)	242,230	—	242,230
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	242,230	—	242,230
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold	XXX	XXX	6,283,454
(c) Adjusted Gross Deferred Tax Assets (Excluding the amount of Deferred Tax Assets from 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	411,463	—	411,463
(d) Deferred Tax Assets Admitted as the results of application of SSAP No. 101 Total	<u>\$ 653,693</u>	<u>\$ 75,514</u>	<u>\$ 729,207</u>
12/31/2019			
	Ordinary	Capital	Total
(a) Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks	\$ —	\$ 24,525	\$ 24,525
(b) Adjusted Gross Deferred Tax Assets Expected to be Realized (Excluding the Amount of Deferred Tax Assets from 2(a) above) After Application of the Threshold Limitation (the lesser of 2(b)1 and 2(b)2 below)	361,819	—	361,819
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	361,819	—	361,819
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold	XXX	XXX	5,981,284
(c) Adjusted Gross Deferred Tax Assets (Excluding the amount of Deferred Tax Assets from 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	493,755	—	493,755
(d) Deferred Tax Assets Admitted as the results of application of SSAP No. 101 Total	<u>\$ 855,574</u>	<u>\$ 24,525</u>	<u>\$ 880,099</u>
Change			
	Ordinary	Capital	Total
(a) Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks	\$ —	\$ 50,989	\$ 50,989
(b) Adjusted Gross Deferred Tax Assets Expected to be Realized (Excluding the Amount of Deferred Tax Assets from 2(a) above) After Application of the Threshold Limitation (the lesser of 2(b)1 and 2(b)2 below)	(119,589)	—	(119,589)
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	(119,589)	—	(119,589)
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold	XXX	XXX	302,170
(c) Adjusted Gross Deferred Tax Assets (Excluding the amount of Deferred Tax Assets from 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	(82,292)	—	(82,292)
(d) Deferred Tax Assets Admitted as the results of application of SSAP No. 101 Total	<u>\$ (201,881)</u>	<u>\$ 50,989</u>	<u>\$ (150,892)</u>

3.

	2020	2019
(a) Ratio Percentage Used to Determine Recovery Period and Threshold Limitation Amount	2,695 %	2,556 %
(b) Amount of Adjusted Capital and Surplus Used to Determine Recovery Period and Threshold Limitation in 2(b)2 above	41,889,696	39,875,227

NOTES TO FINANCIAL STATEMENTS

4. Impact of Tax Planning Strategies

(a) Determination of adjusted gross deferred tax assets and net admitted deferred assets, by tax character as a percentage.

		12/31/2020	
		Ordinary	Capital
1.	Adjusted Gross DTAs	\$ 2,412,064	\$ 75,514
2.	Percentage of adjusted gross DTAs attributable to the impact of the planning strategies	— %	— %
3.	Net Admitted DTAs	\$ 653,693	\$ 75,514
4.	Percentage of net admitted DTAs admitted because of the impact of tax planning strategies	— %	— %
		12/31/2019	
		Ordinary	Capital
1.	Adjusted Gross DTAs	\$ 2,546,255	\$ 24,525
2.	Percentage of adjusted gross DTAs attributable to the impact of the planning strategies	— %	— %
3.	Net Admitted DTAs	\$ 855,574	\$ 24,525
4.	Percentage of net admitted DTAs admitted because of the impact of tax planning strategies	— %	— %
		Change	
		Ordinary	Capital
1.	Adjusted Gross DTAs	\$ (134,191)	\$ 50,989
2.	Percentage of adjusted gross DTAs attributable to the impact of the planning strategies	— %	— %
3.	Net Admitted DTAs	\$ (201,881)	\$ 50,989
4.	Percentage of net admitted DTAs admitted because of the impact of tax planning strategies	— %	— %

(b) Do the Company's tax-planning strategies include the use of reinsurance (Yes/No)? NO

B. Regarding deferred tax liabilities not recognized:

As of December 31, 2020, the company had no temporary differences for which deferred tax liabilities are not recognized.

C. Current income taxes incurred consist of the following major components:

1. Current Income Tax

	12/31/2020	12/31/2019	Change
(a) Federal	\$ 549,902	\$ 753,565	\$ (203,663)
(b) Foreign	—	—	—
(c) Subtotal	549,902	753,565	(203,663)
(d) Federal income tax on net capital gains	16,828	16,074	754
(e) Utilization of capital loss carry-forwards	—	—	—
(f) Other	—	—	—
(g) Federal & Foreign income tax incurred	\$ 566,730	\$ 769,639	\$ (202,909)

NOTES TO FINANCIAL STATEMENTS

2. Deferred tax assets:

	12/31/2020	12/31/2019	Change
(a) Ordinary:			
(1) Discounting of unpaid losses	\$ —	\$ —	\$ —
(2) Unearned Premium reserve	—	—	—
(3) Policyholder reserves	2,263,330	2,377,874	(114,544)
(4) Investments	129,559	131,205	(1,646)
(5) Deferred acquisition costs	15,930	34,058	(18,128)
(6) Policyholder dividends accrual	—	—	—
(7) Fixed Assets	—	—	—
(8) Compensation and benefits accrual	—	—	—
(9) Pension accrual	—	—	—
(10) Receivables - nonadmitted	3,245	3,118	127
(11) Net operating loss carry-forward	—	—	—
(12) Tax credit carry-forward	—	—	—
(13) Other (including items<5% of total ordinary tax assets)	—	—	—
(14) Other assets - nonadmitted	—	—	—
(99) Subtotal	2,412,064	2,546,255	(134,191)
(b) Statutory valuation allowance adjustment	—	—	—
(c) Nonadmitted	1,758,371	1,690,681	67,690
(d) Admitted ordinary deferred tax assets	653,693	855,574	(201,881)
(e) Capital:			
(1) Investments	75,514	24,525	50,989
(2) Net capital loss carry-forward	—	—	—
(3) Reals estate	—	—	—
(4) Other (including items < 5% of total capital tax assets)	—	—	—
(99) Subtotal	75,514	24,525	50,989
(f) Statutory valuation allowance adjustment	—	—	—
(g) Nonadmitted	—	—	—
(h) Admitted deferred tax assets	75,514	24,525	50,989
(i) Admitted deferred tax assets	\$ 729,207	\$ 880,099	\$ (150,892)

3. Deferred tax liabilities:

	12/31/2020	12/31/2019	Change
(a) Ordinary:			
(1) Investments	\$ —	\$ —	\$ —
(2) Fixed Assets	—	—	—
(3) Deferred and uncollected premiums	—	—	—
(4) Policy holders reserves	411,463	493,755	(82,292)
(5) Other (including items<5% of total ordinary tax liabilities)	—	—	—
(99) Subtotal	411,463	493,755	(82,292)
(b) Capital:			—
(1) Investments	—	—	—
(2) Net capital Loss carry-forward	—	—	—
(3) Real Estate	—	—	—
(4) Other (Including Items<5% of total ordinary capital tax assets)	—	—	—
(99) Subtotal	—	—	—
(c) Deferred tax liabilities	\$ 411,463	\$ 493,755	\$ (82,292)
4. Net Deferred Tax Assets/Liabilities	\$ 317,744	\$ 386,344	\$ (68,600)

NOTES TO FINANCIAL STATEMENTS

D. Analysis of Actual Income Tax Expense

The Company's income tax expense differs from the amount obtained by applying the statutory rate of 21% to pretax income for the following reasons at December 31, 2020:

	12/31/2020	
	Amounts	Effective Tax Rate (%)
Provisions computed at statutory rate	\$ 572,183	21.00 %
IMR	(5,001)	(0.19)%
Nonadmitted Assets	(126)	— %
Stock Compensation	—	— %
Other	584	0.02 %
Rate Differential	\$ —	— %
Total	<u>\$ 567,640</u>	<u>20.83 %</u>
Federal income tax incurred	\$ 566,730	
Change in net deferred income taxes	910	
Total statutory income tax	<u>\$ 567,640</u>	<u>20.83 %</u>

E. Operating Loss and Tax Credit Carryforwards and Protective Tax Deposits

1. At December 31, 2020, the Company did not have any unused operating loss carryforwards or net capital loss carryforwards available to offset against future taxable income.
2. There has been no income tax incurred that is available for recoupment in the event of future net losses.
3. The Company did not have any protective tax deposits under Section 6603 of the Internal Revenue Code.

F. Consolidated Federal Income Tax Return

1. The Company is included in the consolidated federal income tax return filed by the Holding Company (the “common parent”) and its other subsidiaries.
2. The Company computes federal income tax expense as if it were filing a separate tax return and remits amounts due to the common parent which files a consolidated tax return on behalf of the affiliated group.

G. Federal or Foreign Federal Income Tax Loss Contingencies

The Company has no tax loss contingencies for which it is reasonably possible that the total liability will significantly increase within twelve months of the reporting date.

H. Repatriation Transition Tax (RTT)

The Company did not have any repatriation transition tax as of December 31, 2020.

I. Alternative Minimum Tax (AMT) Credit

The Company did not have any alternative minimum tax credit as of December 31, 2020.

10 - INFORMATION CONCERNING PARENT, SUBSIDIARIES, AFFILIATES AND OTHER RELATED PARTIES:

- A. The Company is wholly owned by American Equity Investment Life Insurance Company ("American Equity Life"), a life insurance company domiciled in the State of Iowa. American Equity Life is wholly owned by American Equity Investment Life Holding Company ("Holding Company").
- B. No capital contributions were made to the Company during 2020.

Please see Schedule Y, Part 2 for all reportable transactions by the Company and its affiliated insurers with other affiliates.

At December 31, 2020 and 2019, the Company reported amounts payable to American Equity Life of \$67,312 and \$297.

NOTES TO FINANCIAL STATEMENTS

The Company did not have any material assessments in 2020.

- C. The Company has no transactions with related parties which are not reported on Schedule Y, Part 2.
- D. Please see Schedule Y, Part 2 for all reportable transactions by the Company and its affiliated insurers with other affiliates.
- E. The Company has a Management Services Agreement with American Equity Life. Under this agreement, American Equity Life agrees to provide administrative functions related to agent licensing, payment of commissions, actuarial services, annuity policy issuance and service, accounting and financial, compliance, market conduct, general and informational services and marketing. In exchange for providing these administrative functions, the Company reimburses American Equity Life for actual costs incurred in a fair and equitable manner. During the years ended December 31, 2020 and 2019, the Company incurred fees of \$250,047 and \$224,762, respectively, in accordance with this agreement.

The Company has an Investment Advisory Agreement with the Holding Company. Under this agreement, the Company pays a fee quarterly based on an annual rate of 0.25% on the first \$100,000,000 plus 0.17% on the amount in excess of \$100,000,000 of the market value of invested assets, such fee not to be less than \$2,500 per quarter. In exchange for this fee, the Holding Company is to provide investment advice and make investment decisions based on the investment provisions of the State of New York. During the years ended December 31, 2020 and 2019, the Company incurred fees of \$418,715 and \$421,588, respectively, in accordance with this agreement.

- F. During 2020, the Company made no guarantees or undertakings for the benefit of an affiliate or related party that could result in a material contingent exposure.
- G. Please see Schedule Y, Part 2 for all reportable transactions by the Company and its affiliated insurers with other affiliates.
- H. The Company did not own any downstream subsidiary, controlled or affiliated companies as of December 31, 2020.
- I. The Company did not own any interest in subsidiary, controlled or affiliated companies as of December 31, 2020.
- J. The Company did not recognize any impairment write-downs for investments in subsidiary, controlled or affiliated companies during 2020.
- K. The Company did not own any investments in a foreign insurance subsidiary where CARVM and related Actuarial Guidelines were calculated as of December 31, 2020.
- L. The Company did not own any investment in a downstream noninsurance holding company as of December 31, 2020.
- M. The Company did not own any SCA investments as of December 31, 2020.
- N. The Company did not have any investment in Insurance SCAs as of December 31, 2020.
- O. The Company did not have any SCA losses as of December 31, 2020.

11 - DEBT:

- A. The Company has no debentures outstanding or any reverse repurchase agreements.
- B. The Company does not have any FHLB agreements for the year ended December 31, 2020.

12 - RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND
COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS:

- A. Defined Benefit Plan

The company did not have a Defined Benefit Plan as of December 31, 2020.

- B. Description of Investment Policies and Strategies

None

NOTES TO FINANCIAL STATEMENTS

C. Fair Value of Plan Assets

None

D. Rate of Return Assumptions

None

E. Defined Contribution Plans

The Company did not have a Defined Contribution Plan as of December 31, 2020.

F. Multiemployer Plans

The Company did not have Multiemployer Plans as of December 31, 2020.

G. Consolidated/Holding Company Plans

The employees of the Company participate in the American Equity Investment Profit Sharing and 401(k) Plan ("the Plan"), which is sponsored by the Holding Company. During the years ended December 31, 2020 and 2019, the Company incurred expenses of \$1,440 and \$1,887, respectively, for its employee's participation in the plan.

H. Postemployment Benefits and Compensated Absences

None

I. Impact of Medicare Modernization Act on Postretirement Benefits

None

13 - CAPITAL AND SURPLUS, DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS:

A. The Company has 2,000,000 shares of authorized, issued and outstanding common stock as of December 31, 2020.

B. The Company has no preferred stock outstanding.

C. Prior approval of the Insurance Department is required for the payment of dividends.

D. The Company made no dividend payments during 2020 or 2019.

E. Within the limitation of (C) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to the stockholder.

F. No restrictions have been placed on the Company's unassigned surplus.

G. The Company does not have any advances to surplus not repaid.

H. The Company held none of its stock or stock of affiliated companies for special purposes.

I. The Company had no changes in special surplus from the prior year.

J. The Company has no unrealized gain or loss as of December 31, 2020.

K. The Company does not have any surplus notes as of December 31, 2020.

L. The Company did not have any quasi-reorganizations during 2020.

M. The Company did not have any quasi-reorganizations during 2020.

NOTES TO FINANCIAL STATEMENTS

14 - LIABILITIES, CONTINGENCIES AND ASSESSMENTS:

A. Contingent Commitments

The Company did not have any contingent commitments or guarantees in 2020.

B. Assessments

The Company did not have any material assessments in 2020.

C. Gain Contingencies

The Company did not have any gain contingencies as of December 31, 2020.

D. Claims Related to Extra Contractual Obligations and Bad Faith Losses Stemming from Lawsuits

The Company did not pay amounts during the reporting period to settle claims related to extra contractual obligations or bad faith claims stemming from lawsuits.

E. Joint and Several Liabilities

The Company did not have any joint or several liabilities as of December 31, 2020.

F. All Other Contingencies

The Company did not have any loss contingencies as of December 31, 2020.

15 - LEASES:

A. Lessee Leasing Arrangements

The Company leases certain office space at its home office in Lake Success, New York. During the years ended December 31, 2020 and 2019, rent expense totaled \$31,540 and \$33,183, respectively. The Company had no other leases.

B. Lessor Leases

The Company is not a lessor under any leasing arrangements.

16 - INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK:

The Company did not have financial instruments with off-balance sheet risk or derivative financial instruments as of December 31, 2020.

17 - SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES:

A. Transfers of Receivables Reported as Sales

For the year ended December 31, 2020, the Company did not have transfers of receivables reported as sales.

B. Transfer and Servicing of Financials Assets

The company did not have any transactions surrounding the transfers and servicing of financial assets during the year ended December 31, 2020.

C. Wash Sales

In the course of the Company's asset management, securities are sold and reacquired within 30 days of the sale date. During the year ended December 31, 2020, the Company did not have any transactions qualifying as wash sales.

NOTES TO FINANCIAL STATEMENTS

18 - GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS:

A. ASO Plans

The Company did not have ASO plans during 2020.

B. ASC Plans

The Company did not have any ASC Plans during 2020.

C. Medicare or Similarly Structured Cost Based Reimbursement Contract

The Company did not have any Medicare or other similarly structured cost based reimbursement contracts during 2020.

19 - DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS:

The Company did not have any direct premiums written by managing general agents or third party administrators during 2020.

20 - FAIR VALUE MEASUREMENTS:

A.

1. Fair Value Measurements at Reporting Date

The Company did not have any assets measured at fair value as of December 31, 2020.

2. Fair Value Measurements in (level 3) of the Fair Value Hierarchy

None.

3. Policy on Transfers Into and Out of Level 3

Transfers of securities among the levels occur at times and depend on the type of inputs used to determine fair value of each security. There were no transfers between levels during any period presented.

4. Inputs and Techniques Used for Level 2 and Level 3 Fair Values

Fair value is the price that would be received to sell an asset or paid to transfer a liability (exit price) in an orderly transaction between market participants at the measurement date. The objective of a fair value measurement is to determine that price for each financial instrument at each measurement date. The Company meets this objective using various methods of valuation that include market, income and cost approaches.

The Company categorizes its financial instruments into three levels of fair value hierarchy based on the priority of inputs used in determining fair value. The hierarchy defines the highest priority inputs (Level 1) as quoted prices in active markets for identical assets or liabilities. The lowest priority inputs (Level 3) are the Company's assumptions about what a market participant would use in determining fair value such as estimated future cash flows. In certain cases, the inputs used to measure fair value may fall into different levels of the fair value hierarchy. In such cases, a financial instrument's level within the fair value hierarchy is based on the lowest level of input that is significant to the fair value measurement. The Company's assessment of the significance of a particular input to the fair value measurement in its entirety requires judgment and considers factors specific to the financial instrument. The Company categorizes its financial assets and liabilities recorded at fair value in the consolidated balance sheets as follows:

Level 1 - Quoted prices are available in active markets for identical financial instruments as of the reporting date. The Company does not adjust the quoted price for these financial instruments, even in situations where it holds a large position and a sale could reasonably impact the quoted price.

Level 2 - Quoted prices in active markets for similar financial instruments, quoted prices for identical or similar financial instruments in markets that are not active; and models and other valuation methodologies using inputs other than quoted prices that are observable.

NOTES TO FINANCIAL STATEMENTS

Level 3 - Models and other valuation methodologies using significant inputs that are unobservable for financial instruments and include situations where there is little, if any, market activity for the financial instrument. The inputs into the determination of fair value require significant management judgment or estimation. Financial instruments that are included in Level 3 are securities for which no market activity or data exists and for which the Company used discounted expected future cash flows with its own assumptions about what a market participant would use in determining fair value.

5. Derivative Fair Values

None.

B. Other Fair Value Disclosures

None.

C. Fair Values for All Financial Instruments by the Fair Value Hierarchy

The following table represents the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries and joint ventures). The fair values are also categorized into the three level fair value hierarchy.

Type of Financial Instrument	Fair Value	Admitted Value	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Assets:						
Bonds	\$ 190,323,055	\$ 168,398,207	\$ 538,750	\$ 189,784,305	\$ —	\$ —
Other invested assets	3,884,608	3,149,682	—	3,884,608	—	—
Cash and cash equivalents	6,769,361	6,769,361	6,769,361	—	—	—
Liabilities:						
Annuity reserves	\$ 120,492,773	\$ 121,578,653	\$ —	\$ —	\$ 120,492,773	\$ —

Bonds: The fair values of bonds are obtained from third parties and are based on quoted market prices when available. The third parties use yield data and other factors relating to instruments or securities with similar characteristics to determine fair value for securities that are not actively traded.

Other invested assets: The fair values of other invested assets are obtained from third parties and are based on quoted market prices when available. The third parties use yield data and other factors relating to instruments or securities with similar characteristics to determine fair value for securities that are not actively traded.

Cash and cash equivalents: Amounts reported in the statutory-basis balance sheets for these instruments are reported at their historical cost which approximates fair value due to the nature of the assets assigned to this category.

Annuity reserves: The fair values of the liabilities under under deferred annuity contracts are stated at the cost the Company would incur to extinguish the liability (i.e., the cash surrender value). For period certain annuity benefit contracts, the fair value is determined by discounting the benefits at the interest rates currently in effect for newly purchased immediate annuity contracts.

D. Reasons Not Practicable to Estimate Fair Value

None

E. Estimates using NAV practical expedient

As of December 31, 2020, the Company did not have any investments measured using the NAV practical expedient.

21 - OTHER ITEMS:

A. Unusual or Infrequent Items

The Company did not have any extraordinary items during 2020.

NOTES TO FINANCIAL STATEMENTS

B. Troubled Debt Restructuring: Debtors

During the year ended December 31, 2020, the Company did not have any trouble debt restructuring.

C. Other Disclosures

The Company did not have any unusual items or investments pledged as collateral as of December 31, 2020.

D. Business Interruption Insurance Recoveries

The Company did not have any business interruption insurance recoveries during 2020.

E. State Transferable and Non-transferable Tax Credits

The Company did not have any state transferable or non-transferable tax credits as of December 31, 2020.

F. Subprime Mortgage-Related Risk Exposure

The Company defines its exposure to subprime mortgages as any fixed income security primarily backed by mortgage pools with any of the following characteristics calculated on a weighted average basis:

- First lien mortgages whose borrowers have FICO scores less than 650
- First lien mortgages with loan-to-value ratios greater than 90%
- Second lien mortgages whose borrowers have FICO scores less than 675
- Borrowers with less than conventional documentation and FICO scores less than 650
- Any security backed by a U.S. Government Agency or insured by FHA or VA is not considered to be subprime

The Company did not have any exposure to subprime securities during the year ended December 31, 2020.

G. Retained Assets

As of December 31, 2020, the Company does not have any retained assets.

H. Insurance-Linked Securities (ILS) Contracts

As of December 31, 2020, the Company does not have any insurance-linked securities.

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control

As of December 31, 2020, the Company does not have any company owned life insurance (COLI).

22 - EVENTS SUBSEQUENT:

Type I - Recognized Subsequent Events:

Subsequent events have been considered through February 19, 2021.

There are no subsequent events to report.

Type II - Nonrecognized Subsequent Events:

Subsequent events have been considered through February 19, 2021.

There are no subsequent events to report.

The Company is not subject to an annual fee under Section 9010 of the Federal Affordable Care Act.

23 - REINSURANCE:

As of December 31, 2020, the Company does not have any reinsurance agreements.

NOTES TO FINANCIAL STATEMENTS

24 - RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION:

- A. Disclose the method used by the reporting entity to estimate accrued retrospective premium adjustments.

The Company does not have any retrospectively rated contracts or contracts subject to redetermination.

- B. Disclose whether accrued retrospective premiums are recorded through written premium or as an adjustment to earned premium.

The Company does not have any retrospectively rated contracts or contracts subject to redetermination.

- C. Disclose the amount of net premiums written that are subject to retrospective rating features, as well as the corresponding percentage to total net premiums written.

The Company does not have any retrospectively rated contracts or contracts subject to redetermination.

- D. Medical Loss Ratio Rebates.

The Company does not have any medical loss ratio rebates.

- E. Risk-Sharing Provisions of the Affordable Care Act (ACA)

The Company is not subject to risk-sharing provisions of the Affordable Care Act.

25 - CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES:

- A.-B. The Company did not have any change in incurred losses and loss adjustment expense during 2020.

26 - INTERCOMPANY POOLING ARRANGEMENTS:

- A.-G. The Company did not participate in any intercompany pooling arrangements during 2020.

27 - STRUCTURED SETTLEMENTS:

- A.-B. The Company did not have any structured settlements in 2020.

28 - HEALTH CARE RECEIVABLES:

- A.-B. The Company did not have any health care receivables as of December 31, 2020.

29 - PARTICIPATING POLICIES:

The Company did not have any participating policies during 2020.

30 - PREMIUM DEFICIENCY RESERVES:

The Company did not have any premium deficiency reserves as of December 31, 2020.

31 - RESERVES FOR LIFE CONTRACTS AND ANNUITY CONTRACTS:

1. The Company waives deduction of fractional premiums upon the death of the insured. Surrender values are not promised in excess of legally computed reserves.
2. As part of the underwriting process, an individual may be determined to be substandard. In some cases, additional premiums are charged depending on such determination.

The reserves for annuity policies, developed by actuarial methods, are established and maintained on the basis of published mortality and morbidity tables using assumed interest rates and valuation methods that will provide, in the aggregate, reserves that are not less than the minimum valuation required by law or guaranteed policy cash values.

3. As of December 31, 2020, the Company has no insurance in force for which the gross premiums are less than the net premiums according to the standard valuation set by the State of New York.

NOTES TO FINANCIAL STATEMENTS

4. The Tabular Interest, Tabular Less Actual Reserves Released and Tabular Cost have been determined by formula as described in the instructions.
5. For the determination of Tabular Interest on fund not involving life contingencies for each valuation rate of interest, the tabular interest is calculated as one hundredth of the product of such valuation rate of interest times the mean of the amount of funds subject to such valuation rate of interest held at the beginning and end of the year of valuation.
6. None.

32 - ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT TYPE CONTRACT LIABILITIES BY
WITHDRAWAL CHARACTERISTICS:

A. INDIVIDUAL ANNUITIES:

	General Account	Separate Account with Guarantees	Separate Account Non-guaranteed	Amount	% of Total
1. Subject to discretionary withdrawal					
a. With fair value adjustment	\$ 66,252	\$ —	\$ —	\$ 66,252	0.1 %
b. At book value less current surrender charge of 5% or more	1,348,082	—	—	1,348,082	1.1 %
c. At fair value	—	—	—	—	— %
d. Total with adjustment or at market value (Total of 1 through 3)	1,414,334	—	—	1,414,334	1.2 %
e. At book value without adjustment (minimal or no charge or adjustment)	119,064,932	—	—	119,064,932	96.3 %
2. Not subject to discretionary withdrawal	3,148,623	—	—	3,148,623	2.5 %
3. Total (gross)	123,627,889	—	—	123,627,889	100.0 %
4. Reinsurance ceded	—	—	—	—	
5. Total (net)*(C-D)	<u>\$ 123,627,889</u>	<u>\$ —</u>	<u>\$ —</u>	<u>\$ 123,627,889</u>	
6. Amount included in A(1)b above that will move to A(1)e for the first time within the year after the statement date	\$ —	\$ —	\$ —	\$ —	

B. GROUP ANNUITIES:

The Company did not have any Group Annuities as of December 31, 2020.

C. DEPOSIT-TYPE CONTRACTS (NO LIFE CONTINGENCIES):

	General Account	Separate Account with Guarantees	Separate Account Non-guaranteed	Amount	% of Total
1. Subject to discretionary withdrawal					
a. With fair value adjustment	\$ —	\$ —	\$ —	\$ —	— %
b. At book value less current surrender charge of 5% or more	—	—	—	—	— %
c. At fair value	—	—	—	—	— %
d. Total with adjustment or at market value (Total of 1 through 3)	—	—	—	—	— %
e. At book value without adjustment (minimal or no charge or adjustment)	—	—	—	—	— %
2. Not subject to discretionary withdrawal	1,099,387	—	—	1,099,387	100 %
3. Total (gross)	1,099,387	—	—	1,099,387	100 %
4. Reinsurance ceded	—	—	—	—	
5. Total (net)*(C-D)	<u>\$ 1,099,387</u>	<u>\$ —</u>	<u>\$ —</u>	<u>\$ 1,099,387</u>	
6. Amount included in C(1)b above that will move to C(1)e for the first time within the year after the statement date	\$ —	\$ —	\$ —	\$ —	

NOTES TO FINANCIAL STATEMENTS

D.

Life, Accident and Health Annual Statement:	Amount
(1) Exhibit 5 - Annuities Section, Total (net)	\$ 122,847,667
(2) Exhibit 5 - Supplementary Contracts with Life Contingencies Section, Total (net)	780,222
(3) Exhibit 7 - Deposit-Type Contracts, Line 14, Column 1	1,099,387
(4) Subtotal	124,727,276
Separate Accounts Annual Statement:	
(5) Exhibit 3, Line 299999, Column 2	—
(6) Exhibit 3, Line 399999, Column 2	—
(7) Policyholder dividend and coupon accumulations	—
(8) Policyholder premiums	—
(9) Guaranteed interest contracts	—
(10) Other contract deposit funds	—
(11) Subtotal	—
(12) Combined Total	\$ 124,727,276

33 - ANALYSIS OF LIFE ACTUARIAL RESERVES BY WITHDRAWAL CHARACTERISTICS:

	Account Value	Cash Value	Reserve
A. General Account			
(1) Subject to discretionary withdrawal, surrender values, or policy loans:			
a. Term policies with Cash Value	\$ —	\$ —	\$ —
b. Universal Life	—	—	—
c. Universal Life with Secondary Guarantees	—	—	—
d. Indexed Universal Life	—	—	—
e. Indexed Universal Life with Secondary Guarantees	—	—	—
f. Indexed Life	—	—	—
g. Other Permanent Cash Value Life Insurance	—	—	—
h. Variable Life	—	—	—
i. Variable Universal Life	—	—	—
j. Miscellaneous Reserves	—	—	—
(2) Not subject to discretionary withdrawal or no cash values			
a. Term Policies without Cash Value	XXX	XXX	—
b. Accidental Death Benefits	XXX	XXX	—
c. Disability - Active Lives	XXX	XXX	—
d. Disability - Disabled Lives	XXX	XXX	—
e. Miscellaneous Reserves	XXX	XXX	9,350,000
(3) Total (gross: direct + assumed)	—	—	9,350,000
(4) Reinsurance Ceded	—	—	—
(5) Total (net) (3) - (4)	\$ —	\$ —	\$ 9,350,000

NOTES TO FINANCIAL STATEMENTS

	Account Value	Cash Value	Reserve
<hr/>			
B. Separate Account with Guarantees			
(1) Subject to discretionary withdrawal, surrender values, or policy loans:			
a. Term policies with Cash Value	\$ —	\$ —	\$ —
b. Universal Life	—	—	—
c. Universal Life with Secondary Guarantees	—	—	—
d. Indexed Universal Life	—	—	—
e. Indexed Universal Life with Secondary Guarantees	—	—	—
f. Indexed Life	—	—	—
g. Other Permanent Cash Value Life Insurance	—	—	—
h. Variable Life	—	—	—
i. Variable Universal Life	—	—	—
j. Miscellaneous Reserves	—	—	—
(2) Not subject to discretionary withdrawal or no cash values			
a. Term Policies without Cash Value	XXX	XXX	—
b. Accidental Death Benefits	XXX	XXX	—
c. Disability - Active Lives	XXX	XXX	—
d. Disability - Disabled Lives	XXX	XXX	—
e. Miscellaneous Reserves	XXX	XXX	—
(3) Total (gross: direct + assumed)	—	—	—
(4) Reinsurance Ceded	—	—	—
(5) Total (net) (3) - (4)	\$ —	\$ —	\$ —
<hr/> <hr/>			
	Account Value	Cash Value	Reserve
<hr/>			
C. Separate Account Nonguaranteed			
(1) Subject to discretionary withdrawal, surrender values, or policy loans:			
a. Term policies with Cash Value	\$ —	\$ —	\$ —
b. Universal Life	—	—	—
c. Universal Life with Secondary Guarantees	—	—	—
d. Indexed Universal Life	—	—	—
e. Indexed Universal Life with Secondary Guarantees	—	—	—
f. Indexed Life	—	—	—
g. Other Permanent Cash Value Life Insurance	—	—	—
h. Variable Life	—	—	—
i. Variable Universal Life	—	—	—
j. Miscellaneous Reserves	—	—	—
(2) Not subject to discretionary withdrawal or no cash values			
a. Term Policies without Cash Value	XXX	XXX	—
b. Accidental Death Benefits	XXX	XXX	—
c. Disability - Active Lives	XXX	XXX	—
d. Disability - Disabled Lives	XXX	XXX	—
e. Miscellaneous Reserves	XXX	XXX	—
(3) Total (gross: direct + assumed)	—	—	—
(4) Reinsurance Ceded	—	—	—
(5) Total (net) (3) - (4)	\$ —	\$ —	\$ —
<hr/> <hr/>			

NOTES TO FINANCIAL STATEMENTS

D.

Life & Accident & Health Annual Statement:

(1)	Exhibit 5, Life Insurance Section, Total (net)	\$	—
(2)	Exhibit 5, Accidental Death Benefits Section, Total (net)		—
(3)	Exhibit 5, Disability - Active Lives Section, Total (net)		—
(4)	Exhibit 5, Disability - Disabled Lives Section, Total (net)		—
(5)	Exhibit 5, Miscellaneous Reserves Section, Total (net)		<u>9,350,000</u>
(6)	Subtotal		9,350,000

Separate Accounts Annual Statement:

(7)	Exhibit 3, Line 0199999, Column 2		—
(8)	Exhibit 3, Line 0499999, Column 2		—
(9)	Exhibit 3, Line 0599999, Column 2		<u>—</u>
(10)	Subtotal (Lines (7) through (9))		<u>—</u>
(11)	Combined Total ((6) and (10))	\$	<u><u>9,350,000</u></u>

34 - PREMIUMS AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED:

The Company did not have any deferred and uncollected life insurance premiums and annuity consideration as of December 31, 2020.

35 - SEPARATE ACCOUNTS:

The Company did not have any Separate Accounts as of December 31, 2020.

36 - LOSS/CLAIM ADJUSTMENT EXPENSES:

The Company did not have loss/claim adjustment expenses during 2020.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1, 1A and 2

Yes ☒ No ☐
- 1.2

If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations?

Yes ☒ No ☐ N/A ☐
- 1.3

State Regulating?

NEW YORK
- 1.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☒ No ☐
- 1.5

If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0001039828
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒
- 2.2

If yes, date of change:
- 3.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2018
- 3.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2018
- 3.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/26/2020
- 3.4

By what department or departments?
NEW YORK INSURANCE DEPARTMENT
- 3.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ N/A ☒
- 3.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ N/A ☐
- 4.1

During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.11 sales of new business?
4.12 renewals?

Yes ☒ No ☐
Yes ☐ No ☒
- 4.2

During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.21 sales of new business?
4.22 renewals?

Yes ☐ No ☒
Yes ☐ No ☒
- 5.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?
If yes, complete and file the merger history data file with the NAIC.

Yes ☐ No ☒
- 5.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 6.1

Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒
- 6.2

If yes, give full information:
- 7.1

Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity?

Yes ☐ No ☒
- 7.2

If yes,
7.21 State the percentage of foreign control;
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

%

1 Nationality	2 Type of Entity

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

GENERAL INTERROGATORIES

- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [] No [X]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

9.

What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?

KPMG, LLP 2500 RUAN CENTER 666 GRAND AVE DES MOINES, IOWA 50309
- 10.1

Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation?

Yes [] No [X]
- 10.2

If the response to 10.1 is yes, provide information related to this exemption:
- 10.3

Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation?

Yes [] No [X]
- 10.4

If the response to 10.3 is yes, provide information related to this exemption:
- 10.5

Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws?

Yes [X] No [] N/A []
- 10.6

If the response to 10.5 is no or n/a, please explain
11.

What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?

DANNY D PURCELL , SR MANAGING ACTUARY AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK 6000 WESTOWN PARKWAY WEST DES MOINES, IOWA 50266
- 12.1

Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly?

Yes [] No [X]
- 12.11

Name of real estate holding company
- 12.12

Number of parcels involved
- 12.13

Total book/adjusted carrying value

\$
- 12.2

If, yes provide explanation:
13.

FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:
- 13.1

What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
- 13.2

Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located?

Yes [] No []
- 13.3

Have there been any changes made to any of the trust indentures during the year?

Yes [] No []
- 13.4

If answer to (13.3) is yes, has the domiciliary or entry state approved the changes?

Yes [] No [] N/A []
- 14.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes [X] No []
- a.

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- b.

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- c.

Compliance with applicable governmental laws, rules and regulations;
- d.

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- e.

Accountability for adherence to the code.
- 14.11

If the response to 14.1 is No, please explain:
- 14.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 14.21

If the response to 14.2 is yes, provide information related to amendment(s).
- 14.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 14.31

If the response to 14.3 is yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

16.	Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof?	Yes [X]	No []
17.	Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof?	Yes [X]	No []
18.	Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person?	Yes [X]	No []

19.	Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)?		Yes []	No [X]
20.1	Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):	20.11 To directors or other officers.....	\$	
		20.12 To stockholders not officers.....	\$	
		20.13 Trustees, supreme or grand (Fraternal Only)	\$	
20.2	Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):	20.21 To directors or other officers.....	\$	
		20.22 To stockholders not officers.....	\$	
		20.23 Trustees, supreme or grand (Fraternal Only)	\$	
21.1	Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement?			Yes [] No [X]
21.2	If yes, state the amount thereof at December 31 of the current year:	21.21 Rented from others.....	\$	
		21.22 Borrowed from others.....	\$	
		21.23 Leased from others	\$	
		21.24 Other	\$	
22.1	Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments?			Yes [] No [X]
22.2	If answer is yes:	22.21 Amount paid as losses or risk adjustment \$		
		22.22 Amount paid as expenses	\$	
		22.23 Other amounts paid	\$	
23.1	Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?			Yes [] No [X]
23.2	If yes, indicate any amounts receivable from parent included in the Page 2 amount:		\$	

24.01	Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03).....	Yes [<input checked="" type="checkbox"/>]	No [<input type="checkbox"/>]
24.02	If no, give full and complete information relating thereto		
24.03	For securities lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)		
24.04	For the reporting entity's securities lending program, report amount of collateral for conforming programs as outlined in the Risk-Based Capital Instructions.	\$	
24.05	For the reporting entity's securities lending program, report amount of collateral for other programs.	\$	
24.06	Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract?	Yes [<input type="checkbox"/>]	No [<input type="checkbox"/>] N/A [<input checked="" type="checkbox"/>]
24.07	Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%?	Yes [<input type="checkbox"/>]	No [<input type="checkbox"/>] N/A [<input checked="" type="checkbox"/>]
24.08	Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending?	Yes [<input type="checkbox"/>]	No [<input type="checkbox"/>] N/A [<input checked="" type="checkbox"/>]

GENERAL INTERROGATORIES

24.09 For the reporting entity's securities lending program state the amount of the following as of December 31 of the current year:

24.091	Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$
24.092	Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$
24.093	Total payable for securities lending reported on the liability page.	\$

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03). Yes [X] No []

25.2	If yes, state the amount thereof at December 31 of the current year:	25.21 Subject to repurchase agreements	\$
		25.22 Subject to reverse repurchase agreements	\$
		25.23 Subject to dollar repurchase agreements	\$
		25.24 Subject to reverse dollar repurchase agreements	\$
		25.25 Placed under option agreements	\$
		25.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	\$
		25.27 FHLB Capital Stock	\$
		25.28 On deposit with states	\$404, 137
		25.29 On deposit with other regulatory bodies	\$
		25.30 Pledged as collateral - excluding collateral pledged to an FHLB	\$
		25.31 Pledged as collateral to FHLB - including assets backing funding agreements	\$
		25.32 Other	\$

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount
.....

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes [] No [X]

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A [X]
If no, attach a description with this statement.

LINES 26.3 through 26.5: FOR LIFE/FRATERNAL REPORTING ENTITIES ONLY:

26.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity? .. Yes [] No [X]

26.4	If the response to 26.3 is YES, does the reporting entity utilize:	26.41 Special accounting provision of SSAP No. 108	Yes [] No []
		26.42 Permitted accounting practice	Yes [] No []
		26.43 Other accounting guidance	Yes [] No []

26.5 By responding YES to 26.41 regarding utilizing the special accounting provisions of SSAP No. 108, the reporting entity attests to the following: Yes [] No []

- The reporting entity has obtained explicit approval from the domiciliary state.
- Hedging strategy subject to the special accounting provisions is consistent with the requirements of VM-21.
- Actuarial certification has been obtained which indicates that the hedging strategy is incorporated within the establishment of VM-21 reserves and provides the impact of the hedging strategy within the Actuarial Guideline Conditional Tail Expectation Amount.
- Financial Officer Certification has been obtained which indicates that the hedging strategy meets the definition of a Clearly Defined Hedging Strategy within VM-21 and that the Clearly Defined Hedging Strategy is the hedging strategy being used by the company in its actual day-to-day risk mitigation efforts.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes [] No [X]

27.2 If yes, state the amount thereof at December 31 of the current year. \$

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?..... Yes [X] No []

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
State Street Bank & Trust	225 Liberty Street, 2 World Financial Center, New York, NY 10281

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

GENERAL INTERROGATORIES

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year?..... Yes [] No [X]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
American Equity Investment Life Holding Company	A.....

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [] No [X]

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [] No [X]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
	American Equity Holding Co	549300RK5RZQ740FPL83	SEC	DS.....

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [] No [X]

29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

GENERAL INTERROGATORIES

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1	2	3
	Statement (Admitted) Value	Fair Value	Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	168,398,206	190,323,055	21,924,849
30.2 Preferred stocks			
30.3 Totals	168,398,206	190,323,055	21,924,849

30.4 Describe the sources or methods utilized in determining the fair values:
Fair values are determined by using matrices, broker quotes, exchange prices, pricing services, and other external sources.

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [X] No []

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [X] No []

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:
.....

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

32.2 If no, list exceptions:
.....

33. By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security:
a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
b. Issuer or obligor is current on all contracted interest and principal payments.
c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
Has the reporting entity self-designated 5GI securities? Yes [] No [X]

34. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
a. The security was purchased prior to January 1, 2018.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
Has the reporting entity self-designated PLGI securities? Yes [] No [X]

35. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
a. The shares were purchased prior to January 1, 2019.
b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
d. The fund only or predominantly holds bonds in its portfolio.
e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

36. By rolling/renewing short-term or cash equivalent investments with continued reporting on Schedule DA, Part 1 or Schedule E Part 2 (identified through a code (%) in those investment schedules), the reporting entity is certifying to the following:
a. The investment is a liquid asset that can be terminated by the reporting entity on the current maturity date.
b. If the investment is with a nonrelated party or nonaffiliate, then it reflects an arms-length transaction with renewal completed at the discretion of all involved parties.
c. If the investment is with a related party or affiliate, then the reporting entity has completed robust re-underwriting of the transaction for which documentation is available for regulator review.
d. Short-term and cash equivalent investments that have been renewed/rolled from the prior period that do not meet the criteria in 36.a - 36.c are reported as long-term investments.
Has the reporting entity rolled/renewed short-term or cash equivalent investments in accordance with these criteria? Yes [] No [] N/A [X]

GENERAL INTERROGATORIES

OTHER

37.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?\$7,519

37.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid
Life Insurance Council of NY7,519
.....

38.1 Amount of payments for legal expenses, if any?\$

38.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
.....
.....

39.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any?\$2,781

39.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
Life Insurance Council of NY2,781
.....

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

Life, Accident and Health Companies/Fraternal Benefit Societies:

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? Yes No X

1.2 If yes, indicate premium earned on U.S. business only \$

1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? \$

1.31 Reason for excluding:

1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above. \$

1.5 Indicate total incurred claims on all Medicare Supplement insurance. \$

1.6 Individual policies:

Most current three years:

1.61 Total premium earned \$

1.62 Total incurred claims \$

1.63 Number of covered lives

All years prior to most current three years

1.64 Total premium earned \$

1.65 Total incurred claims \$

1.66 Number of covered lives

1.7 Group policies:

Most current three years:

1.71 Total premium earned \$

1.72 Total incurred claims \$

1.73 Number of covered lives

All years prior to most current three years

1.74 Total premium earned \$

1.75 Total incurred claims \$

1.76 Number of covered lives

2. Health Test:

1Current Year2Prior Year

2.1 Premium Numerator

2.2 Premium Denominator 322,9841,614,459

2.3 Premium Ratio (2.1/2.2) 0.0000.000

2.4 Reserve Numerator

2.5 Reserve Denominator 124,466,823134,970,218

2.6 Reserve Ratio (2.4/2.5) 0.0000.000

3.1 Does this reporting entity have Separate Accounts? Yes No X

3.2 If yes, has a Separate Accounts Statement been filed with this Department? Yes No N/A

3.3 What portion of capital and surplus funds of the reporting entity covered by assets in the Separate Accounts statement, is not currently distributable from the Separate Accounts to the general account for use by the general account? \$

3.4 State the authority under which Separate Accounts are maintained:

3.5 Was any of the reporting entity's Separate Accounts business reinsured as of December 31? Yes No

3.6 Has the reporting entity assumed by reinsurance any Separate Accounts business as of December 31? Yes No

3.7 If the reporting entity has assumed Separate Accounts business, how much, if any, reinsurance assumed receivable for reinsurance of Separate Accounts reserve expense allowances is included as a negative amount in the liability for "Transfers to Separate Accounts due or accrued (net)"? \$

4. For reporting entities having sold annuities to another insurer where the insurer purchasing the annuities has obtained a release of liability from the claimant (payee) as the result of the purchase of an annuity from the reporting entity only:

4.1 Amount of loss reserves established by these annuities during the current year: \$

4.2 List the name and location of the insurance company purchasing the annuities and the statement value on the purchase date of the annuities.

1	2 Statement Value on Purchase Date of Annuities (i.e., Present Value)
P&C Insurance Company And Location	

21

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

- 5.1 Do you act as a custodian for health savings accounts? Yes ☐ No ☒
- 5.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$
- 5.3 Do you act as an administrator for health savings accounts? Yes ☐ No ☒
- 5.4 If yes, please provide the balance of funds administered as of the reporting date. \$
- 6.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? Yes ☐ No ☐ N/A ☒
- 6.2 If the answer to 6.1 is yes, please provide the following:

1 Company Name	2 NAIC Company Code	3 Domiciliary Jurisdiction	4 Reserve Credit	Assets Supporting Reserve Credit		
				5 Letters of Credit	6 Trust Agreements	7 Other

7. Provide the following for individual ordinary life insurance* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):
- 7.1 Direct Premium Written \$
- 7.2 Total Incurred Claims \$
- 7.3 Number of Covered Lives

*Ordinary Life Insurance Includes
Term (whether full underwriting,limited underwriting,jet issue,"short form app")
Whole Life (whether full underwriting,limited underwriting,jet issue,"short form app")
Variable Life (with or without secondary gurantee)
Universal Life (with or without secondary gurantee)
Variable Universal Life (with or without secondary gurantee)

8. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes ☐ No ☒
- 8.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes ☐ No ☒

Life, Accident and Health Companies Only:

- 9.1 Are personnel or facilities of this reporting entity used by another entity or entities or are personnel or facilities of another entity or entities used by this reporting entity (except for activities such as administration of jointly underwritten group contracts and joint mortality or morbidity studies)? Yes ☒ No ☐
- 9.2 Net reimbursement of such expenses between reporting entities:

9.21 Paid \$668,762

9.22 Received \$
- 10.1 Does the reporting entity write any guaranteed interest contracts? Yes ☐ No ☒
- 10.2 If yes, what amount pertaining to these lines is included in:

10.21 Page 3, Line 1 \$

10.22 Page 4, Line 1 \$
11. For stock reporting entities only:
- 11.1 Total amount paid in by stockholders as surplus funds since organization of the reporting entity: \$28,000,000
12. Total dividends paid stockholders since organization of the reporting entity:

12.11 Cash \$

12.12 Stock \$
- 13.1 Does the reporting entity reinsure any Workers' Compensation Carve-Out business defined as: Reinsurance (including retrocessional reinsurance) assumed by life and health insurers of medical, wage loss and death benefits of the occupational illness and accident exposures, but not the employers liability exposures, of business originally written as workers' compensation insurance. Yes ☐ No ☒
- 13.2 If yes, has the reporting entity completed the Workers' Compensation Carve-Out Supplement to the Annual Statement? Yes ☐ No ☐
- 13.3 If 13.1 is yes, the amounts of earned premiums and claims incurred in this statement are:

	1 Reinsurance Assumed	2 Reinsurance Ceded	3 Net Retained
13.31 Earned premium			
13.32 Paid claims			
13.33 Claim liability and reserve (beginning of year)			
13.34 Claim liability and reserve (end of year)			
13.35 Incurred claims			

GENERAL INTERROGATORIES

PART 2 - LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES INTERROGATORIES

13.4 If reinsurance assumed included amounts with attachment points below \$1,000,000, the distribution of the amounts reported in Lines 13.31 and 13.34 for Column (1) are:

	Attachment Point	1 Earned Premium	2 Claim Liability and Reserve
13.41	<\$25,000
13.42	\$25,000 - 99,999
13.43	\$100,000 - 249,999
13.44	\$250,000 - 999,999
13.45	\$1,000,000 or more

13.5 What portion of earned premium reported in 13.31, Column 1 was assumed from pools?\$

Fraternal Benefit Societies Only:

14. Is the reporting entity organized and conducted on the lodge system, with ritualistic form of work and representative form of government? Yes [] No []

15. How often are meetings of the subordinate branches required to be held?
.....

16. How are the subordinate branches represented in the supreme or governing body?
.....

17. What is the basis of representation in the governing body?
.....

18.1 How often are regular meetings of the governing body held?
.....

18.2 When was the last regular meeting of the governing body held?

18.3 When and where will the next regular or special meeting of the governing body be held?
.....

18.4 How many members of the governing body attended the last regular meeting?

18.5 How many of the same were delegates of the subordinate branches?

19. How are the expenses of the governing body defrayed?
.....

20. When and by whom are the officers and directors elected?
.....

21. What are the qualifications for membership?
.....

22. What are the limiting ages for admission?
.....

23. What is the minimum and maximum insurance that may be issued on any one life?
.....

24. Is a medical examination required before issuing benefit certificates to applicants? Yes [] No []

25. Are applicants admitted to membership without filing an application with and becoming a member of a local branch by ballot and initiation? Yes [] No []

26.1 Are notices of the payments required sent to the members? Yes [] No [] N/A []

26.2 If yes, do the notices state the purpose for which the money is to be used? Yes [] No []

27. What proportion of first and subsequent year's payments may be used for management expenses?
27.11 First Year %
27.12 Subsequent Years %

28.1 Is any part of the mortuary, disability, emergency or reserve fund, or the accretions from or payments for the same, used for expenses? Yes [] No []

28.2 If so, what amount and for what purpose?\$

29.1 Does the reporting entity pay an old age disability benefit? Yes [] No []

29.2 If yes, at what age does the benefit commence?

30.1 Has the constitution or have the laws of the reporting entity been amended during the year? Yes [] No []

30.2 If yes, when?
.....

31. Have you filed with this Department all forms of benefit certificates issued, a copy of the constitution and all of the laws, rules and regulations in force at the present time? Yes [] No []

32.1 State whether all or a portion of the regular insurance contributions were waived during the current year under premium-paying certificates on account of meeting attained age or membership requirements? Yes [] No []

32.2 If so, was an additional reserve included in Exhibit 5? Yes [] No [] N/A []

32.3 If yes, explain
.....

33.1 Has the reporting entity reinsured, amalgamated with, or absorbed any company, order, society, or association during the year? Yes [] No []

33.2 If yes, was there any contract agreement, or understanding, written or oral, expressed or implied, by means of which any officer, director, trustee, or any other person, or firm, corporation, society or association, received or is to receive any fee, commission, emolument, or compensation of any nature whatsoever in connection with, on an account of such reinsurance, amalgamation, absorption, or transfer of membership or funds? Yes [] No [] N/A []

34. Has any present or former officer, director, trustee, incorporator, or any other persons, or any firm, corporation, society or association, any claims of any nature whatsoever against this reporting entity, which is not included in the liabilities on Page 3 of this statement? Yes [] No []

35.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No []

35.2 If yes, what is the date of the original lien and the outstanding balance as the main surplus?

Date	Outstanding Lien amount
.....
.....

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

FIVE-YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.
\$000 omitted for amounts of life insurance

	1 2020	2 2019	3 2018	4 2017	5 2016
Life Insurance in Force (Exhibit of Life Insurance)					
1. Ordinary - whole life and endowment (Line 34, Col. 4)					
2. Ordinary - term (Line 21, Col. 4, less Line 34, Col. 4)					
3. Credit life (Line 21, Col. 6)					
4. Group, excluding FEGLI/SGLI (Line 21, Col. 9 less Lines 43 & 44, Col. 4)					
5. Industrial (Line 21, Col. 2)					
6. FEGLI/SGLI (Lines 43 & 44, Col. 4)					
7. Total (Line 21, Col. 10)					
7.1 Total in force for which VM-20 deterministic/stochastic reserves are calculated					XXX
New Business Issued (Exhibit of Life Insurance)					
8. Ordinary - whole life and endowment (Line 34, Col. 2)					
9. Ordinary - term (Line 2, Col. 4, less Line 34, Col. 2)					
10. Credit life (Line 2, Col. 6)					
11. Group (Line 2, Col. 9)					
12. Industrial (Line 2, Col. 2)					
13. Total (Line 2, Col. 10)					
Premium Income - Lines of Business (Exhibit 1 - Part 1)					
14. Industrial life (Line 20.4, Col. 2)					
15.1 Ordinary-life insurance (Line 20.4, Col. 3)					
15.2 Ordinary-individual annuities (Line 20.4, Col. 4)	322,984	1,614,459	155,274	217,665	254,519
16. Credit life (group and individual) (Line 20.4, Col. 5)					
17.1 Group life insurance (Line 20.4, Col. 6)					
17.2 Group annuities (Line 20.4, Col. 7)					
18.1 A & H-group (Line 20.4, Col. 8)					
18.2 A & H-credit (group and individual) (Line 20.4, Col. 9)					
18.3 A & H-other (Line 20.4, Col. 10)					
19. Aggregate of all other lines of business (Line 20.4, Col. 11)					
20. Total	322,984	1,614,459	155,274	217,665	254,519
Balance Sheet (Pages 2 & 3)					
21. Total admitted assets excluding Separate Accounts business (Page 2, Line 26, Col. 3)	181,318,300	188,616,429	196,225,768	208,526,176	213,284,832
22. Total liabilities excluding Separate Accounts business (Page 3, Line 26)	139,110,860	148,354,860	158,787,955	173,903,124	181,034,790
23. Aggregate life reserves (Page 3, Line 1)	132,977,889	144,286,442	155,620,969	169,645,309	177,603,059
23.1 Excess VM-20 deterministic/stochastic reserve over NPR related to Line 7.1					XXX
24. Aggregate A & H reserves (Page 3, Line 2)					
25. Deposit-type contract funds (Page 3, Line 3)	1,099,387	830,764	1,059,850	1,215,532	1,454,134
26. Asset valuation reserve (Page 3, Line 24.01)	1,172,694	1,259,407	1,295,107	1,384,574	894,734
27. Capital (Page 3, Lines 29 and 30)	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
28. Surplus (Page 3, Line 37)	40,207,440	38,261,570	35,437,813	32,623,052	30,250,042
Cash Flow (Page 5)					
29. Net Cash from Operations (Line 11)	(8,121,129)	(7,785,155)	(11,798,171)	(4,663,594)	(4,270,748)
Risk-Based Capital Analysis					
30. Total adjusted capital	43,380,133	41,520,977	38,732,920	36,007,626	33,144,776
31. Authorized control level risk - based capital	1,597,615	1,609,183	1,703,118	1,673,140	1,749,618
Percentage Distribution of Cash, Cash Equivalents and Invested Assets (Page 2, Col. 3) (Line No. /Page 2, Line 12, Col. 3) x 100.0					
32. Bonds (Line 1)	93.9	97.2	97.3	96.7	97.6
33. Stocks (Lines 2.1 and 2.2)					
34. Mortgage loans on real estate(Lines 3.1 and 3.2)					
35. Real estate (Lines 4.1, 4.2 and 4.3)					
36. Cash, cash equivalents and short-term investments (Line 5)	3.8	1.1	1.1	1.7	1.4
37. Contract loans (Line 6)					
38. Derivatives (Page 2, Line 7)					
39. Other invested assets (Line 8)	1.8	1.7	1.6	1.5	1.0
40. Receivables for securities (Line 9)	0.6			0.0	
41. Securities lending reinvested collateral assets (Line 10)					
42. Aggregate write-ins for invested assets (Line 11)					
43. Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

FIVE-YEAR HISTORICAL DATA

(Continued)

	1 2020	2 2019	3 2018	4 2017	5 2016
Investments in Parent, Subsidiaries and Affiliates					
44. Affiliated bonds (Schedule D Summary, Line 12, Col. 1)					
45. Affiliated preferred stocks (Schedule D Summary, Line 18, Col. 1)					
46. Affiliated common stocks (Schedule D Summary Line 24, Col. 1),					
47. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)					
48. Affiliated mortgage loans on real estate					
49. All other affiliated					
50. Total of above Lines 44 to 49					
51. Total Investment in Parent included in Lines 44 to 49 above					
Total Nonadmitted and Admitted Assets					
52. Total nonadmitted assets (Page 2, Line 28, Col. 2)	1,773,821	1,705,531	1,709,121	2,096,238	3,538,833
53. Total admitted assets (Page 2, Line 28, Col. 3)	181,318,300	188,616,429	196,225,768	208,526,176	213,284,832
Investment Data					
54. Net investment income (Exhibit of Net Investment Income)	7,796,575	8,951,590	9,304,467	10,226,077	10,030,331
55. Realized capital gains (losses) (Page 4, Line 34, Column 1)	(217,528)	(56,499)	(30,738)	455,485	(29,076)
56. Unrealized capital gains (losses) (Page 4, Line 38, Column 1)					
57. Total of above Lines 54, 55 and 56	7,579,047	8,895,092	9,273,729	10,681,562	10,001,255
Benefits and Reserve Increases (Page 6)					
58. Total contract/certificate benefits - life (Lines 10, 11, 12, 13, 14 and 15, Col. 1 minus Lines 10, 11,12, 13, 14 and 15, Cols. 6, 7 and 8)	15,878,838	17,363,253	19,532,030	14,576,272	13,394,367
59. Total contract/certificate benefits - A & H (Lines 13 & 14, Col. 6)					
60. Increase in life reserves - other than group and annuities (Line 19, Col. 2)					
61. Increase in A & H reserves (Line 19, Col. 6)					
62. Dividends to policyholders and refunds to members (Line 30, Col. 1)					
Operating Percentages					
63. Insurance expense percent (Page 6, Col. 1, Lines 21, 22 & 23, less Line 6)/(Page 6, Col. 1, Line 1 plus Exhibit 7, Col. 2, Line 2) x 100.0	167.8	36.5	321.6	200.0	171.5
64. Lapse percent (ordinary only) [(Exhibit of Life Insurance, Col. 4, Lines 14 & 15) / 1/2 (Exhibit of Life Insurance, Col. 4, Lines 1 & 21)] x 100.0					
65. A & H loss percent (Schedule H, Part 1, Lines 5 and 6, Col. 2)					
66. A & H cost containment percent (Schedule H, Pt. 1, Line 4, Col. 2)					
67. A & H expense percent excluding cost containment expenses (Schedule H, Pt. 1, Line 10, Col. 2)					
A & H Claim Reserve Adequacy					
68. Incurred losses on prior years' claims - group health (Schedule H, Part 3, Line 3.1 Col. 2)					
69. Prior years' claim liability and reserve - group health (Schedule H, Part 3, Line 3.2 Col. 2)					
70. Incurred losses on prior years' claims-health other than group (Schedule H, Part 3, Line 3.1 Col. 1 less Col. 2)					
71. Prior years' claim liability and reserve-health other than group (Schedule H, Part 3, Line 3.2 Col. 1 less Col. 2)					
Net Gains From Operations After Dividends to Policyholders/Members' Refunds and Federal Income Taxes by Lines of Business (Page 6.x, Line 33)					
72. Industrial life (Page 6.1, Col. 2)					
73. Ordinary - life (Page 6.1, Col. 1 less Cols. 2, 10 and 12)					
74. Ordinary - individual annuities (Page 6, Col. 4)	2,094,639	2,845,122	2,471,993	2,759,501	2,152,992
75. Ordinary-supplementary contracts	XXX	XXX	(18,703)	4,809	(77,783)
76. Credit life (Page 6.1, Col. 10 plus Page 6.2, Col. 7)					
77. Group life (Page 6.2, Col. 1 Less Cols. 7 and 9)					
78. Group annuities (Page 6, Col. 5)					
79. A & H-group (Page 6.5, Col. 3)					
80. A & H-credit (Page 6.5, Col. 10)					
81. A & H-other (Page 6.5, Col. 1 less Cols. 3 and 10)					
82. Aggregate of all other lines of business (Page 6, Col. 8)					
83. Fraternal (Page 6, Col. 7)					
84. Total (Page 6, Col. 1)	2,094,639	2,845,122	2,453,289	2,764,310	2,075,209

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [] No []

If no, please explain:



ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

DIRECT BUSINESS IN THE STATE OF Grand Total

DURING THE YEAR 2020

NAIC Group Code 2658

LIFE INSURANCE

NAIC Company Code 11135

DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS	1 Ordinary	2 Credit Life (Group and Individual)	3 Group	4 Industrial	5 Total
1. Life insurance					
2. Annuity considerations	322,984				322,984
3. Deposit-type contract funds		XXX		XXX	
4. Other considerations					
5. Totals (Sum of Lines 1 to 4)	322,984				322,984
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS					
Life insurance:					
6.1 Paid in cash or left on deposit					
6.2 Applied to pay renewal premiums					
6.3 Applied to provide paid-up additions or shorten the endowment or premium-paying period					
6.4 Other					
6.5 Totals (Sum of Lines 6.1 to 6.4)					
Annuities:					
7.1 Paid in cash or left on deposit					
7.2 Applied to provide paid-up annuities					
7.3 Other					
7.4 Totals (Sum of Lines 7.1 to 7.3)					
8. Grand Totals (Lines 6.5 plus 7.4)					
DIRECT CLAIMS AND BENEFITS PAID					
9. Death benefits					
10. Matured endowments					
11. Annuity benefits	2,213,539				2,213,539
12. Surrender values and withdrawals for life contracts	12,860,141				12,860,141
13. Aggregate write-ins for miscellaneous direct claims and benefits paid					
14. All other benefits, except accident and health	213,390				213,390
15. Totals	15,287,070				15,287,070
DETAILS OF WRITE-INS					
1301.					
1302.					
1303.					
1398. Summary of Line 13 from overflow page					
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)					

DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1	2	3	4	5	6	7	8	9	10
	No. of Pols. & Certifs.	Amount	No. of Ind.Pols. & Gr. Certifs.	Amount	No. of Certifs.	Amount	No. of Pols. & Certifs.	Amount	No. of Pols. & Certifs.	Amount
16. Unpaid December 31, prior year										
17. Incurred during current year Settled during current year:										
18.1 By payment in full										
18.2 By payment on compromised claims										
18.3 Totals paid										
18.4 Reduction by compromise										
18.5 Amount rejected										
18.6 Total settlements										
19. Unpaid Dec. 31, current year (16+17-18.6)										
POLICY EXHIBIT					No. of Policies					
20. In force December 31, prior year			(a)							
21. Issued during year										
22. Other changes to in force (Net)										
23. In force December 31 of current year			(a)							

(a) Includes Individual Credit Life Insurance prior year \$, current year \$
Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year \$, current year \$
Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS, prior year \$, current year \$

ACCIDENT AND HEALTH INSURANCE

	1	2	3	4	5
	Direct Premiums	Direct Premiums Earned	Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	Direct Losses Paid	Direct Losses Incurred
24. Group Policies (b)					
24.1 Federal Employees Health Benefits Plan premium (b)					
24.2 Credit (Group and Individual)					
24.3 Collectively renewable policies/certificates (b)					
24.4 Medicare Title XVIII exempt from state taxes or fees Other Individual Policies:					
25.1 Non-cancelable (b)					
25.2 Guaranteed renewable (b)					
25.3 Non-renewable for stated reasons only (b)					
25.4 Other accident only					
25.5 All other (b)					
25.6 Totals (sum of Lines 25.1 to 25.5)					
26. Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)					

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons
insured under indemnity only products

Exhibit of Life Insurance

N O N E

Exhibit of Life Insurance - Part 2

N O N E

Exhibit of Life Insurance - Part 3

N O N E

Exhibit of Life Insurance - Part 4

N O N E

Exhibit of Life Insurance - Part 5

N O N E

Exhibit of Life Insurance - Part 6

N O N E

Exhibit of Life Insurance - Part 7

N O N E

Exhibit of Life Insurance - Policies with Disability Provisions

N O N E

EXHIBIT OF NUMBER OF POLICIES, CONTRACTS, CERTIFICATES, INCOME PAYABLE AND ACCOUNT VALUES IN FORCE FOR SUPPLEMENTARY CONTRACTS, ANNUITIES, ACCIDENT & HEALTH AND OTHER POLICIES

SUPPLEMENTARY CONTRACTS				
	Ordinary		Group	
	1 Involving Life Contingencies	2 Not Involving Life Contingencies	3 Involving Life Contingencies	4 Not Involving Life Contingencies
1. In force end of prior year	26	10		
2. Issued during year		4		
3. Reinsurance assumed				
4. Increased during year (net)				
5. Totals (Lines 1 to 4)	26	14		
Deductions during year:				
6. Decreased (net)	3			
7. Reinsurance ceded				
8. Totals (Lines 6 and 7)	3			
9. In force end of year (line 5 minus line 8)	23	14		
10. Amount on deposit	780,221	(a) 687,137		(a)
11. Income now payable	23	14		
12. Amount of income payable	(a) 152,633	(a) 135,147	(a)	(a)

ANNUITIES				
	Ordinary		Group	
	1 Immediate	2 Deferred	3 Contracts	4 Certificates
1. In force end of prior year	42	1,830		
2. Issued during year		5		
3. Reinsurance assumed				
4. Increased during year (net)				
5. Totals (Lines 1 to 4)	42	1,835		
Deductions during year:				
6. Decreased (net)	3	153		
7. Reinsurance ceded				
8. Totals (Lines 6 and 7)	3	153		
9. In force end of year (line 5 minus line 8)	39	1,682		
Income now payable:				
10. Amount of income payable	(a) 477,632	XXX	XXX	(a)
Deferred fully paid:				
11. Account balance	XXX	(a)	XXX	(a)
Deferred not fully paid:				
12. Account balance	XXX	(a) 121,426,033	XXX	(a)

ACCIDENT AND HEALTH INSURANCE						
	Group		Credit		Other	
	1 Certificates	2 Premiums in Force	3 Policies	4 Premiums in Force	5 Policies	6 Premiums in Force
1. In force end of prior year						
2. Issued during year						
3. Reinsurance assumed						
4. Increased during year (net)		XXX		XXX		XXX
5. Totals (Lines 1 to 4)		XXX		XXX		XXX
Deductions during year:						
6. Conversions		XXX	XXX	XXX	XXX	XXX
7. Decreased (net)		XXX		XXX		XXX
8. Reinsurance ceded		XXX				XXX
9. Totals (Lines 6 to 8)		XXX		XXX		XXX
10. In force end of year (line 5 minus line 9)		(a)		(a)		(a)

DEPOSIT FUNDS AND DIVIDEND ACCUMULATIONS		
	1 Deposit Funds Contracts	2 Dividend Accumulations Contracts
1. In force end of prior year		
2. Issued during year		
3. Reinsurance assumed		
4. Increased during year (net)		
5. Totals (Lines 1 to 4)		
Deductions During Year:		
6. Decreased (net)		
7. Reinsurance ceded		
8. Totals (Lines 6 and 7)		
9. In force end of year (line 5 minus line 8)		
10. Amount of account balance	(a)	(a)

(a) See the Annual Audited Financial Reports section of the annual statement instructions.

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

INTEREST MAINTENANCE RESERVE		1
		Amount
1.	Reserve as of December 31, Prior Year	286,703
2.	Current year's realized pre-tax capital gains/(losses) of \$355,485 transferred into the reserve net of taxes of \$74,652	280,833
3.	Adjustment for current year's liability gains/(losses) released from the reserve	
4.	Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3)	567,537
5.	Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4)	23,812
6.	Reserve as of December 31, current year (Line 4 minus Line 5)	543,724

AMORTIZATION

	1	2	3	4
Year of Amortization	Reserve as of December 31, Prior Year	Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	Adjustment for Current Year's Liability Gains/(Losses) Released From the Reserve	Balance Before Reduction for Current Year's Amortization (Cols. 1 + 2 + 3)
1. 2020	4,968	18,844		23,812
2. 2021	9,494	40,601		50,095
3. 2022	16,771	37,006		53,777
4. 2023	25,892	25,547		51,439
5. 2024	31,204	13,623		44,827
6. 2025	29,401	957		30,358
7. 2026	27,296	(2,238)		25,058
8. 2027	26,760	3,287		30,046
9. 2028	24,087	9,011		33,098
10. 2029	19,561	15,253		34,813
11. 2030	15,652	21,282		36,934
12. 2031	13,121	22,696		35,816
13. 2032	10,459	19,504		29,963
14. 2033	9,083	16,100		25,183
15. 2034	8,149	12,483		20,632
16. 2035	6,527	8,866		15,393
17. 2036	4,698	6,320		11,018
18. 2037	2,676	5,056		7,732
19. 2038	906	3,687		4,593
20. 2039		2,212		2,212
21. 2040		737		737
22. 2041				
23. 2042				
24. 2043				
25. 2044				
26. 2045				
27. 2046				
28. 2047				
29. 2048				
30. 2049				
31. 2050 and Later				
32. Total (Lines 1 to 31)	286,703	280,833		567,537

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ASSET VALUATION RESERVE

	Default Component			Equity Component			7 Total Amount (Cols. 3 + 6)
	1 Other Than Mortgage Loans	2 Mortgage Loans	3 Total (Cols. 1 + 2)	4 Common Stock	5 Real Estate and Other Invested Assets	6 Total (Cols. 4 + 5)	
1. Reserve as of December 31, prior year	1,248,971		1,248,971		10,436	10,436	1,259,407
2. Realized capital gains/(losses) net of taxes - General Account	(217,528)		(217,528)				(217,528)
3. Realized capital gains/(losses) net of taxes - Separate Accounts							
4. Unrealized capital gains/(losses) net of deferred taxes - General Account							
5. Unrealized capital gains/(losses) net of deferred taxes - Separate Accounts							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves							
7. Basic contribution	240,176		240,176		1,575	1,575	241,751
8. Accumulated balances (Lines 1 through 5 - 6 + 7)	1,271,619		1,271,619		12,011	12,011	1,283,630
9. Maximum reserve	1,230,317		1,230,317		10,394	10,394	1,240,711
10. Reserve objective	723,912		723,912		5,039	5,039	728,952
11. 20% of (Line 10 - Line 8)	(109,541)		(109,541)		(1,394)	(1,394)	(110,936)
12. Balance before transfers (Lines 8 + 11)	1,162,078		1,162,078		10,616	10,616	1,172,694
13. Transfers	223		223		(223)	(223)	
14. Voluntary contribution							
15. Adjustment down to maximum/up to zero							
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15)	1,162,300		1,162,300		10,394	10,394	1,172,694

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols.4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
LONG-TERM BONDS												
1.		Exempt Obligations	404,137	XXX	XXX	404,137	0.0000		0.0000		0.0000	
2.1	1	NAIC Designation Category 1.A	10,270,867	XXX	XXX	10,270,867	0.0005	5,135	0.0016	16,433	0.0033	33,894
2.2	1	NAIC Designation Category 1.B	10,595,880	XXX	XXX	10,595,880	0.0005	5,298	0.0016	16,953	0.0033	34,966
2.3	1	NAIC Designation Category 1.C	5,566,624	XXX	XXX	5,566,624	0.0005	2,783	0.0016	8,907	0.0033	18,370
2.4	1	NAIC Designation Category 1.D	29,921,657	XXX	XXX	29,921,657	0.0005	14,961	0.0016	47,875	0.0033	98,741
2.5	1	NAIC Designation Category 1.E	9,203,437	XXX	XXX	9,203,437	0.0005	4,602	0.0016	14,725	0.0033	30,371
2.6	1	NAIC Designation Category 1.F	17,617,236	XXX	XXX	17,617,236	0.0005	8,809	0.0016	28,188	0.0033	58,137
2.7	1	NAIC Designation Category 1.G	9,404,855	XXX	XXX	9,404,855	0.0005	4,702	0.0016	15,048	0.0033	31,036
2.8		Subtotal NAIC 1 (2.1+2.2+2.3+2.4+2.5+2.6+2.7)	92,580,557	XXX	XXX	92,580,557	XXX	46,290	XXX	148,129	XXX	305,516
3.1	2	NAIC Designation Category 2.A	24,738,381	XXX	XXX	24,738,381	0.0021	51,951	0.0064	158,326	0.0106	262,227
3.2	2	NAIC Designation Category 2.B	26,920,967	XXX	XXX	26,920,967	0.0021	56,534	0.0064	172,294	0.0106	285,362
3.3	2	NAIC Designation Category 2.C	19,480,005	XXX	XXX	19,480,005	0.0021	40,908	0.0064	124,672	0.0106	206,488
3.4		Subtotal NAIC 2 (3.1+3.2+3.3)	71,139,353	XXX	XXX	71,139,353	XXX	149,393	XXX	455,292	XXX	754,077
4.1	3	NAIC Designation Category 3.A	1,745,153	XXX	XXX	1,745,153	0.0099	17,277	0.0263	45,898	0.0376	65,618
4.2	3	NAIC Designation Category 3.B	1,357,115	XXX	XXX	1,357,115	0.0099	13,435	0.0263	35,692	0.0376	51,028
4.3	3	NAIC Designation Category 3.C	997,504	XXX	XXX	997,504	0.0099	9,875	0.0263	26,234	0.0376	37,506
4.4		Subtotal NAIC 3 (4.1+4.2+4.3)	4,099,772	XXX	XXX	4,099,772	XXX	40,588	XXX	107,824	XXX	154,151
5.1	4	NAIC Designation Category 4.A		XXX	XXX		0.0245		0.0572		0.0817	
5.2	4	NAIC Designation Category 4.B	159,414	XXX	XXX	159,414	0.0245	3,906	0.0572	9,118	0.0817	13,024
5.3	4	NAIC Designation Category 4.C		XXX	XXX		0.0245		0.0572		0.0817	
5.4		Subtotal NAIC 4 (5.1+5.2+5.3)	159,414	XXX	XXX	159,414	XXX	3,906	XXX	9,118	XXX	13,024
6.1	5	NAIC Designation Category 5.A		XXX	XXX		0.0630		0.1128		0.1880	
6.2	5	NAIC Designation Category 5.B		XXX	XXX		0.0630		0.1128		0.1880	
6.3	5	NAIC Designation Category 5.C		XXX	XXX		0.0630		0.1128		0.1880	
6.4		Subtotal NAIC 5 (6.1+6.2+6.3)		XXX	XXX		XXX		XXX		XXX	
7.	6	NAIC 6	14,974	XXX	XXX	14,974	0.0000		0.2370	3,549	0.2370	3,549
8.		Total Unrated Multi-class Securities Acquired by Conversion		XXX	XXX		XXX		XXX		XXX	
9.		Total Long-Term Bonds (1+2.8+3.4+4.4+5.4+6.4+7+8)	168,398,206	XXX	XXX	168,398,206	XXX	240,176	XXX	723,912	XXX	1,230,317
PREFERRED STOCKS												
10.	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
11.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
12.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
13.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
14.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
15.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
16.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
17.		Total Preferred Stocks (Sum of Lines 10 through 16)		XXX	XXX		XXX		XXX		XXX	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
		SHORT-TERM BONDS										
18.		Exempt Obligations		XXX	XXX		0.0000		0.0000		0.0000	
19.1	1	NAIC Designation Category 1.A		XXX	XXX		0.0005		0.0016		0.0033	
19.2	1	NAIC Designation Category 1.B		XXX	XXX		0.0005		0.0016		0.0033	
19.3	1	NAIC Designation Category 1.C		XXX	XXX		0.0005		0.0016		0.0033	
19.4	1	NAIC Designation Category 1.D		XXX	XXX		0.0005		0.0016		0.0033	
19.5	1	NAIC Designation Category 1.E		XXX	XXX		0.0005		0.0016		0.0033	
19.6	1	NAIC Designation Category 1.F		XXX	XXX		0.0005		0.0016		0.0033	
19.7	1	NAIC Designation Category 1.G		XXX	XXX		0.0005		0.0016		0.0033	
19.8		Subtotal NAIC 1 (19.1+19.2+19.3+19.4+19.5+19.6+19.7)		XXX	XXX		XXX		XXX		XXX	
20.1	2	NAIC Designation Category 2.A		XXX	XXX		0.0021		0.0064		0.0106	
20.2	2	NAIC Designation Category 2.B		XXX	XXX		0.0021		0.0064		0.0106	
20.3	2	NAIC Designation Category 2.C		XXX	XXX		0.0021		0.0064		0.0106	
20.4		Subtotal NAIC 2 (20.1+20.2+20.3)		XXX	XXX		XXX		XXX		XXX	
21.1	3	NAIC Designation Category 3.A		XXX	XXX		0.0099		0.0263		0.0376	
21.2	3	NAIC Designation Category 3.B		XXX	XXX		0.0099		0.0263		0.0376	
21.3	3	NAIC Designation Category 3.C		XXX	XXX		0.0099		0.0263		0.0376	
21.4		Subtotal NAIC 3 (21.1+21.2+21.3)		XXX	XXX		XXX		XXX		XXX	
22.1	4	NAIC Designation Category 4.A		XXX	XXX		0.0245		0.0572		0.0817	
22.2	4	NAIC Designation Category 4.B		XXX	XXX		0.0245		0.0572		0.0817	
22.3	4	NAIC Designation Category 4.C		XXX	XXX		0.0245		0.0572		0.0817	
22.4		Subtotal NAIC 4 (22.1+22.2+22.3)		XXX	XXX		XXX		XXX		XXX	
23.1	5	NAIC Designation Category 5.A		XXX	XXX		0.0630		0.1128		0.1880	
23.2	5	NAIC Designation Category 5.B		XXX	XXX		0.0630		0.1128		0.1880	
23.3	5	NAIC Designation Category 5.C		XXX	XXX		0.0630		0.1128		0.1880	
23.4		Subtotal NAIC 5 (23.1+23.2+23.3)		XXX	XXX		XXX		XXX		XXX	
24.	6	NAIC 6		XXX	XXX		0.0000		0.2370		0.2370	
25.		Total Short-Term Bonds (18+19.8+20.4+21.4+22.4+23.4+24)		XXX	XXX		XXX		XXX		XXX	
		DERIVATIVE INSTRUMENTS										
26.		Exchange Traded		XXX	XXX		0.0005		0.0016		0.0033	
27.	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
28.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
29.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
30.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
31.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
32.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
33.		Total Derivative Instruments		XXX	XXX		XXX		XXX		XXX	
34.		Total (Lines 9 + 17 + 25 + 33)	168,398,206	XXX	XXX	168,398,206	XXX	240,176	XXX	723,912	XXX	1,230,317

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1 Book/Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols.4 x 5)	7 Factor	8 Amount (Cols. 4 x 7)	9 Factor	10 Amount (Cols. 4 x 9)
		MORTGAGE LOANS										
		In Good Standing:										
35.		Farm Mortgages - CM1 - Highest Quality			XXX		0.0011		0.0057		0.0074	
36.		Farm Mortgages - CM2 - High Quality			XXX		0.0040		0.0114		0.0149	
37.		Farm Mortgages - CM3 - Medium Quality			XXX		0.0069		0.0200		0.0257	
38.		Farm Mortgages - CM4 - Low Medium Quality			XXX		0.0120		0.0343		0.0428	
39.		Farm Mortgages - CM5 - Low Quality			XXX		0.0183		0.0486		0.0628	
40.		Residential Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
41.		Residential Mortgages - All Other			XXX		0.0015		0.0034		0.0046	
42.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
43.		Commercial Mortgages - All Other - CM1 - Highest Quality			XXX		0.0011		0.0057		0.0074	
44.		Commercial Mortgages - All Other - CM2 - High Quality			XXX		0.0040		0.0114		0.0149	
45.		Commercial Mortgages - All Other - CM3 - Medium Quality			XXX		0.0069		0.0200		0.0257	
46.		Commercial Mortgages - All Other - CM4 - Low Medium Quality			XXX		0.0120		0.0343		0.0428	
47.		Commercial Mortgages - All Other - CM5 - Low Quality			XXX		0.0183		0.0486		0.0628	
		Overdue, Not in Process:										
48.		Farm Mortgages			XXX		0.0480		0.0868		0.1371	
49.		Residential Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
50.		Residential Mortgages - All Other			XXX		0.0029		0.0066		0.0103	
51.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
52.		Commercial Mortgages - All Other			XXX		0.0480		0.0868		0.1371	
		In Process of Foreclosure:										
53.		Farm Mortgages			XXX		0.0000		0.1942		0.1942	
54.		Residential Mortgages - Insured or Guaranteed			XXX		0.0000		0.0046		0.0046	
55.		Residential Mortgages - All Other			XXX		0.0000		0.0149		0.0149	
56.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0000		0.0046		0.0046	
57.		Commercial Mortgages - All Other			XXX		0.0000		0.1942		0.1942	
58.		Total Schedule B Mortgages (Sum of Lines 35 through 57)			XXX		XXX		XXX		XXX	
59.		Schedule DA Mortgages			XXX		0.0034		0.0114		0.0149	
60.		Total Mortgage Loans on Real Estate (Lines 58 + 59)			XXX		XXX		XXX		XXX	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
COMMON STOCK												
1.		Unaffiliated - Public		XXX	XXX		0.0000		0.1580 (a)		0.1580 (a)	
2.		Unaffiliated - Private		XXX	XXX		0.0000		0.1945		0.1945	
3.		Federal Home Loan Bank		XXX	XXX		0.0000		0.0061		0.0097	
4.		Affiliated - Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
Affiliated - Investment Subsidiary:												
5.		Fixed Income - Exempt Obligations					XXX		XXX		XXX	
6.		Fixed Income - Highest Quality					XXX		XXX		XXX	
7.		Fixed Income - High Quality					XXX		XXX		XXX	
8.		Fixed Income - Medium Quality					XXX		XXX		XXX	
9.		Fixed Income - Low Quality					XXX		XXX		XXX	
10.		Fixed Income - Lower Quality					XXX		XXX		XXX	
11.		Fixed Income - In/Near Default					XXX		XXX		XXX	
12.		Unaffiliated Common Stock - Public					0.0000		0.1580 (a)		0.1580 (a)	
13.		Unaffiliated Common Stock - Private					0.0000		0.1945		0.1945	
14.		Real Estate					(b)		(b)		(b)	
15.		Affiliated - Certain Other (See SVO Purposes and Procedures Manual)		XXX	XXX		0.0000		0.1580		0.1580	
16.		Affiliated - All Other		XXX	XXX		0.0000		0.1945		0.1945	
17.		Total Common Stock (Sum of Lines 1 through 16)					XXX		XXX		XXX	
REAL ESTATE												
18.		Home Office Property (General Account only)					0.0000		0.0912		0.0912	
19.		Investment Properties					0.0000		0.0912		0.0912	
20.		Properties Acquired in Satisfaction of Debt					0.0000		0.1337		0.1337	
21.		Total Real Estate (Sum of Lines 18 through 20)					XXX		XXX		XXX	
OTHER INVESTED ASSETS												
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS												
22.		Exempt Obligations		XXX	XXX		0.0000		0.0000		0.0000	
23.	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
24.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
25.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
26.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
27.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
28.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
29.		Total with Bond Characteristics (Sum of Lines 22 through 28)		XXX	XXX		XXX		XXX		XXX	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS										
30.	1	Highest Quality	3,149,682	XXX	XXX	3,149,682	0.0005	1,575	0.0016	5,039	0.0033	10,394
31.	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
32.	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
33.	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
34.	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
35.	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
36.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
37.		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)	3,149,682	XXX	XXX	3,149,682	XXX	1,575	XXX	5,039	XXX	10,394
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS										
		In Good Standing Affiliated:										
38.		Mortgages - CM1 - Highest Quality			XXX		0.0011		0.0057		0.0074	
39.		Mortgages - CM2 - High Quality			XXX		0.0040		0.0114		0.0149	
40.		Mortgages - CM3 - Medium Quality			XXX		0.0069		0.0200		0.0257	
41.		Mortgages - CM4 - Low Medium Quality			XXX		0.0120		0.0343		0.0428	
42.		Mortgages - CM5 - Low Quality			XXX		0.0183		0.0486		0.0628	
43.		Residential Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
44.		Residential Mortgages - All Other		XXX	XXX		0.0015		0.0034		0.0046	
45.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
		Overdue, Not in Process Affiliated:										
46.		Farm Mortgages			XXX		0.0480		0.0868		0.1371	
47.		Residential Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
48.		Residential Mortgages - All Other			XXX		0.0029		0.0066		0.0103	
49.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
50.		Commercial Mortgages - All Other			XXX		0.0480		0.0868		0.1371	
		In Process of Foreclosure Affiliated:										
51.		Farm Mortgages			XXX		0.0000		0.1942		0.1942	
52.		Residential Mortgages - Insured or Guaranteed			XXX		0.0000		0.0046		0.0046	
53.		Residential Mortgages - All Other			XXX		0.0000		0.0149		0.0149	
54.		Commercial Mortgages - Insured or Guaranteed			XXX		0.0000		0.0046		0.0046	
55.		Commercial Mortgages - All Other			XXX		0.0000		0.1942		0.1942	
56.		Total Affiliated (Sum of Lines 38 through 55)			XXX		XXX		XXX		XXX	
57.		Unaffiliated - In Good Standing With Covenants			XXX		(c)		(c)		(c)	
58.		Unaffiliated - In Good Standing Defeased With Government Securities			XXX		0.0011		0.0057		0.0074	
59.		Unaffiliated - In Good Standing Primarily Senior			XXX		0.0040		0.0114		0.0149	
60.		Unaffiliated - In Good Standing All Other			XXX		0.0069		0.0200		0.0257	
61.		Unaffiliated - Overdue, Not in Process			XXX		0.0480		0.0868		0.1371	
62.		Unaffiliated - In Process of Foreclosure			XXX		0.0000		0.1942		0.1942	
63.		Total Unaffiliated (Sum of Lines 57 through 62)			XXX		XXX		XXX		XXX	
64.		Total with Mortgage Loan Characteristics (Lines 56 + 63)			XXX		XXX		XXX		XXX	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

ASSET VALUATION RESERVE (Continued)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Num- ber	NAIC Desig- nation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book/Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1 + 2 + 3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4 x 5)	Factor	Amount (Cols. 4 x 7)	Factor	Amount (Cols. 4 x 9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK										
65.		Unaffiliated Public		XXX	XXX		0.0000		0.1580 (a)		0.1580 (a)	
66.		Unaffiliated Private		XXX	XXX		0.0000		0.1945		0.1945	
67.		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
68.		Affiliated Certain Other (See SVO Purposes & Procedures Manual)		XXX	XXX		0.0000		0.1580		0.1580	
69.		Affiliated Other - All Other		XXX	XXX		0.0000		0.1945		0.1945	
70.		Total with Common Stock Characteristics (Sum of Lines 65 through 69)		XXX	XXX		XXX		XXX		XXX	
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE										
71.		Home Office Property (General Account only)					0.0000		0.0912		0.0912	
72.		Investment Properties					0.0000		0.0912		0.0912	
73.		Properties Acquired in Satisfaction of Debt					0.0000		0.1337		0.1337	
74.		Total with Real Estate Characteristics (Sum of Lines 71 through 73)					XXX		XXX		XXX	
		LOW INCOME HOUSING TAX CREDIT INVESTMENTS										
75.		Guaranteed Federal Low Income Housing Tax Credit					0.0003		0.0006		0.0010	
76.		Non-guaranteed Federal Low Income Housing Tax Credit					0.0063		0.0120		0.0190	
77.		Guaranteed State Low Income Housing Tax Credit					0.0003		0.0006		0.0010	
78.		Non-guaranteed State Low Income Housing Tax Credit					0.0063		0.0120		0.0190	
79.		All Other Low Income Housing Tax Credit					0.0273		0.0600		0.0975	
80.		Total LIHTC (Sum of Lines 75 through 79)					XXX		XXX		XXX	
		ALL OTHER INVESTMENTS										
81.		NAIC 1 Working Capital Finance Investments		XXX			0.0000		0.0042		0.0042	
82.		NAIC 2 Working Capital Finance Investments		XXX			0.0000		0.0137		0.0137	
83.		Other Invested Assets - Schedule BA		XXX			0.0000		0.1580		0.1580	
84.		Other Short-Term Invested Assets - Schedule DA		XXX			0.0000		0.1580		0.1580	
85.		Total All Other (Sum of Lines 81, 82, 83 and 84)		XXX			XXX		XXX		XXX	
86.		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80 and 85)	3,149,682			3,149,682	XXX	1,575	XXX	5,039	XXX	10,394

(a) Times the company's weighted average portfolio beta (Minimum .1215, Maximum .2431).
(b) Determined using the same factors and breakdowns used for directly owned real estate.
(c) This will be the factor associated with the risk category determined in the company generated worksheet.

Asset Valuation Reserve - Replications (Synthetic) Assets

N O N E

Schedule F - Claims

N O N E

Schedule H - Part 1 - Analysis of Underwriting Operations

N O N E

Schedule H - Part 2 - Reserves and Liabilities

N O N E

Schedule H - Part 3 - Test of Prior Year's Claim Reserves and Liabilities

N O N E

Schedule H - Part 4 - Reinsurance

N O N E

Schedule H - Part 5 - Health Claims

N O N E

Schedule S - Part 1 - Section 1

N O N E

Schedule S - Part 1 - Section 2

N O N E

Schedule S - Part 2

N O N E

Schedule S - Part 3 - Section 1

N O N E

Schedule S - Part 3 - Section 2

N O N E

Schedule S - Part 4

N O N E

Schedule S - Part 4 - Bank Footnote

N O N E

Schedule S - Part 5

N O N E

Schedule S - Part 5 - Bank Footnote

N O N E

Schedule S - Part 6

N O N E

Schedule S - Part 7

N O N E

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS (b)

Allocated by States and Territories

			Direct Business Only					
			Life Contracts		4	5	6	7
			2	3				
States, Etc.		Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5 (b)	Deposit-Type Contracts
1.	Alabama	AL N						
2.	Alaska	AK N						
3.	Arizona	AZ N						
4.	Arkansas	AR N						
5.	California	CA N						
6.	Colorado	CO N						
7.	Connecticut	CT N						
8.	Delaware	DE N						
9.	District of Columbia	DC N						
10.	Florida	FL N		1,600			1,600	
11.	Georgia	GA N						
12.	Hawaii	HI N						
13.	Idaho	ID N						
14.	Illinois	IL N						
15.	Indiana	IN N						
16.	Iowa	IA N						
17.	Kansas	KS N						
18.	Kentucky	KY N						
19.	Louisiana	LA N						
20.	Maine	ME N						
21.	Maryland	MD N						
22.	Massachusetts	MA N						
23.	Michigan	MI N						
24.	Minnesota	MN N						
25.	Mississippi	MS N						
26.	Missouri	MO N						
27.	Montana	MT N						
28.	Nebraska	NE N						
29.	Nevada	NV N						
30.	New Hampshire	NH N						
31.	New Jersey	NJ N		50,715			50,715	
32.	New Mexico	NM N						
33.	New York	NY L		270,519			270,519	
34.	North Carolina	NC N						
35.	North Dakota	ND N						
36.	Ohio	OH N						
37.	Oklahoma	OK N						
38.	Oregon	OR N						
39.	Pennsylvania	PA N		150			150	
40.	Rhode Island	RI N						
41.	South Carolina	SC N						
42.	South Dakota	SD N						
43.	Tennessee	TN N						
44.	Texas	TX N						
45.	Utah	UT N						
46.	Vermont	VT N						
47.	Virginia	VA N						
48.	Washington	WA N						
49.	West Virginia	WV N						
50.	Wisconsin	WI N						
51.	Wyoming	WY N						
52.	American Samoa	AS N						
53.	Guam	GU N						
54.	Puerto Rico	PR N						
55.	U.S. Virgin Islands	VI N						
56.	Northern Mariana Islands	MP N						
57.	Canada	CAN N						
58.	Aggregate Other Alien	OT XXX						
59.	Subtotal	XXX		322,984			322,984	
90.	Reporting entity contributions for employee benefits plans	XXX						
91.	Dividends or refunds applied to purchase paid-up additions and annuities	XXX						
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX						
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX						
94.	Aggregate or other amounts not allocable by State	XXX						
95.	Totals (Direct Business)	XXX		322,984			322,984	
96.	Plus reinsurance assumed	XXX						
97.	Totals (All Business)	XXX		322,984			322,984	
98.	Less reinsurance ceded	XXX						
99.	Totals (All Business) less Reinsurance Ceded	XXX		322,984	(c)		322,984	
DETAILS OF WRITE-INS								
58001.		XXX						
58002.		XXX						
58003.		XXX						
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						
9401.		XXX						
9402.		XXX						
9403.		XXX						
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX						
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX						

(a) Active Status Counts:
L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....1
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....
N - None of the above - Not allowed to write business in the state.....56
R - Registered - Non-domiciled RRGs.....
Q - Qualified - Qualified or accredited reinsurer.....

(b) Explanation of basis of allocation by states, etc., of premiums and annuity considerations
Individual: State of Residence when received. Group: N/A

(c) Column 4 should balance with Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10, or with Schedule H, Part 1, Line 1, indicate which: Exhibit 1, Lines 6.4, 10.4, and 16.4, Cols. 8, 9, 10.

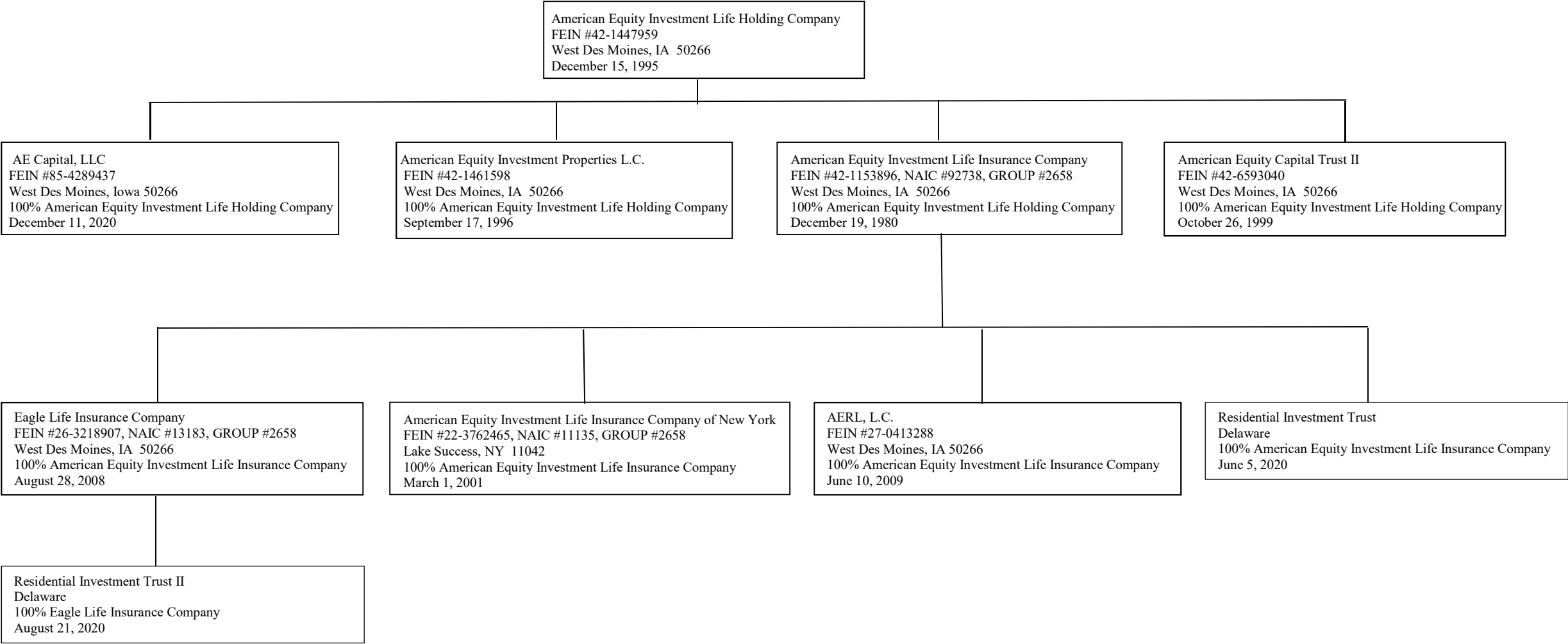
ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE T - PART 2
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN

Allocated by States and Territories

			Direct Business Only					
			1	2	3	4	5	6
States, Etc.			Life (Group and Individual)	Annuities (Group and Individual)	Disability Income (Group and Individual)	Long-Term Care (Group and Individual)	Deposit-Type Contracts	Totals
1.	Alabama	AL						
2.	Alaska	AK						
3.	Arizona	AZ						
4.	Arkansas	AR						
5.	California	CA						
6.	Colorado	CO						
7.	Connecticut	CT						
8.	Delaware	DE						
9.	District of Columbia	DC						
10.	Florida	FL		1,600				1,600
11.	Georgia	GA						
12.	Hawaii	HI						
13.	Idaho	ID						
14.	Illinois	IL						
15.	Indiana	IN						
16.	Iowa	IA						
17.	Kansas	KS						
18.	Kentucky	KY						
19.	Louisiana	LA						
20.	Maine	ME						
21.	Maryland	MD						
22.	Massachusetts	MA						
23.	Michigan	MI						
24.	Minnesota	MN						
25.	Mississippi	MS						
26.	Missouri	MO						
27.	Montana	MT						
28.	Nebraska	NE						
29.	Nevada	NV						
30.	New Hampshire	NH						
31.	New Jersey	NJ		50,715				50,715
32.	New Mexico	NM						
33.	New York	NY		270,519				270,519
34.	North Carolina	NC						
35.	North Dakota	ND						
36.	Ohio	OH						
37.	Oklahoma	OK						
38.	Oregon	OR						
39.	Pennsylvania	PA		150				150
40.	Rhode Island	RI						
41.	South Carolina	SC						
42.	South Dakota	SD						
43.	Tennessee	TN						
44.	Texas	TX						
45.	Utah	UT						
46.	Vermont	VT						
47.	Virginia	VA						
48.	Washington	WA						
49.	West Virginia	WV						
50.	Wisconsin	WI						
51.	Wyoming	WY						
52.	American Samoa	AS						
53.	Guam	GU						
54.	Puerto Rico	PR						
55.	U.S. Virgin Islands	VI						
56.	Northern Mariana Islands	MP						
57.	Canada	CAN						
58.	Aggregate Other Alien	OT						
59.	Total			322,984				322,984

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

[illegible]

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Responses
MARCH FILING	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?	YES
3. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?	YES
4. Will an actuarial opinion be filed by March 1?	YES
APRIL FILING	
5. Will Management’s Discussion and Analysis be filed by April 1?	YES
6. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
7. Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	YES
8. Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
JUNE FILING	
9. Will an audited financial report be filed by June 1?	YES
10. Will Accountant’s Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
AUGUST FILING	
11. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1?	YES

The following supplemental reports are required to be filed as part of your annual statement filing **if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below.** If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING	
12. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? (Not applicable to fraternal benefit societies) ...	NO
13. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
14. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?	NO
15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	NO
16. Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	YES
17. Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1?	NO
18. Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1?	NO
19. Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1?	NO
20. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
21. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
22. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1?	NO
23. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
24. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
25. Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1?	YES
26. Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1?	NO

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES





27.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
28.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1?	NO
29.	Will the Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	
30.	Will the Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	
31.	Will the Management Certification That the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	
32.	Will the Actuarial Certification Related to the Reserves required by Actuarial Guideline XLIII be filed with the state of domicile and electronically with the NAIC by March 1?	
33.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
34.	Will the Worker's Compensation Carve-Out Supplement be filed by March 1? (Not applicable to fraternal benefit societies)	NO
35.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1?	NO
36.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?	NO
37.	Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
38.	Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
39.	Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?	NO
40.	Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1?	NO

APRIL FILING

41.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1?	YES
42.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
43.	Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies) ...	NO
44.	Will the Accident and Health Policy Experience Exhibit be filed by April 1?	NO
45.	Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	NO
46.	Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?	NO
47.	Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30?	NO
48.	Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1?	NO
49.	Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1?	NO
50.	Will the confidential Executive Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
51.	Will the confidential Life Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO
52.	Will the confidential Variable Annuities Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?	NO

AUGUST FILING

53.	Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	NO
Explanations:		
12.	Not required to be filed by Company	
13.	Not required to be filed by Company	
14.	Not required to be filed by Company	
15.	Not required to be filed by Company	
17.	Not required to be filed by Company	
18.	Not required to be filed by Company	
19.	Not required to be filed by Company	
20.	Not required to be filed by Company	
21.	Not required to be filed by Company	
22.	Not required to be filed by Company	
23.	Not required to be filed by Company	
24.	Not required to be filed by Company	
26.	Not required to be filed by Company	
27.	Not required to be filed by Company	
28.	Not required to be filed by Company	
33.	Not required to be filed by Company	
34.	Not required to be filed by Company	
35.	Not required to be filed by Company	
36.	Not required to be filed by Company	
37.	Not required to be filed by Company	
38.	Not required to be filed by Company	
39.	Not required to be filed by Company	
40.	Not required to be filed by Company	
42.	Not required to be filed by Company	
43.	Not required to be filed by Company	
44.	Not required to be filed by Company	
45.	Not required to be filed by Company	
46.	Not required to be filed by Company	
47.	Not required to be filed by Company	
48.	Not required to be filed by Company	
49.	Not required to be filed by Company	
50.	Not required to be filed by Company	
51.	Not required to be filed by Company	
52.	Not required to be filed by Company	
53.	Not required to be filed by Company	

Bar Codes:		
12.	SIS Stockholder Information Supplement [Document Identifier 420]	
13.	Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]	
14.	Trusteed Surplus Statement [Document Identifier 490]	
15.	Participating Opinion for Exhibit 5 [Document Identifier 371]	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

17.	Actuarial Opinion on X-Factors [Document Identifier 442]	 1 1 1 3 5 2 0 2 0 4 4 2 0 0 0 0 0
18.	Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit [Document Identifier 443]	 1 1 1 3 5 2 0 2 0 4 4 3 0 0 0 0 0
19.	Actuarial Opinion on Synthetic Guaranteed Investment Contracts [Document Identifier 444]	 1 1 1 3 5 2 0 2 0 4 4 4 0 0 0 0 0
20.	Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]	 1 1 1 3 5 2 0 2 0 4 4 5 0 0 0 0 0
21.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]	 1 1 1 3 5 2 0 2 0 4 4 6 0 0 0 0 0
22.	Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]	 1 1 1 3 5 2 0 2 0 4 4 7 0 0 0 0 0
23.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]	 1 1 1 3 5 2 0 2 0 4 4 8 0 0 0 0 0
24.	Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]	 1 1 1 3 5 2 0 2 0 4 4 9 0 0 0 0 0
26.	C-3 RBC Certifications Required Under C-3 Phase II [Document Identifier 451]	 1 1 1 3 5 2 0 2 0 4 5 1 0 0 0 0 0
27.	Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities [Document Identifier 452]	 1 1 1 3 5 2 0 2 0 4 5 2 0 0 0 0 0
28.	Modified Guaranteed Annuity Model Regulation [Document Identifier 453]	 1 1 1 3 5 2 0 2 0 4 5 3 0 0 0 0 0
33.	Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities [Document Identifier 454]	 1 1 1 3 5 2 0 2 0 4 5 4 0 0 0 0 0
34.	Workers' Compensation Carve-Out Supplement [Document Identifier 495]	 1 1 1 3 5 2 0 2 0 4 9 5 0 0 0 0 0
35.	Supplemental Schedule O [Document Identifier 465]	 1 1 1 3 5 2 0 2 0 4 6 5 0 0 0 0 0
36.	Medicare Part D Coverage Supplement [Document Identifier 365]	 1 1 1 3 5 2 0 2 0 3 6 5 0 0 0 0 0
37.	Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	 1 1 1 3 5 2 0 2 0 2 2 2 4 0 0 0 0
38.	Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	 1 1 1 3 5 2 0 2 0 2 2 2 5 0 0 0 0
39.	Relief from the Requirements for Audit Committees [Document Identifier 226]	 1 1 1 3 5 2 0 2 0 2 2 2 6 0 0 0 0
40.	VM-20 Reserves Supplement [Document Identifier 456]	 1 1 1 3 5 2 0 2 0 4 5 6 0 0 0 0 0
42.	Long-Term Care Experience Reporting Forms [Document Identifier 306]	 1 1 1 3 5 2 0 2 0 3 0 6 0 0 0 0 0
43.	Credit Insurance Experience Exhibit [Document Identifier 230]	 1 1 1 3 5 2 0 2 0 2 3 0 0 0 0 0 0
44.	Accident and Health Policy Experience Exhibit [Document Identifier 210]	 1 1 1 3 5 2 0 2 0 2 1 0 0 0 0 0 0
45.	Supplemental Health Care Exhibit (Parts 1, 2 and 3) [Document Identifier 216]	 1 1 1 3 5 2 0 2 0 2 1 6 0 0 0 0 0
46.	Supplemental Health Care Exhibit's Expense Allocation Report [Document Identifier 217]	 1 1 1 3 5 2 0 2 0 2 1 7 0 0 0 0 0
47.	Actuarial Memorandum Required by Actuarial Guideline XXXVIII 8D [Document Identifier 435]	 1 1 1 3 5 2 0 2 0 4 3 5 0 0 0 0 0
48.	Supplemental Term and Universal Life Insurance Reinsurance Exhibit [Document Identifier 345]	 1 1 1 3 5 2 0 2 0 3 4 5 0 0 0 0 0
49.	Variable Annuities Supplement [Document Identifier 286]	 1 1 1 3 5 2 0 2 0 2 6 6 0 0 0 0 0
50.	Executive Summary of the PBR Actuarial Report [Document Identifier 457]	 1 1 1 3 5 2 0 2 0 4 5 7 0 0 0 0 0

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

51. Life Summary of the PBR Actuarial Report [Document Identifier 458]



52. Variable Annuities Summary of the PBR Actuarial Report
[Document Identifier 459]



53. Management's Report of Internal Control Over Financial Reporting
[Document Identifier 223]



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NONE

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage of Column 1 Line 13	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage of Column 5 Line 13
1. Long-Term Bonds (Schedule D, Part 1):						
1.01 U.S. governments	404,137	0.225	404,137		404,137	0.225
1.02 All other governments		0.000				0.000
1.03 U.S. states, territories and possessions, etc. guaranteed	517,155	0.288	517,155		517,155	0.288
1.04 U.S. political subdivisions of states, territories, and possessions, guaranteed	2,313,427	1.290	2,313,427		2,313,427	1.290
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed	34,862,067	19.442	34,862,067		34,862,067	19.442
1.06 Industrial and miscellaneous	130,301,420	72.665	130,301,420		130,301,420	72.665
1.07 Hybrid securities		0.000				0.000
1.08 Parent, subsidiaries and affiliates		0.000				0.000
1.09 SVO identified funds		0.000				0.000
1.10 Unaffiliated Bank loans		0.000				0.000
1.11 Total long-term bonds	168,398,206	93.911	168,398,206		168,398,206	93.911
2. Preferred stocks (Schedule D, Part 2, Section 1):						
2.01 Industrial and miscellaneous (Unaffiliated)		0.000				0.000
2.02 Parent, subsidiaries and affiliates		0.000				0.000
2.03 Total preferred stocks		0.000				0.000
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)		0.000				0.000
3.02 Industrial and miscellaneous Other (Unaffiliated)		0.000				0.000
3.03 Parent, subsidiaries and affiliates Publicly traded		0.000				0.000
3.04 Parent, subsidiaries and affiliates Other		0.000				0.000
3.05 Mutual funds		0.000				0.000
3.06 Unit investment trusts		0.000				0.000
3.07 Closed-end funds		0.000				0.000
3.08 Total common stocks		0.000				0.000
4. Mortgage loans (Schedule B):						
4.01 Farm mortgages		0.000				0.000
4.02 Residential mortgages		0.000				0.000
4.03 Commercial mortgages		0.000				0.000
4.04 Mezzanine real estate loans		0.000				0.000
4.05 Total valuation allowance		0.000				0.000
4.06 Total mortgage loans		0.000				0.000
5. Real estate (Schedule A):						
5.01 Properties occupied by company		0.000				0.000
5.02 Properties held for production of income		0.000				0.000
5.03 Properties held for sale		0.000				0.000
5.04 Total real estate		0.000				0.000
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1)	714,361	0.398	714,361		714,361	0.398
6.02 Cash equivalents (Schedule E, Part 2)	6,055,000	3.377	6,055,000		6,055,000	3.377
6.03 Short-term investments (Schedule DA)		0.000				0.000
6.04 Total cash, cash equivalents and short-term investments	6,769,361	3.775	6,769,361		6,769,361	3.775
7. Contract loans		0.000				0.000
8. Derivatives (Schedule DB)		0.000				0.000
9. Other invested assets (Schedule BA)	3,149,682	1.756	3,149,682		3,149,682	1.756
10. Receivables for securities	1,000,139	0.558	1,000,139		1,000,139	0.558
11. Securities Lending (Schedule DL, Part 1).....		0.000		XXX	XXX	XXX
12. Other invested assets (Page 2, Line 11)		0.000				0.000
13. Total invested assets	179,317,388	100.000	179,317,388		179,317,388	100.000

Schedule A - Verification - Real Estate

N O N E

Schedule B - Verification - Mortgage Loans

N O N E

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year	3,162,428
2.	Cost of acquired:	
	2.1 Actual cost at time of acquisition (Part 2, Column 8)	
	2.2 Additional investment made after acquisition (Part 2, Column 9)	
3.	Capitalized deferred interest and other:	
	3.1 Totals, Part 1, Column 16	
	3.2 Totals, Part 3, Column 12	
4.	Accrual of discount	155
5.	Unrealized valuation increase (decrease):	
	5.1 Totals, Part 1, Column 13	
	5.2 Totals, Part 3, Column 9	
6.	Total gain (loss) on disposals, Part 3, Column 19	
7.	Deduct amounts received on disposals, Part 3, Column 16	
8.	Deduct amortization of premium and depreciation	12,900
9.	Total foreign exchange change in book/adjusted carrying value:	
	9.1 Totals, Part 1, Column 17	
	9.2 Totals, Part 3, Column 14	
10.	Deduct current year's other than temporary impairment recognized:	
	10.1 Totals, Part 1, Column 15	
	10.2 Totals, Part 3, Column 11	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	3,149,683
12.	Deduct total nonadmitted amounts	
13.	Statement value at end of current period (Line 11 minus Line 12)	3,149,683

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year	180,969,724
2.	Cost of bonds and stocks acquired, Part 3, Column 7	27,020,364
3.	Accrual of discount	276,661
4.	Unrealized valuation increase (decrease):	
	4.1. Part 1, Column 12	
	4.2. Part 2, Section 1, Column 15	
	4.3. Part 2, Section 2, Column 13	
	4.4. Part 4, Column 11	
5.	Total gain (loss) on disposals, Part 4, Column 19	322,937
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	39,521,108
7.	Deduct amortization of premium	647,798
8.	Total foreign exchange change in book/adjusted carrying value:	
	8.1. Part 1, Column 15	
	8.2. Part 2, Section 1, Column 19	
	8.3. Part 2, Section 2, Column 16	
	8.4. Part 4, Column 15	
9.	Deduct current year's other than temporary impairment recognized:	
	9.1. Part 1, Column 14	242,807
	9.2. Part 2, Section 1, Column 17	
	9.3. Part 2, Section 2, Column 14	
	9.4. Part 4, Column 13	242,807
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Note 5Q, Line 2	220,226
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	168,398,199
12.	Deduct total nonadmitted amounts	
13.	Statement value at end of current period (Line 11 minus Line 12)	168,398,199

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS					
Governments (Including all obligations guaranteed by governments)	1. United States	404,137	538,750	409,000	400,000
	2. Canada				
	3. Other Countries				
	4. Totals	404,137	538,750	409,000	400,000
U.S. States, Territories and Possessions (Direct and guaranteed)					
	5. Totals	517,155	512,080	517,835	500,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)					
	6. Totals	2,313,427	2,685,203	2,362,926	2,275,000
U.S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions					
	7. Totals	34,862,067	37,996,219	35,596,169	33,386,386
Industrial and Miscellaneous, SVO Identified Funds, Unaffiliated Bank Loans and Hybrid Securities (unaffiliated)	8. United States	106,475,104	123,303,438	108,420,008	105,014,745
	9. Canada	6,523,472	7,659,927	6,663,181	6,260,000
	10. Other Countries	17,302,844	17,627,439	17,356,849	17,503,000
	11. Totals	130,301,420	148,590,804	132,440,038	128,777,745
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	168,398,206	190,323,056	171,325,968	165,339,131
PREFERRED STOCKS					
Industrial and Miscellaneous (unaffiliated)	14. United States				
	15. Canada				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
COMMON STOCKS					
Industrial and Miscellaneous (unaffiliated)	20. United States				
	21. Canada				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	168,398,206	190,323,056	171,325,968	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1			404,137			XXX	404,137	0.2	404,555	0.2	404,137	
1.2 NAIC 2						XXX						
1.3 NAIC 3						XXX						
1.4 NAIC 4						XXX						
1.5 NAIC 5						XXX						
1.6 NAIC 6						XXX						
1.7 Totals			404,137			XXX	404,137	0.2	404,555	0.2	404,137	
2. All Other Governments												
2.1 NAIC 1						XXX						
2.2 NAIC 2						XXX						
2.3 NAIC 3						XXX						
2.4 NAIC 4						XXX						
2.5 NAIC 5						XXX						
2.6 NAIC 6						XXX						
2.7 Totals						XXX						
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1			517,155			XXX	517,155	0.3			517,155	
3.2 NAIC 2						XXX						
3.3 NAIC 3						XXX						
3.4 NAIC 4						XXX						
3.5 NAIC 5						XXX						
3.6 NAIC 6						XXX						
3.7 Totals			517,155			XXX	517,155	0.3			517,155	
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed												
4.1 NAIC 1	285,086	998,812	1,029,529			XXX	2,313,428	1.4	8,902,754	4.9	2,313,428	
4.2 NAIC 2						XXX						
4.3 NAIC 3						XXX						
4.4 NAIC 4						XXX						
4.5 NAIC 5						XXX						
4.6 NAIC 6						XXX						
4.7 Totals	285,086	998,812	1,029,529			XXX	2,313,428	1.4	8,902,754	4.9	2,313,428	
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	587,247	1,309,344	25,476,194	5,709,293	1,779,990	XXX	34,862,068	20.7	20,876,040	11.5	34,862,068	
5.2 NAIC 2						XXX						
5.3 NAIC 3						XXX						
5.4 NAIC 4						XXX						
5.5 NAIC 5						XXX						
5.6 NAIC 6						XXX						
5.7 Totals	587,247	1,309,344	25,476,194	5,709,293	1,779,990	XXX	34,862,068	20.7	20,876,040	11.5	34,862,068	

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1	2,206,791	10,808,303	15,667,937	21,647,057	4,557,820	XXX	54,887,907	32.6	73,040,976	40.4	46,031,460	8,856,447
6.2 NAIC 2	8,414,103	17,915,648	20,606,106	22,541,964	1,661,531	XXX	71,139,353	42.2	75,285,888	41.6	53,409,359	17,729,994
6.3 NAIC 3	57,477	3,902,789	101,319	38,187		XXX	4,099,772	2.4	2,025,092	1.1	4,099,772	
6.4 NAIC 4	32,517	79,440	37,719	9,738		XXX	159,414	0.1	434,420	0.2	159,414	
6.5 NAIC 5						XXX						
6.6 NAIC 6		14,974				XXX	14,974	0.0			14,974	
6.7 Totals	10,710,888	32,721,155	36,413,080	44,236,946	6,219,351	XXX	130,301,420	77.4	150,786,376	83.3	103,714,979	26,586,441
7. Hybrid Securities												
7.1 NAIC 1						XXX						
7.2 NAIC 2						XXX						
7.3 NAIC 3						XXX						
7.4 NAIC 4						XXX						
7.5 NAIC 5						XXX						
7.6 NAIC 6						XXX						
7.7 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1						XXX						
8.2 NAIC 2						XXX						
8.3 NAIC 3						XXX						
8.4 NAIC 4						XXX						
8.5 NAIC 5						XXX						
8.6 NAIC 6						XXX						
8.7 Totals						XXX						
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX							
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX							
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX							
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX							
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX							
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX							
9.7 Totals	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.1 NAIC 1						XXX						
10.2 NAIC 2						XXX						
10.3 NAIC 3						XXX						
10.4 NAIC 4						XXX						
10.5 NAIC 5						XXX						
10.6 NAIC 6						XXX						
10.7 Totals						XXX						

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	7	8	9	10	11	12
NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.7	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed (a)
11. Total Bonds Current Year												
11.1 NAIC 1	(d) 3,079,124	13,116,459	43,094,951	27,356,349	6,337,810		92,984,693	55.2	XXX	XXX	84,128,247	8,856,447
11.2 NAIC 2	(d) 8,414,103	17,915,648	20,606,106	22,541,964	1,661,531		71,139,353	42.2	XXX	XXX	53,409,359	17,729,994
11.3 NAIC 3	(d) 57,477	3,902,789	101,319	38,187			4,099,772	2.4	XXX	XXX	4,099,772	
11.4 NAIC 4	(d) 32,517	79,440	37,719	9,738			159,414	0.1	XXX	XXX	159,414	
11.5 NAIC 5	(d)						(c) XXX	XXX	XXX	XXX		
11.6 NAIC 6	(d) 14,974						(c) 14,974	0.0	XXX	XXX	14,974	
11.7 Totals	11,583,222	35,029,311	63,840,094	49,946,239	7,999,341		(b) 168,398,207	100.0	XXX	XXX	141,811,765	26,586,441
11.8 Line 11.7 as a % of Col. 7	6.9	20.8	37.9	29.7	4.8		100.0	XXX	XXX	XXX	84.2	15.8
12. Total Bonds Prior Year												
12.1 NAIC 1	4,116,995	16,116,481	39,320,180	37,449,602	6,221,067		XXX	XXX	103,224,325	57.0	96,521,684	6,702,641
12.2 NAIC 2	2,603,016	28,330,409	23,667,188	18,565,652	2,119,623		XXX	XXX	75,285,888	41.6	54,217,960	21,067,928
12.3 NAIC 3	180,367	1,678,204	115,483	51,038			XXX	XXX	2,025,092	1.1	2,025,092	
12.4 NAIC 4	35,388	344,522	41,916	12,594			XXX	XXX	434,420	0.2	434,420	
12.5 NAIC 5							XXX	XXX	(c) XXX	XXX		
12.6 NAIC 6							XXX	XXX	(c) XXX	XXX		
12.7 Totals	6,935,766	46,469,616	63,144,767	56,078,886	8,340,690		XXX	XXX	(b) 180,969,725	100.0	153,199,156	27,770,569
12.8 Line 12.7 as a % of Col. 9	3.8	25.7	34.9	31.0	4.6		XXX	XXX	100.0	XXX	84.7	15.3
13. Total Publicly Traded Bonds												
13.1 NAIC 1	2,799,339	11,117,904	40,624,929	24,572,083	5,013,991		84,128,247	50.0	96,521,684	53.3	84,128,247	XXX
13.2 NAIC 2	6,290,717	13,968,130	11,993,617	19,495,363	1,661,531		53,409,359	31.7	54,217,960	30.0	53,409,359	XXX
13.3 NAIC 3	57,477	3,902,789	101,319	38,187			4,099,772	2.4	2,025,092	1.1	4,099,772	XXX
13.4 NAIC 4	32,517	79,440	37,719	9,738			159,414	0.1	434,420	0.2	159,414	XXX
13.5 NAIC 5												XXX
13.6 NAIC 6		14,974					14,974	0.0			14,974	XXX
13.7 Totals	9,180,051	29,083,237	52,757,584	44,115,372	6,675,522		141,811,765	84.2	153,199,156	84.7	141,811,765	XXX
13.8 Line 13.7 as a % of Col. 7	6.5	20.5	37.2	31.1	4.7		100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	5.5	17.3	31.3	26.2	4.0		84.2	XXX	XXX	XXX	84.2	XXX
14. Total Privately Placed Bonds												
14.1 NAIC 1	279,785	1,998,555	2,470,022	2,784,266	1,323,819		8,856,447	5.3	6,702,641	3.7	XXX	8,856,447
14.2 NAIC 2	2,123,386	3,947,518	8,612,489	3,046,601			17,729,994	10.5	21,067,928	11.6	XXX	17,729,994
14.3 NAIC 3											XXX	
14.4 NAIC 4											XXX	
14.5 NAIC 5											XXX	
14.6 NAIC 6								0.0			XXX	
14.7 Totals	2,403,171	5,946,073	11,082,511	5,830,867	1,323,819		26,586,441	15.8	27,770,569	15.3	XXX	26,586,441
14.8 Line 14.7 as a % of Col. 7	9.0	22.4	41.7	21.9	5.0		100.0	XXX	XXX	XXX	XXX	100.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	1.4	3.5	6.6	3.5	0.8		15.8	XXX	XXX	XXX	XXX	15.8

(a) Includes \$ 26,586,441 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.
(b) Includes \$ current year of bonds with Z designations and \$ prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement.
(c) Includes \$ current year, \$ prior year of bonds with 5GI designations and \$ current year, \$ prior year of bonds with 6* designations. "5GI" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.
(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$; NAIC 2 \$; NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.08	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments												
1.01 Issuer Obligations			404, 137			XXX	404, 137	0.2	404, 555	0.2	404, 137	
1.02 Residential Mortgage-Backed Securities						XXX						
1.03 Commercial Mortgage-Backed Securities						XXX						
1.04 Other Loan-Backed and Structured Securities						XXX						
1.05 Totals			404, 137			XXX	404, 137	0.2	404, 555	0.2	404, 137	
2. All Other Governments												
2.01 Issuer Obligations						XXX						
2.02 Residential Mortgage-Backed Securities						XXX						
2.03 Commercial Mortgage-Backed Securities						XXX						
2.04 Other Loan-Backed and Structured Securities						XXX						
2.05 Totals						XXX						
3. U.S. States, Territories and Possessions, Guaranteed												
3.01 Issuer Obligations			517, 155			XXX	517, 155	0.3			517, 155	
3.02 Residential Mortgage-Backed Securities						XXX						
3.03 Commercial Mortgage-Backed Securities						XXX						
3.04 Other Loan-Backed and Structured Securities						XXX						
3.05 Totals			517, 155			XXX	517, 155	0.3			517, 155	
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.01 Issuer Obligations	285, 086	998, 812	1, 029, 529			XXX	2, 313, 428	1.4	8, 902, 755	4.9	2, 313, 428	
4.02 Residential Mortgage-Backed Securities						XXX						
4.03 Commercial Mortgage-Backed Securities						XXX						
4.04 Other Loan-Backed and Structured Securities						XXX						
4.05 Totals	285, 086	998, 812	1, 029, 529			XXX	2, 313, 428	1.4	8, 902, 755	4.9	2, 313, 428	
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.01 Issuer Obligations	504, 584	498, 293	24, 247, 027	5, 650, 044	1, 779, 990	XXX	32, 679, 938	19.4	18, 304, 492	10.1	32, 679, 938	
5.02 Residential Mortgage-Backed Securities	82, 663	811, 051	1, 229, 167	59, 249		XXX	2, 182, 129	1.3	2, 571, 549	1.4	2, 182, 129	
5.03 Commercial Mortgage-Backed Securities						XXX						
5.04 Other Loan-Backed and Structured Securities						XXX						
5.05 Totals	587, 247	1, 309, 344	25, 476, 194	5, 709, 293	1, 779, 990	XXX	34, 862, 068	20.7	20, 876, 041	11.5	34, 862, 068	
6. Industrial and Miscellaneous												
6.01 Issuer Obligations	8, 369, 151	23, 564, 878	16, 060, 474	41, 664, 178	4, 848, 419	XXX	94, 507, 100	56.1	108, 492, 121	60.0	83, 941, 714	10, 565, 386
6.02 Residential Mortgage-Backed Securities	1, 123, 869	2, 968, 935	1, 757, 914	1, 965, 429	942, 106	XXX	8, 758, 253	5.2	8, 583, 911	4.7	5, 931, 049	2, 827, 204
6.03 Commercial Mortgage-Backed Securities		4, 505, 717	7, 609, 311			XXX	12, 115, 028	7.2	15, 050, 091	8.3	10, 111, 882	2, 003, 146
6.04 Other Loan-Backed and Structured Securities	1, 217, 868	1, 681, 624	10, 985, 381	607, 340	428, 826	XXX	14, 921, 039	8.9	18, 660, 252	10.3	3, 730, 334	11, 190, 705
6.05 Totals	10, 710, 888	32, 721, 155	36, 413, 080	44, 236, 946	6, 219, 351	XXX	130, 301, 420	77.4	150, 786, 375	83.3	103, 714, 979	26, 586, 441
7. Hybrid Securities												
7.01 Issuer Obligations						XXX						
7.02 Residential Mortgage-Backed Securities						XXX						
7.03 Commercial Mortgage-Backed Securities						XXX						
7.04 Other Loan-Backed and Structured Securities						XXX						
7.05 Totals						XXX						
8. Parent, Subsidiaries and Affiliates												
8.01 Issuer Obligations						XXX						
8.02 Residential Mortgage-Backed Securities						XXX						
8.03 Commercial Mortgage-Backed Securities						XXX						
8.04 Other Loan-Backed and Structured Securities						XXX						
8.05 Affiliated Bank Loans - Issued						XXX						
8.06 Affiliated Bank Loans - Acquired						XXX						
8.07 Totals						XXX						

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

	1	2	3	4	5	6	7	8	9	10	11	12
Distribution by Type	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Col. 7 as a % of Line 11.08	Total from Col. 7 Prior Year	% From Col. 8 Prior Year	Total Publicly Traded	Total Privately Placed
9. SVO Identified Funds												
9.01 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
9.02 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX							
9.03 Totals	XXX	XXX	XXX	XXX	XXX							
10. Unaffiliated Bank Loans												
10.01 Unaffiliated Bank Loans - Issued						XXX						
10.02 Unaffiliated Bank Loans - Acquired						XXX						
10.03 Totals						XXX						
11. Total Bonds Current Year												
11.01 Issuer Obligations	9,158,821	25,061,983	42,258,322	47,314,221	6,628,409	XXX	130,421,757	77.4	XXX	XXX	119,856,371	10,565,386
11.02 Residential Mortgage-Backed Securities	1,206,532	3,779,986	2,987,081	2,024,678	942,106	XXX	10,940,383	6.5	XXX	XXX	8,113,178	2,827,204
11.03 Commercial Mortgage-Backed Securities		4,505,717	7,609,311			XXX	12,115,028	7.2	XXX	XXX	10,111,882	2,003,146
11.04 Other Loan-Backed and Structured Securities	1,217,868	1,681,624	10,985,381	607,340	428,826	XXX	14,921,039	8.9	XXX	XXX	3,730,334	11,190,705
11.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX				XXX	XXX		
11.06 Affiliated Bank Loans						XXX			XXX	XXX		
11.07 Unaffiliated Bank Loans						XXX			XXX	XXX		
11.08 Totals	11,583,222	35,029,310	63,840,094	49,946,239	7,999,341		168,398,206	100.0	XXX	XXX	141,811,765	26,586,441
11.09 Line 11.08 as a % of Col. 7	6.9	20.8	37.9	29.7	4.8		100.0	XXX	XXX	XXX	84.2	15.8
12. Total Bonds Prior Year												
12.01 Issuer Obligations	3,658,281	39,461,940	35,096,823	50,052,764	7,834,115	XXX	XXX	XXX	136,103,923	75.2	123,647,081	12,456,842
12.02 Residential Mortgage-Backed Securities	1,560,150	4,504,062	3,188,412	1,854,452	48,384	XXX	XXX	XXX	11,155,460	6.2	10,464,030	691,430
12.03 Commercial Mortgage-Backed Securities		502,517	10,968,073	3,579,501		XXX	XXX	XXX	15,050,091	8.3	13,045,880	2,004,211
12.04 Other Loan-Backed and Structured Securities	1,717,335	2,001,098	13,891,459	592,169	458,191	XXX	XXX	XXX	18,660,252	10.3	6,042,162	12,618,090
12.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX							
12.06 Affiliated Bank Loans						XXX	XXX	XXX				
12.07 Unaffiliated Bank Loans						XXX	XXX	XXX				
12.08 Totals	6,935,766	46,469,617	63,144,767	56,078,886	8,340,690		XXX	XXX	180,969,726	100.0	153,199,153	27,770,573
12.09 Line 12.08 as a % of Col. 9	3.8	25.7	34.9	31.0	4.6		XXX	XXX	100.0	XXX	84.7	15.3
13. Total Publicly Traded Bonds												
13.01 Issuer Obligations	7,181,714	21,623,987	41,523,279	42,898,981	6,628,409	XXX	119,856,371	71.2	123,647,081	68.3	119,856,371	XXX
13.02 Residential Mortgage-Backed Securities	1,042,033	3,272,998	2,534,644	1,216,391	47,113	XXX	8,113,178	4.8	10,464,030	5.8	8,113,178	XXX
13.03 Commercial Mortgage-Backed Securities		3,502,222	6,609,660			XXX	10,111,882	6.0	13,045,880	7.2	10,111,882	XXX
13.04 Other Loan-Backed and Structured Securities	956,304	684,030	2,090,000			XXX	3,730,334	2.2	6,042,162	3.3	3,730,334	XXX
13.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX							XXX
13.06 Affiliated Bank Loans						XXX						XXX
13.07 Unaffiliated Bank Loans						XXX						XXX
13.08 Totals	9,180,051	29,083,237	52,757,584	44,115,372	6,675,522		141,811,765	84.2	153,199,153	84.7	141,811,765	XXX
13.09 Line 13.08 as a % of Col. 7	6.5	20.5	37.2	31.1	4.7		100.0	XXX	XXX	XXX	100.0	XXX
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	5.5	17.3	31.3	26.2	4.0		84.2	XXX	XXX	XXX	84.2	XXX
14. Total Privately Placed Bonds												
14.01 Issuer Obligations	1,977,107	3,437,996	735,043	4,415,240		XXX	10,565,386	6.3	12,456,842	6.9	XXX	10,565,386
14.02 Residential Mortgage-Backed Securities	164,499	506,989	452,436	808,287	894,993	XXX	2,827,204	1.7	691,430	0.4	XXX	2,827,204
14.03 Commercial Mortgage-Backed Securities		1,003,494	999,651			XXX	2,003,146	1.2	2,004,211	1.1	XXX	2,003,146
14.04 Other Loan-Backed and Structured Securities	261,564	997,594	8,895,381	607,340	428,826	XXX	11,190,705	6.6	12,618,090	7.0	XXX	11,190,705
14.05 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX						XXX	
14.06 Affiliated Bank Loans						XXX					XXX	
14.07 Unaffiliated Bank Loans						XXX					XXX	
14.08 Totals	2,403,171	5,946,073	11,082,511	5,830,867	1,323,819		26,586,441	15.8	27,770,573	15.3	XXX	26,586,441
14.09 Line 14.08 as a % of Col. 7	9.0	22.4	41.7	21.9	5.0		100.0	XXX	XXX	XXX	XXX	100.0
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	1.4	3.5	6.6	3.5	0.8		15.8	XXX	XXX	XXX	XXX	15.8

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1	2	3	4
	Total	Bonds	Money Market Mutual funds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year	724,000		724,000	
2. Cost of cash equivalents acquired	37,630,000		37,630,000	
3. Accrual of discount				
4. Unrealized valuation increase (decrease)				
5. Total gain (loss) on disposals				
6. Deduct consideration received on disposals	32,299,000		32,299,000	
7. Deduct amortization of premium				
8. Total foreign exchange change in book/adjusted carrying value				
9. Deduct current year's other than temporary impairment recognized				
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	6,055,000		6,055,000	
11. Deduct total nonadmitted amounts				
12. Statement value at end of current period (Line 10 minus Line 11)	6,055,000		6,055,000	

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment:

Schedule A - Part 1 - Real Estate Owned

N O N E

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 1 - Mortgage Loans Owned

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

SCHEDULE BA - PART 1

[illegible]

1A ..\$	1B ..\$	1C ..\$	1D ..\$	1E ..\$	1F ..\$	1G ..\$
2A ..\$	2B ..\$	2C ..\$	1,083,234	530,235	1,536,213	
3A ..\$	3B ..\$	3C ..\$				
4A ..\$	4B ..\$	4C ..\$				
5A ..\$	5B ..\$	5C ..\$				
6 ..\$						

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
912810-FF-0	US TREASURY N/B T 5 1/4 11/15/28	SD			1.A	409,000		134,6880	400,000	404,137		(418)			5.250	5.089	MM	2,727	21,000	01/09/2004	11/15/2028
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						409,000		XXX	400,000	404,137		(418)			XXX	XXX	XXX	2,727	21,000	XXX	XXX
0599999. Total - U.S. Government Bonds						409,000		XXX	400,000	404,137		(418)			XXX	XXX	XXX	2,727	21,000	XXX	XXX
1099999. Total - All Other Government Bonds								XXX							XXX	XXX	XXX			XXX	XXX
649906-UE-0	NEW YORK ST DORM AUTH REVENUES HIGHER EDUCATION			2	1.E FE	517,835		102,4160	500,000	517,155		(680)			3.439	2.979	JJ	8,598		08/06/2020	07/01/2042
1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations						517,835		XXX	500,000	517,155		(680)			XXX	XXX	XXX	8,598		XXX	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds						517,835		XXX	500,000	517,155		(680)			XXX	XXX	XXX	8,598		XXX	XXX
442403-FQ-9	HOUSTON TX INDEP SCH DIST BUILD AMERICA BONDS-TAXABLE-SE			2	1.B FE	292,325		100,3930	285,000	285,086		(11)			6.068	5.744	FA	6,533	17,294	01/21/2010	02/15/2029
686053-CT-4	OREGON ST SCH BRDS ASSN SHORT- TAXABLE-PENSION-SER B				1.E FE	1,055,310		125,0680	1,000,000	1,029,529		(3,148)			5.680	5.199	JD	158	56,800	09/01/2010	06/30/2028
800732-GG-8	SANGAMON CNTY IL CMNTY UNIT SC BUILD AMERICA BONDS				1.C FE	328,886		113,4110	320,000	322,056		(505)			5.900	5.670	FA	7,867	18,880	06/15/2010	02/01/2024
800732-GH-6	SANGAMON CNTY IL CMNTY UNIT SC BUILD AMERICA BONDS				1.C FE	688,405		117,2370	670,000	676,756		(1,433)			5.950	5.670	FA	16,610	39,865	06/15/2010	02/01/2025
1899999. Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations						2,362,926		XXX	2,275,000	2,313,427		(5,187)			XXX	XXX	XXX	31,168	132,839	XXX	XXX
2499999. Total - U.S. Political Subdivisions Bonds						2,362,926		XXX	2,275,000	2,313,427		(5,187)			XXX	XXX	XXX	31,168	132,839	XXX	XXX
01030L-BS-3	ALABAMA ST INCENTIVES FING AUT TAXABLE-SER B				1.F FE	301,263		121,6060	300,000	300,494		(50)			6.042	6.000	MS	6,042	18,126	10/14/2009	09/01/2029
01179R-G8-0	ALASKA ST MUNI BOND BANK AUTH 2010B-TAXABLE-SER THREE			2	1.F FE	445,000		100,3020	445,000	445,000					5.432	5.430	AO	6,043	12,086	07/20/2020	10/01/2030
13077D-KS-0	CALIFORNIA ST UNIV REVENUE HIGHER EDUCATION			2	1.D FE	2,068,060		103,3560	2,000,000	2,067,759		(301)			2.965	2.555	MN	9,883		12/10/2020	11/01/2039
196632-HE-6	COLORADO SPRINGS CO UTILITIESR BUILD AMERICA BONDS-D2				1.C FE	770,000		144,3260	770,000	770,000					6.013	6.013	MN	5,916	46,300	11/06/2009	11/15/2039
219764-SX-6	CORONA-NORCO CA UNIF SCH DIST SCHOOL DISTRICT			2	1.D FE	2,050,940		102,7060	2,000,000	2,049,130		(1,810)			2.794	2.504	FA	22,662		08/05/2020	08/01/2044
31331K-N7-1	FEDERAL FARM CREDIT BANK FFCB 3.7 11/04/30				1.A	930,250		124,2580	1,000,000	954,090		3,683			3.700	4.276	MN	5,858	37,000	08/20/2013	11/04/2030
31331X-6F-4	FEDERAL FARM CREDIT BANK FFCB 5.55 10/03/29				1.A	7,878,060		138,2030	6,000,000	7,106,910		(108,363)			5.550	3.125	AO	81,400	333,000	02/05/2013	10/03/2029
46613C-WG-6	JEA FL ELEC SYS REVENUE TAXABLE-SUB-ISSUER SUBSIDY-BUI				1.F FE	946,521		131,8570	945,000	945,751		(66)			6.406	6.393	AO	15,134	60,537	11/25/2009	10/01/2034
563039-AK-2	MANHATTAN KS SALES TAX SPL OBL BUILD AMERICA BONDS TAXABLE-D0			2	1.D FE	344,675		100,2990	340,000	341,711		(358)			6.515	6.372	JD	1,846	22,151	12/03/2009	12/01/2026
575831-FX-5	MASSACHUSETTS ST CLG BLDG AUTH HIGHER EDUCATION			2	1.D FE	2,060,260		100,5360	2,000,000	2,058,057		(2,203)			2.972	2.619	MN	9,907	19,813	08/05/2020	05/01/2040
57584F-MN-7	MASSACHUSETTS ST DEV FIN AGY S GENERAL OBLIGATION				1.B FE	2,019,600		102,3980	2,000,000	2,019,552		(48)			2.350	2.278	MN	3,786		12/10/2020	05/01/2037
576000-ZW-6	MASSACHUSETTS ST SCH BLDG AUTH EDUCATION			2	1.B FE	2,072,360		103,9370	2,000,000	2,072,046		(314)			2.950	2.516	MN	13,603		12/10/2020	05/15/2043
59067A-BJ-1	MESA CO ST CLG AUXILIARY FACs BUILD AMERICA BONDS				1.C FE	500,000		145,0330	500,000	500,000					5.800	5.799	MN	3,706	29,000	09/25/2009	05/15/2040
678331-CT-4	OKANOGAN CNTY WA PUBLIC UTIL D BUILD AMERICA BONDS-SER D-TAXA				1.E FE	1,031,570		126,2600	1,000,000	1,017,680		(1,739)			5.796	5.517	JD	4,830	57,960	09/29/2010	12/01/2030
71884A-GS-2	PHOENIX AZ CIVIC IMPT CORP EXC GENERAL			2	1.B FE	2,000,000		100,6100	2,000,000	2,000,000					2.564	2.564	JJ	17,948		08/05/2020	07/01/2040
722647-MN-1	PINE ISLAND MN INDEP SCH DIST# SCHOOL DISTRICT			2	1.C FE	1,282,905		100,8950	1,290,000	1,282,905					2.500	2.534	FA			12/17/2020	02/01/2042
722647-MP-6	PINE ISLAND MN INDEP SCH DIST# SCHOOL DISTRICT			2	1.C FE	497,085		100,8790	500,000	497,085					2.600	2.634	FA			12/17/2020	02/01/2044
79765D-XJ-4	SAN FRANCISCO CITY & CNTY CA C BUILD AMERICA BONDS-SER D-TAXA				1.B FE	300,000		126,0720	300,000	300,000					6.362	6.361	MN	3,181	19,086	09/24/2009	11/01/2029
882806-HT-2	TEXAS ST TECH UNIV REVENUES HIGHER EDUCATION			2	1.B FE	2,058,640		102,9340	2,000,000	2,056,133		(2,507)			2.748	2.366	FA	20,763	2,443	08/05/2020	02/15/2040
916544-FD-6	UPPER SANTA CLARA VY CA JT PWR GENERAL			2	1.D FE	2,034,120		102,3980	2,000,000	2,032,572		(1,548)			2.621	2.385	FA	23,007		08/05/2020	08/01/2040
959452-MA-8	WSTRN MI SCH DIST SCHOOL DISTRICT			2	1.B FE	1,866,447		103,5650	1,770,000	1,863,063		(3,385)			3.001	2.370	MN	8,853	33,051	08/07/2020	05/01/2042
2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations						33,457,756		XXX	35,426,814	32,679,938		(119,009)			XXX	XXX	XXX	264,368	690,553	XXX	XXX
31392F-XU-6	FEDERAL NATL MTG ASSN FNR 2002-88 AL			4	1.A	7,640		103,3380	7,640	7,640					5.500	5.525	MON	35	420	11/01/2002	12/25/2022
31392X-NF-1	FED HOME LOAN MTG CORP FHR 2523 PH			4	1.A	11,081		114,7620	11,165	11,127					5.500	5.613	MON	51	614	11/01/2002	11/15/2032
31398T-V8-1	FANNIE MAE FNR 2010-103 CD			4	1.A	764,723		122,5490	813,535	784,113		2,451			4.500	5.026	MON	3,051	36,609	03/31/2011	09/25/2030

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
314120-4X-4	FNMA FN 932438			4	1.A	38,442		106,0910	37,950	38,072			(.41)		4.000	3.674	MON	127	1,518	04/08/2010	01/01/2025
383730-5Z-0	GNMA GNR 2003-46 PC			4	1.A	1,038,060		110,6430	1,077,629	1,062,710			2.158		5.000	5.323	MON	4,490	53,881	03/31/2004	06/20/2033
38374H-J8-4	GNMA GNR 2004-72 PN			4	1.A	278,467		114,6030	278,467	278,467					5.500	5.538	MON	1,276	15,316	09/15/2004	09/20/2034
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities						2,138,413	XXX	2,569,405	2,226,386	2,182,129		4,568			XXX	XXX	XXX	9,030	108,358	XXX	XXX
3199999. Total - U.S. Special Revenues Bonds						35,596,169	XXX	37,996,219	33,386,386	34,862,067		(114,441)			XXX	XXX	XXX	273,398	798,911	XXX	XXX
00206R-GN-8	AT&T INC T 6 3/8 06/01/28				2.B FE	530,629		123,2950	500,000	525,176		(2,680)			6.375	5.538	JD	2,656	31,875	11/26/2018	06/01/2028
00206R-GN-6	AT&T INC T 6.55 01/15/28				2.B FE	416,471		129,1490	400,000	413,461		(1,500)			6.550	5.958	JJ	12,081	26,200	11/26/2018	01/15/2028
008117-AP-8	AETNA INC AET 2 3/4 11/15/22		2		2.B FE	732,023		103,7530	750,000	746,031		2,031			2.750	3.043	MN	2,635	20,625	05/21/2013	11/15/2022
00846U-AJ-0	AGILENT TECHNOLOGIES INC A 3 7/8 07/15/23		2		2.A FE	739,275		107,6180	750,000	746,863		1,151			3.875	4.050	JJ	13,401	29,063	07/23/2013	07/15/2023
023135-BF-2	AMAZON.COM INC AMZN 3 7/8 08/22/37		2		1.E FE	2,199,700		124,2340	2,000,000	2,187,147		(8,791)			3.875	3.132	FA	27,771	77,500	07/18/2019	08/22/2037
03027X-AB-6	AMERICAN TOWER CORP AMT 3 1/2 01/31/23				2.C FE	480,340		106,2070	500,000	494,391					3.500	4.068	JJ	7,340	17,500	11/12/2014	01/31/2023
032654-AH-8	ANALOG DEVICES INC ADI 2 7/8 06/01/23		2		2.A FE	690,015		105,2310	750,000	732,439		6,803			2.875	3.900	JD	1,797	21,563	01/07/2014	06/01/2023
03523T-BT-4	ANHEUSER-BUSCH INBEV WOR ABIBB 4.439 10/06/48		2		2.B FE	580,000		123,8330	580,000	580,000					4.439	4.439	AO	6,079	25,746	08/21/2017	10/06/2048
035240-AG-5	ANHEUSER-BUSCH INBEV WOR ABIBB 4.95 01/15/42				2.B FE	1,075,571		131,4790	1,000,000	1,068,302		(1,930)			4.950	4.447	JJ	22,825	49,500	12/16/2016	01/15/2042
037735-BZ-9	APPALACHIAN POWER CO AEP 5.95 05/15/33				2.A FE	522,440		132,7000	500,000	515,604		(855)			5.950	5.597	MN	3,801	29,750	10/12/2010	05/15/2033
037735-CE-5	APPALACHIAN POWER CO AEP 5.8 10/01/35				2.A FE	577,461		138,2010	555,000	571,670		(718)			5.800	5.500	AO	8,048	32,190	09/30/2010	10/01/2035
049560-AG-0	ATMOS ENERGY CORP ATO 5.95 10/15/34				1.E FE	522,665		138,7000	500,000	516,511		(777)			5.950	5.603	AO	6,281	29,750	11/17/2010	10/15/2034
052769-AB-2	AUTODESK INC ADSK 3.6 12/15/22		2		2.B FE	1,019,490		105,0990	1,072,013	1,019,884		56			3.600	3.606	JD	1,632	36,720	05/28/2013	12/15/2022
053611-AG-4	AVERY DENNISON CORP AVY 3.35 04/15/23		2		2.B FE	697,065		104,3500	750,000	735,048		6,095			3.350	4.274	AO	5,304	25,125	12/10/2013	04/15/2023
05379B-AM-9	AVISTA CORP AVA 5.7 07/01/37				1.G FE	506,000		128,3740	500,000	504,688		(169)			5.700	5.612	JJ	14,250	28,500	11/16/2010	07/01/2037
053807-AR-4	AVNET INC AVT 4 7/8 12/01/22				2.C FE	748,410		106,6930	750,000	749,634		178			4.875	4.902	JD	3,047	36,563	11/20/2012	12/01/2022
057224-AK-3	BAKER HUGHES INC BHI 6 7/8 01/15/29		2		1.G FE	722,382		129,7700	600,000	668,262		(6,709)			6.875	5.130	JD	19,021	41,250	12/13/2010	01/15/2029
059165-DX-5	BALTIMORE GAS & ELECTRIC EXC 5.2 06/15/33				1.G FE	483,950		122,9220	500,000	488,848		612			5.200	5.449	JD	1,156	26,000	12/01/2010	06/15/2033
06051G-HD-4	BANK OF AMERICA CORP BAC 3.419 12/20/28		2		1.F FE	787,853		112,8650	750,000	783,464		(4,305)			3.419	2.712	JD	784	25,643	12/20/2019	12/20/2028
07274N-BA-0	BAYER US FINANCE I I LLC BAYNFR 5 1/2 07/30/35				2.A FE	857,663		124,1100	828,000	854,817		(1,207)			5.500	5.181	JJ	19,102	45,540	07/12/2018	07/30/2035
075887-AO-2	BECTON DICKINSON BDX 6.7 08/01/28				2.C FE	595,145		128,4430	500,000	551,970		(5,505)			6.700	5.034	FA	13,958	33,500	05/05/2011	08/01/2028
075887-CE-7	BECTON DICKINSON BDX 6.7 12/01/26				2.C FE	558,747		122,7310	500,000	543,097		(6,121)			6.700	4.999	JD	2,792	33,500	05/01/2018	12/01/2026
084423-AS-1	BERKLEY (WR) CORPORATION WRB 4 5/8 03/15/22				2.A FE	498,100		104,7550	500,000	499,722					4.625	4.673	MS	6,809	23,125	03/13/2012	03/15/2022
099724-AJ-5	BORGWARNER INC BWA 3 3/8 03/15/25		2		2.B FE	747,315		110,1420	750,000	748,748		272			3.375	3.418	MS	7,453	25,313	06/02/2015	03/15/2025
130789-AF-7	CALIFORNIA WATER SERVICE CWT 5 1/2 12/01/40		2		1.F FE	505,830		138,3240	500,000	504,768		(136)			5.500	5.420	JD	2,292	27,500	12/06/2010	12/01/2040
142339-AF-7	CARLISLE COS INC CSL 3 3/4 11/15/22		2		2.B FE	747,653		104,8370	750,000	749,490		258			3.750	3.788	MN	3,594	28,125	11/15/2012	11/15/2022
144141-CU-0	DUKE ENERGY PROGRESS INC DUK 6 1/8 09/15/33				1.F FE	277,654		138,0120	263,000	273,393		(546)			6.125	5.684	MS	4,743	16,109	01/06/2011	09/15/2033
149123-BN-0	CATERPILLAR INC CAT 6.05 08/15/36				1.G FE	572,095		148,5480	500,000	554,041		(2,231)			6.050	5.042	FA	11,428	30,250	12/06/2010	08/15/2036
17252M-AG-5	CINTAS CORPORATION NO. 2 CTAS 6.15 08/15/36				1.G FE	470,068		133,6160	408,000	454,471		(1,911)			6.150	5.085	FA	9,479	25,092	10/25/2010	08/15/2036
172967-KU-4	CITIGROUP INC C 4 1/8 07/25/28				2.B FE	544,185		116,1680	500,000	539,509		(4,590)			4.125	2.952	JJ	8,938	20,625	12/20/2019	07/25/2028
18550R-AE-8	CLECO POWER LLC CNL 6 1/2 12/01/35				2.A FE	300,330		137,5540	300,000	300,284		(11)			6.500	6.490	JD	1,625	19,500	03/17/2011	12/01/2035
20030N-AF-8	COMCAST CORP CMCSA 5.65 06/15/35				1.G FE	500,315		141,8420	500,000	500,246		(11)			5.650	5.645	JD	1,256	28,250	06/23/2011	06/15/2035
20030N-AF-7	COMCAST CORP CMCSA 6.55 07/01/39				1.G FE	515,375		155,8370	500,000	512,496		(349)			6.550	6.319	JJ	16,375	32,750	07/23/2009	07/01/2039
205887-AR-3	CONAGRA BRANDS INC CAG 7 10/01/28				2.C FE	553,590		134,8110	500,000	530,273		(2,990)			7.000	6.011	AO	8,750	35,000	11/29/2010	10/01/2028
209111-ED-1	CONSOLIDATED EDISON CO O ED 5.1 06/15/33				2.A FE	500,970		125,4450	500,000	500,686		(39)			5.100	5.085	JD	1,133	25,500	10/20/2010	06/15/2033
209111-EH-2	CONSOLIDATED EDISON CO O ED 5.3 03/01/35				2.A FE	506,385		131,3630	500,000	504,720		(221)			5.300	5.205	MS	8,833	26,500	07/06/2011	03/01/2035
219350-AR-6	CORNING INC GLW 7 1/4 08/15/36		2		2.A FE	570,230		127,8120	500,000	532,879		(4,811)			7.250	5.860	FA	13,694	36,250	01/31/2011	08/15/2036
219350-AX-3	CORNING INC GLW 4.7 03/15/37		2		2.A FE	250,825		120,0370	250,000	250,640		(27)			4.700	4.677	MS	3,460	11,750	03/06/2012	03/15/2037
224044-BW-6	COX COMMUNICATIONS INC COXENT 3 1/4 12/15/22				2.B FE	761,535		105,1910	750,000	752,571		(1,257)			3.250	3.068	JD	1,083	24,375	01/22/2013	12/15/2022
224399-AP-0	CRANE CO CR 6.55 11/15/36				2.B FE	512,765		126,0400	465,000	501,766		(1,385)			6.550	5.782	MN	3,892	30,458	10/12/2010	11/15/2036
232820-AJ-9	CYTEC INDUSTRIES INC SOLBBB 3 1/2 04/01/23		2		2.B FE	435,238		103,2430	425,000	427,407		(1,141)			3.500	3.207	AO	3,719	14,875	05/09/2013	04/01/2023
24710X-AF-5	DELMARVA PWR & LIGHT CO EXC 7.72 02/01/27				2.A FE	581,820		128,5060	500,000	540,386		(5,336)			7.720	6.110	FA	16,083	38,600	12/07/2010	02/01/2027
254687-EK-8	DISNEY (WALT) COMPANY DIS 6 3/4 01/09/38				2.A FE	542,002		151,6550	500,000	540,578		(1,336)			6.750	5.983	JJ	16,125	33,750	11/27/2019	01/09/2038
260543-BJ-1	DOW CHEMICAL CO/THE DOW 7 3/8 11/01/29				2.C FE	1,045,161		142,3380	900,000	976,823		(6,396)			7.375	6.110	MN	11,063	66,375	09/13/2004	11/01/2029
26078J-AE-0	DOWDUPONT INC DD 5.319 11/15/38		2		2.A FE	1,165,620		135,1720	1,000,000	1,156,924		(6,125)			5.319	4.052	MN	6,797	53,190	07/18/2019	11/15/2038

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
263901-AA-8	DUKE ENERGY INDIANA INC DUK 6.35 08/15/38				1.F FE	588,340	153.2270	766,137	500,000	569,134		(2,365)			6.350	5.147	FA	11,994	31,750	10/28/2010	08/15/2038
26439R-AK-2	SPECTRA ENERGY CAPITAL SE 6 3/4 02/15/32				2.B FE	535,190	117.6610	588,303	500,000	523,908		(1,462)			6.750	6.150	FA	12,750	33,750	12/09/2010	02/15/2032
26442C-AE-4	DUKE ENERGY CAROLINAS DUK 6.05 04/15/38				1.F FE	560,040	150.2380	751,188	500,000	546,882		(1,638)			6.050	5.220	AO	6,386	30,250	12/06/2010	04/15/2038
26884T-AD-4	ERAC USA FINANCE LLC ENTERP 4 1/2 08/16/21				2.A FE	926,046	102.5280	922,755	900,000	902,003		(3,105)			4.500	4.135	FA	15,188	40,500	11/18/2011	08/16/2021
27743Z-AD-2	EASTMAN CHEMICAL CO EMM 7.6 02/01/27				2.C FE	467,524	130.8380	523,352	400,000	433,209		(4,413)			7.600	5.953	FA	12,667	30,400	01/03/2011	02/01/2027
27743Z-AN-0	EASTMAN CHEMICAL CO EMM 3.6 08/15/22		2		2.C FE	627,906	104.2720	625,633	600,000	604,773		(3,345)			3.600	3.006	FA	8,160	21,600	05/13/2013	08/15/2022
278058-AY-8	EATON CORP ETN 5.45 10/15/34				2.A FE	867,615	133.3510	1,000,130	750,000	836,859		(4,525)			5.450	4.326	AO	8,629	40,875	02/25/2013	10/15/2034
283677-AW-2	EL PASO ELECTRIC CO EE 6 05/15/35				2.B FE	526,883	138.1750	621,788	450,000	508,458		(2,784)			6.000	4.743	MN	3,450	27,000	04/10/2013	05/15/2035
29364W-AR-9	ENTERGY LOUISIANA LLC ETR 3.3 12/01/22		2		1.F FE	920,250	104.8410	943,571	900,000	904,093		(2,344)			3.300	3.020	JD	2,475	29,700	05/22/2013	12/01/2022
30161M-AG-8	EXELON GENERATION CO LLC EXC 6 1/4 10/01/39				2.B FE	479,588	120.4400	537,160	446,000	473,235		(795)			6.250	5.715	AO	6,969	27,875	10/05/2010	10/01/2039
30212P-AP-0	EXPEDIA INC EXPE 3.8 02/15/28		2		2.C FE	508,815	107.2830	536,413	500,000	507,825		(973)			3.800	3.542	FA	7,178	19,000	12/20/2019	02/15/2028
302491-AR-6	FMC CORP FMC 3.95 02/01/22		2		2.C FE	746,805	102.5720	769,286	750,000	749,606		349			3.950	4.000	FA	12,344	29,625	11/25/2011	02/01/2022
30251B-AB-4	FMR LLC FIDINV 6.45 11/15/39				1.E FE	494,540	154.1250	770,624	500,000	495,535		118			6.450	6.533	MN	4,121	32,250	11/04/2009	11/15/2039
337358-BH-7	WACHOVIA CORPORATION WFC 7.574 08/01/26				2.B FE	1,232,450	130.6530	1,306,531	1,000,000	1,092,989		(13,831)			7.574	5.611	FA	31,558	75,740	12/28/2004	08/01/2026
340711-AV-2	FLORIDA GAS TRANSMISSION CITCOR 3 7/8 07/15/22		2		2.B FE	659,763	103.9180	665,076	640,000	643,085		(2,281)			3.875	3.492	JJ	11,436	24,800	09/12/2012	07/15/2022
341099-CB-3	DUKE ENERGY FLORIDA LLC DUK 5.9 03/01/33				1.F FE	551,580	134.4300	672,148	500,000	535,038		(2,033)			5.900	5.119	MS	9,833	29,500	10/26/2010	03/01/2033
370334-BJ-2	GENERAL MILLS INC GIS 5.4 06/15/40				2.B FE	305,343	140.7170	422,150	300,000	304,345		(126)			5.400	5.280	JD	720	16,200	11/10/2010	06/15/2040
377372-AJ-6	GLAXOSMITHKLINE CAP INC GSK 4.2 03/18/43				1.F FE	1,013,440	128.7190	1,287,192	1,000,000	1,011,716		(318)			4.200	4.119	MS	12,017	42,000	10/31/2014	03/18/2043
38141G-WZ-3	GOLDMAN SACHS GROUP INC GS 4.223 05/01/29		2		2.A FE	828,443	118.2190	886,639	750,000	819,832		(8,448)			4.223	2.809	MN	5,279	31,673	12/20/2019	05/01/2029
39121J-AE-0	GREAT RIVER ENERGY GRRIVE 6.254 07/01/38				1.G FE	222,976	120.1180	268,505	223,535	223,245		12			6.254	6.279	JJ	6,990	13,980	12/07/2009	07/01/2038
39121J-AH-3	GREAT RIVER ENERGY GRRIVE 4.478 07/01/30				1.G FE	334,220	121.1250	427,571	353,000	341,676		916			4.478	4.904	JJ	7,904	15,807	12/15/2010	07/01/2030
427866-AU-2	HERSHEY COMPANY HSY 3.2 08/21/25		2		1.F FE	997,030	111.3020	1,113,024	1,000,000	998,504		294			3.200	3.235	FA	11,556	32,000	08/18/2015	08/21/2025
437076-BD-3	HOME DEPOT INC HD 4 7/8 02/15/44		2		1.F FE	1,135,880	140.9710	1,409,713	1,000,000	1,118,449		(3,144)			4.875	4.069	FA	18,417	48,750	10/31/2014	02/15/2044
45167R-AF-1	IDEX CORP IEX 4.2 12/15/21		2		2.B FE	507,990	102.5880	512,939	500,000	500,714		(943)			4.200	4.000	JD	933	21,000	12/22/2011	12/15/2021
458140-BH-2	INTEL CORP INTC 2.45 11/15/29		2		1.E FE	749,078	107.9120	809,341	750,000	749,169		89			2.450	2.464	MN	2,348	18,069	12/20/2019	11/15/2029
459200-BB-6	IBM CORP IBM 5 7/8 11/29/32				1.F FE	557,930	143.4900	717,452	500,000	538,907		(2,341)			5.875	5.000	MN	2,611	29,375	12/03/2010	11/29/2032
46051M-AC-6	INTERNATIONAL TRANSMISSION ITC 6 1/8 03/31/36				1.F FE	668,460	133.2860	799,715	600,000	651,193		(2,138)			6.125	5.302	MS	9,290	36,750	10/28/2010	03/31/2036
46625H-HF-0	JPMORGAN CHASE & CO JPM 6.4 05/15/38				1.F FE	506,280	154.8870	774,437	500,000	505,024		(156)			6.400	6.304	MN	4,089	32,000	07/23/2009	05/15/2038
48125X-RL-2	JPMORGAN CHASE & CO JPM 5.15 05/26/26		2		1.F FE	1,000,000	101.4100	1,014,097	1,000,000	1,000,331		(797)			5.150	5.066	MN	5,007	51,500	05/26/2011	05/26/2026
487312-AA-8	KEENAN FT DETRICK ENERGY KEENAN 6.093 05/15/33				1.G FE	50,771	123.5920	64,358	52,073	51,282		52			6.093	6.372	MN	405	3,173	07/27/2009	05/15/2033
49337W-AH-3	KEYSPAN CORP NGGLN 5 7/8 04/01/33				2.A FE	490,325	127.0070	635,034	500,000	493,355		358			5.875	6.030	AO	7,344	29,375	10/01/2009	04/01/2033
494550-BJ-4	KINDER MORGAN ENER PART KMI 4.15 03/01/22				2.B FE	605,436	104.1800	625,077	600,000	600,744		(611)			4.150	4.040	MS	8,300	24,900	12/09/2011	03/01/2022
500255-AN-4	KOHL'S CORPORATION KSS 6 01/15/33				2.C FE	548,870	106.5000	532,500	500,000	533,153		(1,933)			6.000	5.250	JJ	13,833	30,000	09/29/2010	01/15/2033
50540R-AL-6	LABORATORY CORP OF AMER HLDGS LH 3 3/4 08/23/22		2		2.B FE	1,019,680	104.6350	1,046,346	1,000,000	1,003,276		(2,226)			3.750	3.510	FA	13,333	37,500	08/22/2012	08/23/2022
546676-AU-1	LOUISVILLE GAS & ELEC PPL 5 1/8 11/15/40		2		1.F FE	495,352	133.0100	665,049	500,000	496,211		107			5.125	5.187	MN	3,274	25,625	07/06/2011	11/15/2040
548661-CL-9	LOWE'S COMPANIES INC LOW 5.8 10/15/36				2.A FE	543,065	132.1150	660,573	500,000	532,485		(1,303)			5.800	5.192	AO	6,122	29,000	10/26/2010	10/15/2036
549271-AF-1	LUBRIZOL CORP BRK 6 1/2 10/01/34				1.C FE	536,645	154.3580	771,791	500,000	526,876		(1,238)			6.500	5.923	AO	8,125	32,500	10/18/2010	10/01/2034
565849-AB-2	MARATHON OIL CORP MRO 6.8 03/15/32				2.C FE	675,290	124.5000	734,550	590,000	647,613		(3,610)			6.800	5.614	MS	11,813	40,120	05/04/2011	03/15/2032
56585A-AD-4	MARATHON PETROLEUM CORP MPC 5 1/8 03/01/21				2.B FE	770,723	100.7500	755,625	750,000	750,457		(2,687)			5.125	4.751	MS	12,813	38,438	11/30/2011	03/01/2021
573284-AJ-5	MARTIN MARIETTA MATERIAL MLM 6 1/4 05/01/37				2.B FE	500,965	124.9550	624,773	500,000	500,763		(26)			6.250	6.235	MN	5,208	31,250	10/29/2010	05/01/2037

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
695114-CB-2	PACIFICORP BRKHEC 6.1 08/01/36				1.E FE	540,705		143,9060	500,000	530,962		(1,230)			6.100	5.503	FA	12,708	30,500	12/14/2010	08/01/2036
705322-AJ-4	PEDERNALES ELECTRIC COOP PEDELC 5.952 11/15/22				1.D FE	105,500		107,1680	100,000	101,211		(432)			5.952	5.028	MN	761	5,952	06/15/2011	11/15/2022
705322-AK-1	PEDERNALES ELECTRIC COOP PEDELC 6.202 11/15/32				1.D FE	278,513		119,7660	280,000	279,170		80			6.202	6.250	MN	2,219	17,366	12/08/2009	11/15/2032
718546-AC-8	PHILLIPS 66 PSX 4.3 04/01/22				2.A FE	879,864		104,7350	800,000	812,607		(9,760)			4.300	3.005	AO	8,600	34,400	05/23/2013	04/01/2022
72650R-BB-7	PLAINS ALL AMER PIPELINE PAA 2.85 01/31/23	2			3.A FE	979,530		103,6070	1,000,000	995,154		2,219			2.850	3.092	JJ	11,875	28,500	03/22/2013	01/31/2023
73102Q-AA-4	POLAR TANKERS INC COP 5.951 05/10/37				1.G FE	545,940		123,9240	500,000	528,178		(2,181)			5.951	5.204	MN	4,215	29,755	10/22/2010	05/10/2037
745332-BU-9	PUGET SOUND ENERGY INC PSD 5.483 06/01/35				1.F FE	253,308		135,5840	250,000	252,460		(111)			5.483	5.384	JD	1,142	13,708	05/04/2011	06/01/2035
745332-BX-3	PUGET SOUND ENERGY INC PSD 6.274 03/15/37				1.F FE	566,110		144,4520	500,000	505,566		(1,926)			6.274	5.334	MS	9,237	31,370	10/21/2010	03/15/2037
74834L-AN-0	QUEST DIAGNOSTICS INC DGX 6.95 07/01/37				2.B FE	532,875		142,2070	500,000	526,202		(873)			6.950	6.430	JJ	17,375	34,750	12/07/2010	07/01/2037
78409V-AP-9	S&P GLOBAL INC SPGI 2 1/2 12/01/29	2			1.G FE	755,288		108,9250	750,000	754,795		(482)			2.500	2.418	JD	1,563	19,010	12/19/2019	12/01/2029
816300-AD-9	SELECTIVE INSURANCE GRP SIGI 7 1/4 11/15/34				2.B FE	997,588		1,363,3610	1,000,000	998,303		69			7.250	7.270	MN	9,264	72,500	05/02/2005	11/15/2034
824348-BB-1	SHERWIN-WILLIAMS CO SHW 4.2 01/15/22	2			2.C FE	785,172		102,9430	750,000	756,996		(8,602)			4.200	2.999	JJ	14,525	31,500	08/09/2017	01/15/2022
835495-AK-8	SONOCO PRODUCTS CO SON 4 3/8 11/01/21				2.B FE	901,154		102,0540	875,000	876,916		(3,111)			4.375	4.000	MN	6,380	38,281	11/17/2011	11/01/2021
842400-EV-1	SOUTHERN CAL EDISON EIX 5 3/4 04/01/35				2.A FE	551,465		136,4000	500,000	537,151		(1,751)			5.750	5.014	AO	7,188	28,750	10/27/2010	04/01/2035
845743-BL-6	SOUTHWESTERN PUBLIC SERV XEL 6 10/01/36				2.B FE	517,665		135,2640	479,000	508,333		(1,156)			6.000	5.417	AO	7,185	28,740	10/12/2010	10/01/2036
86787E-AN-7	SUNTRUST BANK TFC 2 3/4 05/01/23	2			1.F FE	782,928		105,3180	800,000	795,526		1,825			2.750	3.000	MN	3,667	22,000	05/22/2013	05/01/2023
869049-AE-6	SUSA PARTNERSHIP LP GE 7 1/2 12/01/27				2.B FE	192,544		130,4730	178,000	184,635		(730)			7.500	6.815	JD	1,113	13,350	10/23/2002	12/01/2027
871829-AF-4	SYSCO CORPORATION SYY 6 1/2 08/01/28				2.C FE	581,570		123,9000	500,000	544,155		(4,667)			6.500	5.082	FA	13,542	32,500	01/24/2011	08/01/2028
87233Q-AA-6	TC PIPELINES LP TCP 4.65 06/15/21	2			2.B FE	1,048,480		100,5920	1,000,000	1,001,321		(6,062)			4.650	4.021	JD	2,067	46,500	11/17/2011	06/15/2021
88732J-AU-2	TIME WARNER CABLE LLC TWC 6 3/4 06/15/39				2.C FE	505,980		142,7800	500,000	504,899		(132)			6.750	6.657	JD	1,500	33,750	07/23/2009	06/15/2039
889175-BD-6	TOLEDO EDISON COMPANY FE 6.15 05/15/37				2.B FE	512,100		136,2290	500,000	509,477		(334)			6.150	5.967	MN	3,929	30,750	11/15/2010	05/15/2037
907818-CS-5	UNION PACIFIC CORP UNP 5 3/8 06/01/33				2.A FE	924,656		129,4710	900,000	916,962		(957)			5.375	5.167	JD	4,031	48,375	05/03/2011	06/01/2033
91159H-HR-4	US BANCORP USB 3.15 04/27/27	2			1.E FE	788,745		112,1890	750,000	783,706		(4,944)			3.150	2.371	AO	4,200	23,625	12/20/2019	04/27/2027
913017-BK-4	UNITED TECHNOLOGIES CORP RTX 6.05 06/01/36				2.A FE	574,975		144,9430	500,000	555,731		(2,353)			6.050	5.004	JD	2,521	30,250	10/27/2010	06/01/2036
91324P-BN-1	UNITEDHEALTH GROUP INC UNH 5.7 10/15/40	2			1.G FE	941,002		150,0270	840,000	924,900		(2,603)			5.700	4.885	AO	10,108	47,880	11/01/2013	10/15/2040
91412N-AF-7	UNIVERSITY OF CHICAGO UNICH 5.42 10/01/30				1.C FE	501,635		126,9930	500,000	500,923		(89)			5.420	5.391	AO	6,775	27,100	02/01/2011	10/01/2030
92343V-EK-6	VERIZON COMMUNICATIONS VZ 6.8 05/01/29				2.A FE	830,188		134,0390	619,000	785,606		(17,267)			6.800	3.109	MN	7,015	42,092	05/08/2018	05/01/2029
931142-CY-7	WAL-MART STORES INC WMT 5 10/25/40				1.C FE	487,700		144,0580	500,000	490,023		284			5.000	5.162	AO	4,583	25,000	12/01/2010	10/25/2040
976656-BW-7	WISC ELEC POWER WEC 5 5/8 05/15/33				1.G FE	585,397		138,0470	565,000	579,134		(789)			5.625	5.346	MN	4,061	31,781	12/21/2010	05/15/2033
98419M-AB-6	XYLEM INC XYL 4 7/8 10/01/21				2.B FE	796,095		103,0470	750,000	755,059		(6,529)			4.875	3.952	AO	9,141	36,563	12/04/2013	10/01/2021
013716-AU-9	RIO TINTO ALCAN INC RIOLN 6 1/8 12/15/33	A			1.F FE	558,615		152,3300	500,000	541,044		(2,167)			6.125	5.244	JD	1,361	30,625	10/19/2010	12/15/2033
136375-BN-1	CANADIAN NATL RAILWAY CNRGN 6.2 06/01/36	A			1.F FE	568,665		148,1660	500,000	551,454		(2,130)			6.200	5.220	JD	2,583	31,000	11/18/2010	06/01/2036
15135U-AG-4	CENOVUS ENERGY INC CVECN 3 08/15/22	A	2		3.A FE	749,993		101,6250	750,000	750,000					3.000	3.000	FA	8,500	22,500	01/29/2013	08/15/2022
655422-AV-5	GLENORE CANADA CORP GLENLN 6.2 06/15/35	A			2.A FE	428,474		131,5770	419,000	426,130		(303)			6.200	6.022	JD	1,155	25,978	10/21/2010	06/15/2035
67077M-AJ-7	POTASH CORP-SASKATCHEWAN NTRCN 3.15 10/01/22	A	2		2.B FE	750,800		104,1450	750,000	750,312		(185)			3.150	3.123	AO	5,906	32,625	04/10/2018	10/01/2022
725906-AN-1	PLACER DOME INC ABXON 6.45 10/15/35	A			2.B FE	571,025		136,0140	500,000	552,683		(2,279)			6.450	5.406	AO	6,808	32,250	10/29/2010	10/15/2035
867229-AC-0	SUNCOR ENERGY INC SUON 7.15 02/01/32	A			2.A FE	689,490		139,7080	591,000	666,785		(4,140)			7.150	5.776	FA	17,607	42,257	11/09/2010	02/01/2032
87425E-AH-6	REPSOL OIL & GAS CANADA REPM 5 3/4 05/15/35	A			2.B FE	517,125		111,5560	500,000	512,607		(564)			5.750	5.494	MN	3,674	28,750	10/12/2010	05/15/2035
878742-AE-5	TECK RESOURCES LIMITED TCKBN 6 1/8 10/01/35	A			2.C FE	786,795		128,9150	750,000	777,942		(1,178)			6.125	5.747	AO	11,484	45,938	06/14/2011	10/01/2035
98417E-AK-6	GLENORE FINANCE CANADA GLENLN 4.95 11/15/21	A			2.A FE	1,042,200		103,2480	1,000,000	1,004,515		(4,975)			4.950	4.416	MN	6,325	49,500	01/05/2012	11/15/2021
02364W-BD-6	AMERICA MOVIL SAB DE CV AMLXLM 3 1/8 07/16/22	D			2.A FE	696,398		104,0060	750,000	738,965		6,797			3.125	4.120	JJ	10,742	23,438	12/05/2013	07/16/2022
046353-AD-0	ASTRAZENECA PLC AZN 6.45 09/15/37	D			2.A FE	600,430		153,2420	500,000	577,126		(2,880)			6.450	5.070	MS	9,496	32,250	12/01/2010	09/15/2037
05530Q-AK-6	BAT INTL FINANCE PLC BATSLN 3.95 06/15/25	D			2.B FE	1,005,840		112,2400	1,000,000	1,002,881		(582)			3.950	3.879	JD	1,756	39,500	06/11/2015	06/15/2025
055450-AH-3	BHP BILLITON FINANCE BHP 6.42 03/01/26	D			1.F FE	279,863		126,7460	250,000	262,888		(2,120)			6.420	5.267	MS	5,350	16,050	12/16/2010	03/01/2026
81013T-AC-5	IBERDROLA INTL BV IBESN 5.81 03/15/25	D			2.A FE	516,025		117,5000	500,000	506,176		(1,274)			5.810	5.477	MS	8,554	29,050	04/14/2011	03/15/2025
822582-AD-4	SHELL INTERNATIONAL FIN ROSALN 6 3/8 12/15/38	D			1.D FE	586,880		154,6750	500,000	568,540		(2,268)			6.375	5.192	JD	1,417	31,875	11/16/2010	12/15/2038
83238P-AD-6	SMITHS GROUP PLC SMILN 3 5/8 10/12/22	D			2.B FE	745,373		104,8850	750,000	749,001		533			3.625	3.703	AO	5,966	27,188	05/15/2013	10/12/2022
85771P-AK-8	STATOIL ASA EONR 2.65 01/15/24	D			1.D FE	482,870		106,0000	500,000	494,539		1,691			2.650	3.029	JJ	6,110	13,250	05/28/2013	01/15/2024
88165F-AG-7	TEVA PHARMACEUT FIN BV TEVA 2.95 12/18/22	D			3.C FE	989,140		99,7500	1,000,000	997,504		1,215			2.950	3.082	JD	1,065	29,500	05/23/2013	12/18/2022
902133-AK-3	TYCO ELECTRONICS GROUP S TEL 4 7/8 01/15/21	D			2.A FE	799,058		100,1240	750,000	750,250		(6,361)			4.875	4.000	JJ	16,859	36,563	01/20/2012	01/15/2021
91888Q-AC-0	VALARIS PLC VAL 8 01/31/24	D	2		6. FE	14,974		4,1250	363,000	14,974		51			8.000	14.876	JJ	12,181	14,520	03/21/2017	01/31/2024

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						96,048,434	XXX	112,066,165	91,463,608	94,507,101		(254,968)	242,807		XXX	XXX	XXX	1,132,338	4,643,743	XXX	XXX
02147L-AM-5	COUNTRYWIDE ALTERNATIVE LN TR CVALT 2006-22R 2A2		4		1.D FM	150,999	.81 3670	200,833	246,824	126,350		(5,568)			.6 250	6.397		1,286	15,430	.09/15/2006	.05/25/2036
059475-AB-9	BANC OF AMERICA ALT LN TR BOAA 2007-2 1A2		2,4		1.D FM	148,042	.89 2850	169,914	190,304	136,937		957			.5 500	6.164	MON	872	10,467	.06/18/2007	.06/25/2037
05950N-BH-0	BANC OF AMERICA FUNDING CORP BAFC 2006-5 3A4		2,4		2.B FM	332,674	.91 0290	303,923	333,874	332,629		(1)			.5 750	5.816	MON	1,600	19,037	.11/14/2006	.09/25/2036
059529-AJ-6	BANC OF AMERICA FUNDING CORP BAFC 2007-7 3A2		2,4		1.D FM	354,835	.86 1270	395,405	459,095	317,025		2,018			.4 872	6.868	MON	1,864	22,427	.10/02/2007	.09/25/2037
12667F-J4-8	COUNTRYWIDE ALT LN TR CVALT 2004-30CB 2A3		2,4		1.D FM	2,622,143	.87 4150	2,521,864	2,884,944	2,586,094		8,467			.5 750	5.755	MON	13,824	165,884	.09/07/2005	.02/25/2035
12667G-PF-2	COUNTRYWIDE ALT LN TR CVALT 2005-25T1 A5		2,4		1.D FM	525,897	.75 4880	445,733	590,471	423,852		(16,527)			.5 750	7.194	MON	2,829	33,817	.07/27/2005	.07/25/2035
12668B-PS-6	COUNTRYWIDE ALT LN TR CVALT 2006-4CB 1A6		2,4		1.D FM	319,630	.69 2920	279,626	403,548	210,717		(11,506)			.6 000	9.149	MON	2,018	24,213	.03/22/2006	.04/25/2036
12668B-VB-6	COUNTRYWIDE ALT LN TR CVALT 2006-9T1 A2		2,4		1.D FM	311,949	.55 3200	224,151	405,187	200,505		(15,934)			.5 750	10.215	MON	1,942	23,179	.03/05/2007	.05/25/2036
16163H-AQ-4	CHASE MORTGAGE FINANCE CORP CHASE 2007-S3 1A15		2,4		1.D FM	603,706	.63 3150	487,028	769,211	473,024		(40,585)			.6 000	5.444	MON	3,846	46,388	.05/04/2007	.05/25/2037
36242D-VE-0	GSR MORTGAGE LOAN TRUST GSR 2005-1F 2A2		2,4		3.B FM	359,949		325,510	357,935	357,972		(47)			.6 000	5.964	MON	1,790	21,476	.10/03/2005	.02/25/2035
GS Mortgage-Backed Securities SERIES 2020PJ1 CLASS																					
36258F-AA-7	A1		2,4		1.D FM	307,602	102.1300	306,818	300,420	307,412		(190)			.3 500	3.320	MON	876	8,762	.02/18/2020	.05/25/2050
GS Mortgage-Backed Securities SERIES 2020PJ2 CLASS																					
36262D-AA-6	A1		2,4		1.D FM	505,387	102.7350	507,476	493,964	505,099		(289)			.3 500	3.326	MON	1,441	14,407	.02/18/2020	.07/25/2050
45660L-NQ-8	RESIDENTIAL ASSET SEC TR RAST 2005-A7 A3		2,4		4.B FM	168,292	.88 0180	155,711	176,908	159,414		355			.5 500	5.806	MON	811	9,553	.11/30/2005	.06/25/2035
46591K-AC-7	JP MORGAN MORTGAGE TRUST SERIES 2019H CLASS A3		2,4		1.D FM	344,535	102.6610	349,176	340,124	344,466		(83)			.3 500	3.159	MON	992	11,904	.10/22/2019	.03/25/2050
46591T-AC-8	JP MORGAN MORTGAGE TRUST SERIES 20202 CLASS A3		2,4		1.D FM	448,497	103.4410	453,236	438,159	448,256		(241)			.3 500	3.301	MON	1,278	12,780	.02/19/2020	.07/25/2050
57643A-V6-8	MASTR ALTERNATE LOAN TRUST MALT 2005-6 1A2		2,4		2.B FM	165,677	.86 1570	158,083	183,483	165,107					.5 500	5.991	MON	841	10,086	.01/26/2006	.12/25/2035
57643A-VL-2	MASTR ALTERNATE LOAN TRUST MALT 2004-12 5A4		2,4		1.D FM	450,918	.99 8610	438,179	441,423	441,423		(107)			.6 000	5.743	MON	2,194	25,669	.07/20/2005	.12/25/2034
81748A-AA-2	SEQUOIA MORTGAGE TRUST SERIES 20203 CLASS A1		2,4		1.D FM	414,560	103.2040	419,196	406,183	414,348		(212)			.3 000	2.847	MON	1,015	9,139	.03/06/2020	.04/25/2050
81748K-AA-0	SEQUOIA MORTGAGE TRUST SERIES 20202 CLASS A1		2,4		1.D FM	521,905	103.5400	527,360	509,331	521,595		(310)			.3 500	3.290	MON	1,486	14,856	.02/13/2020	.03/25/2050
Wells Fargo Mortgage Backed Se SERIES 20193 CLASS																					
949831-AA-9	A1		2,4		1.D FM	286,182	102.6730	287,014	279,543	286,028		(154)			.3 500	2.908	MON	815	8,153	.02/18/2020	.10/25/2049
3399999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities						9,343,379	XXX	8,956,236	10,208,299	8,758,253		(79,957)			XXX	XXX	XXX	43,620	507,627	XXX	XXX
05604F-AJ-4	BIWAY MORTGAGE TRUST BIWAY 2013-1515 B		2,4		1.D FM	503,789	106.9260	534,629	500,000	501,549		(433)			.3 473	3.395	MON	1,447	17,364	.06/02/2015	.03/10/2033
12532B-AF-4	CFORE COMM MTGE TRUST CFORE 2016-C7 B		4		1.D FM	514,996	109.0060	545,029	500,000	510,026		(1,345)			.4 348	4.108	MON	1,812	22,118	.12/09/2016	.12/10/2054
CSA1L COMMERCIAL MORTGAGE TRUS SERIES 2019C18																					
12597D-AJ-4	CLASS B		4		1.D FM	514,999	107.7030	538,517	500,000	513,642		(1,289)			.3 594	3.261	MON	1,497	17,970	.11/20/2019	.12/15/2052
12655T-BQ-1	COMM MORTGAGE TRUST SERIES 2019GC44 CLASS B		4		1.D FM	514,996	108.2330	541,165	500,000	513,634		(1,295)			.3 465	3.133	MON	1,444	17,325	.11/19/2019	.08/15/2057
17291E-AZ-4	CITIGROUP COMMERCIAL MTGE TRUS CCGMT 2016-P6 B		2,4		1.D FM	514,722	108.1390	540,697	500,000	508,939		(1,507)			.4 239	3.956	MON	1,766	21,559	.12/06/2016	.12/10/2049
Citigroup Commercial Mortgage SERIES 2019C7 CLASS																					
17328C-AG-7	B		4		1.D FM	514,967	110.3050	551,526	500,000	513,653		(1,273)			.3 667	3.336	MON	1,528	18,335	.12/12/2019	.12/15/2072
23307C-AG-0	DBWF MORTGAGE TRUST DBWF 2016-B5T C		2,4		1.D FM	498,127	102.1270	510,634	500,000	498,628		142			.3 808	3.929	MON	1,587	19,358	.12/09/2016	.12/10/2036
46643P-BK-5	JPMBB 2014-C25 JPMBB 2014-C25 B		2,4		1.D FM	1,029,994	105.7600	1,057,601	1,000,000	1,012,868		(3,098)			.4 347	4.006	MON	3,623	43,472	.11/04/2014	.04/15/2025
46644R-BD-6	JPMBB 2015-C29 AS JPMBB 2015-C29 AS		2,4		1.D FM	514,998	105.2970	526,485	500,000	507,159		(1,541)			.3 917	3.573	MON	1,632	19,583	.06/04/2015	.05/15/2048
46645U-AY-3	JPMBB 2016-C25 JPMBB 2016-C25 B		2,4		1.D FM	514,998	107.7570	538,786	500,000	509,575		(1,423)			.4 053	3.714	MON	1,689	20,263	.12/05/2016	.12/15/2049
61691G-AW-0	MORGAN STANLEY BAML TRUST MSBAM 2016-C32 B		4		1.D FM	514,985	106.1090	530,543	500,000	509,585		(1,417)			.4 095	3.757	MON	1,706	20,475	.12/06/2016	.12/15/2049
61691G-AX-8	MORGAN STANLEY BAML TRUST MSBAM 2016-C32 C		4		1.D FM	485,203	102.3750	511,877	500,000	489,593		1,198			.4 289	4.731	MON	1,787	21,808	.12/06/2016	.12/15/2049
MORGAN STANLEY CAPITAL I TRUST SERIES 2019L3 CLASS																					
61691U-BJ-7	B		4		1.D FM	512,250	110.6680	553,340	500,000	510,978		(1,089)			.3 661	3.434	MON	1,525	18,611	.11/08/2019	.11/15/2029
MORGAN STANLEY CAPITAL I TRUST SERIES 2019L3 CLASS																					
61691U-BK-4	C		4		1.D FM	492,824	101.8870	509,435	500,000	493,334		471			.3 661	3.885	MON	1,525	18,611	.11/08/2019	.11/15/2029
61764P-BZ-4	MORGAN STANLEY BAML TRUST MSBAM 2014-C19 C		4		1.D FM	966,462	105.0070	1,050,066	1,000,000	983,052		3,061			.4 000	4.421	MON	3,333	40,000	.12/09/2014	.06/15/2025
87264J-AJ-5	TMSQ 2014-1500 C TMSQ 2014-1500 C		2,4		1.D FM	504,980	100.6860	503,431	500,000	501,945		(572)			.3 835	3.783	MON	1,598	19,494	.06/03/2015	.10/10/2036
91831U-AE-7	VNO MTGE TRUST VNO 2016-350P C		4		1.D FM	501,834	104.3850	521,927	500,000	501,023		(203)			.3 903	3.935	MON	1,626	19,838	.12/14/2016	.01/10/2035
94989A-BA-2	WELLS FARGO COMMERCIAL MTG TR WFCOM 2014-LC18 C		2,4		3.B FM	999,984	.97 2350	972,355	1,000,000	999,143		(155)			.4 193	4.210	MON	3,494	41,930	.12/12/2014	.12/15/2047
95000P-AK-0	WELLS FARGO COMM MTGE TRUST WFCOM 2016-C37 B		2,4		1.D FM	514,988	109.9630	549,816	500,000	509,491		(1,432)			.4 314	4.043	MON	1,797	21,948	.12/12/2016	.12/15/2049

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
95001Y-AH-7	WELLS FARGO COMMERCIAL MORTGAG SERIES 2019C54 CLASS AS			2,4	.1.D FM	514,991		113,4630	500,000	513,598		(1,292)			3.449	3.118	MON	1,437	17,245	11/08/2019	12/15/2052
95001Y-AJ-3	WELLS FARGO COMMERCIAL MORTGAG SERIES 2019C54 CLASS B			2,4	.1.D FM	514,995		110,3840	500,000	513,613		(1,282)			3.671	3.338	MON	1,530	18,355	11/08/2019	12/15/2052
3499999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities						12,160,082	XXX	12,707,093	12,000,000	12,115,028		(15,774)			XXX	XXX	XXX	39,383	475,662	XXX	XXX
04365J-AF-6	ASCENTUM EQUIPMENT REC ACER 2017-1A D			2,4	2.A FE	428,891		100,7570	432,246	428,987		27			3.800	3.837	MON	951	16,302	04/26/2017	01/10/2024
048677-AB-4	ATLANTIC MARINE CORP ATLMAR 5.343 12/01/50				1.F FE	452,769		112,9080	541,687	466,887		1,764			5.343	6.720	JD	2,412	28,942	12/15/2010	12/01/2050
12326Q-AA-2	Business Jet Securities, LLC SERIES 20191 CLASS A			4	1.G FE	538,623		102,4030	534,842	538,452		(172)			4.212	4.131	MON	1,001	18,773	03/11/2020	07/15/2034
247367-BH-7	DELTA AIR LINES DAL 6.821 08/10/22				2.C FE	185,090		101,9550	183,258	183,645		(216)			6.821	6.648	FA	4,896	12,500	03/31/2010	08/10/2022
26208D-AF-3	DRIVE AUTO RECEIVABLES TRUST DRIVE 2017-BA D			2,4	1.A FE	27,737		100,0970	27,764	27,737					3.720	3.750	MON	46	1,032	03/21/2017	10/17/2022
26208J-AG-8	DRIVE AUTO RECEIVABLES TRUST SERIES 20182 CLASS D			2,4	1.F FE	499,940		102,8200	500,000	499,964		9			4.140	4.180	MON	460	20,700	05/16/2018	08/15/2024
33844N-AG-5	FLAGSHIP CREDIT AUTO TRUST SERIES 20182 CLASS D			2,4	2.A FE	499,937		103,9370	500,000	499,961		9			4.230	4.272	MON	940	21,150	05/16/2018	09/16/2024
33845F-AG-1	FLAGSHIP CREDIT AUTO TRUST SERIES 20194 CLASS D			2,4	2.B FE	499,893		103,4360	500,000	499,912		17			3.120	3.148	MON	693	15,600	11/13/2019	01/15/2026
42804I-BA-4	HERTZ FLEET LEASE FUNDING LLC HFLF 2017-1 D			4	2.A FE	499,952		99,2260	500,000	500,000		(17)			3.690	3.719	MON	1,076	18,450	04/18/2017	04/10/2031
59524E-AB-8	MID-ATLANTIC MILITARY CO MIDATL 5.24 08/01/50				1.E FE	329,095		112,2210	463,064	349,269		2,182			5.240	7.949	FA	10,110	24,265	07/22/2009	08/01/2050
	SIERRA RECEIVABLES FUNDING CO SERIES 20193A CLASS C			2,4	2.B FE	286,223		100,4010	286,250	286,224		1			3.000	3.020	MON	191	8,588	10/17/2019	07/15/2038
82652N-AC-2	American Money Management Corp AMMC 2018-22A D			2,4	2.B FE	500,000		95,1140	500,000	500,000					2.915	3.013	JAJO	2,712	20,124	03/08/2018	04/25/2031
00177H-AG-4	Apex Credit CLO LLC APEXC 2018-1A D			2,4	2.C FE	500,000		92,5540	500,000	500,000					3.165	3.265	JAJO	2,945	21,399	03/14/2018	04/25/2031
03768C-AL-3	APIDOS CLO APID XXXA C			2,4	2.C FE	500,000		96,7230	500,000	500,000					3.218	3.315	JAJO	3,307	21,987	08/07/2018	10/18/2031
05683L-AJ-5	Bain Capital Credit CLO, Limit BCC 2018-1A D			2,4	2.C FE	500,000		91,2240	500,000	500,000					2.909	3.013	JAJO	2,828	20,124	03/12/2018	04/23/2031
06759F-AG-1	BABSON CLO LTD BABSN 2015-2A DR			2,4	2.C FE	500,000		97,4060	500,000	500,000					3.168	3.265	JAJO	3,212	21,523	10/12/2017	10/20/2030
06760G-AD-3	BABSON CLO LTD BABSN 2018-1A C			2,4	2.C FE	500,000		94,4400	500,000	500,000					2.837	2.912	JAJO	3,073	19,980	02/23/2018	04/15/2031
08179L-AJ-0	Benefit Street Partners CLO Lt BSP 2018-14A D			2,4	2.C FE	500,000		91,4790	500,000	500,000					2.818	2.912	JAJO	2,858	19,748	02/23/2018	04/20/2031
12551Y-AG-8	CIFC FUNDING LTD CIFC 2018-3A D			2,4	2.C FE	500,000		97,4960	500,000	500,000					3.068	3.164	JAJO	3,153	21,222	06/15/2018	07/18/2031
15032E-AJ-8	CEDAR FUNDING LTD CEDF 2017-8A D			2,4	2.C FE	500,000		99,1220	500,000	500,000					3.468	3.568	JAJO	3,564	23,281	08/09/2017	10/17/2030
27830T-AJ-4	Eaton Vance CDO Ltd EATON 2014-1RA D			2,4	2.C FE	500,000		97,0080	500,000	500,000					3.287	3.366	JAJO	3,561	22,267	08/02/2018	07/15/2030
33883G-AG-2	FLATIRON CLO LTD FLAT 2018-1A D			2,4	2.C FE	500,000		95,1410	500,000	500,000					2.818	2.912	JAJO	2,896	19,958	02/22/2018	04/17/2031
36319Q-BL-5	GALAXY CLO LTD GALXY 2015-19A CR			2,4	2.B FE	500,000		99,8270	500,000	500,000					3.585	3.688	JAJO	3,336	23,608	08/09/2017	07/24/2030
65023P-AJ-9	NEWARK BSL CLO 2 NBCLD 2017-1A C			2,4	2.C FE	500,000		100,0010	500,000	500,000					3.865	3.971	JAJO	3,596	24,967	06/19/2017	07/25/2030
67575X-AE-1	OCTAGON INV PARTNERS 31 LTD OCT31 2017-1A C			2,4	1.F FE	250,000		100,0010	250,004	250,000					2.618	2.711	JAJO	1,327	9,367	06/01/2017	07/20/2030
67591U-AL-1	Octagon Investments Partners 3 OCT36 2018-1A D			2,4	2.C FE	500,000		94,4150	500,000	500,000					2.837	2.912	JAJO	3,073	19,980	03/07/2018	04/15/2031
81881C-AG-2	Shackleton CLO LTD SHACK 2018-12A D			2,4	2.C FE	250,000		96,8750	250,000	250,000					3.368	3.467	JAJO	1,708	11,268	06/15/2018	07/20/2031
83611J-AG-0	SOUND POINT CLO LTD SNPT 2018-2A D			2,4	2.C FE	500,000		94,4050	500,000	500,000					3.215	3.316	JAJO	2,992	21,443	06/15/2018	07/26/2031
87165Y-AJ-2	SYMPHONY CLO LTD SYMP 2018-19A D			2,4	2.C FE	500,000		94,1570	500,000	500,000					2.780	2.862	JAJO	2,973	19,681	02/22/2018	04/16/2031
883310-AG-9	THAYER PARK CLO LTD THAYR 2017-1A C			2,4	2.C FE	500,000		100,0370	500,000	500,000					3.918	4.022	JAJO	3,973	25,325	04/20/2017	04/20/2029
88434G-AG-0	WIND RIVER CLO LTD WINDR 2017-3A D			2,4	2.C FE	340,000		96,5970	340,000	340,000					3.387	3.467	JAJO	2,495	15,487	08/09/2017	10/15/2030
92325Q-AN-7	VENTURE CDO LTD VENTR 2018-32A D			2,4	2.C FE	500,000		92,2830	500,000	500,000					3.218	3.315	JAJO	3,307	21,987	06/15/2018	07/18/2031
92915Q-AL-7	VOYA CLO LTD VOYA 2017-3A C			2,4	2.C FE	300,000		97,9600	300,000	300,000					3.768	3.870	JAJO	2,292	14,739	06/13/2017	07/20/2030
92917A-AG-1	VOYA CLO LTD VOYA 2018-1A C			2,4	2.C FE	500,000		94,4700	500,000	500,000					2.818	2.912	JAJO	2,896	19,709	02/23/2018	04/19/2031
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities						14,888,150	XXX	14,861,310	15,105,838	14,921,038		3,604			XXX	XXX	XXX	90,853	645,476	XXX	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						132,440,045	XXX	148,590,804	128,777,745	130,301,420		(347,095)	242,807		XXX	XXX	XXX	1,306,194	6,272,508	XXX	XXX
4899999. Total - Hybrid Securities							XXX								XXX	XXX	XXX			XXX	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds							XXX								XXX	XXX	XXX			XXX	XXX
6099999. Subtotal - SVO Identified Funds							XXX								XXX	XXX	XXX			XXX	XXX
6599999. Subtotal - Unaffiliated Bank Loans							XXX								XXX	XXX	XXX			XXX	XXX
7699999. Total - Issuer Obligations						132,795,951	XXX	151,229,012	125,798,608	130,421,758		(380,262)	242,807		XXX	XXX	XXX	1,439,199	5,488,135	XXX	XXX

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
7799999. Total - Residential Mortgage-Backed Securities						11,481,792	XXX	11,525,641	12,434,685	10,940,382		(75,389)			XXX	XXX	XXX	52,650	615,985	XXX	XXX
7899999. Total - Commercial Mortgage-Backed Securities						12,160,082	XXX	12,707,093	12,000,000	12,115,028		(15,774)			XXX	XXX	XXX	39,383	475,662	XXX	XXX
7999999. Total - Other Loan-Backed and Structured Securities						14,888,150	XXX	14,861,310	15,105,838	14,921,038		3,604			XXX	XXX	XXX	90,853	645,476	XXX	XXX
8099999. Total - SVO Identified Funds							XXX								XXX	XXX	XXX			XXX	XXX
8199999. Total - Affiliated Bank Loans							XXX								XXX	XXX	XXX			XXX	XXX
8299999. Total - Unaffiliated Bank Loans							XXX								XXX	XXX	XXX			XXX	XXX
8399999 - Total Bonds						171,325,975	XXX	190,323,056	165,339,131	168,398,206		(467,821)	242,807		XXX	XXX	XXX	1,622,085	7,225,258	XXX	XXX

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:
1A ..\$10,675,003 1B ..\$10,595,880 1C ..\$5,566,624 1D ..\$29,921,656 1E ..\$9,203,438 1F ..\$17,617,233 1G ..\$9,404,857
2A ..\$24,738,381 2B ..\$26,920,969 2C ..\$19,480,004
3A ..\$1,745,154 3B ..\$1,357,115 3C ..\$997,504
4A ..\$ 4B ..\$159,414 4C ..\$
5A ..\$ 5B ..\$ 5C ..\$
6\$14,974

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned

N O N E

Schedule D - Part 2 - Section 2 - Common Stocks Owned

N O N E

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
64990G-UE-0	NEW YORK ST DORM AUTH REVENUES HIGHER EDUCATION 3.439% 07/01/42		08/06/2020	RAYMOND JAMES & ASSOCIATES		517,835	500,000	1,863
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						517,835	500,000	1,863
01179R-G8-0	ALASKA ST MUNI BOND BANK AUTH 2010B-TAXABLE-SER THREE 5.432% 10/01/30		07/20/2020	Tax Free Exchange		445,000	445,000	7,319
13077D-KS-0	CALIFORNIA ST UNIV REVENUE HIGHER EDUCATION 2.965% 11/01/39		12/10/2020	PIPER SANDLER & CO		2,068,060	2,000,000	7,083
219764-SX-6	CORONA-NORCO CA UNIF SCH DIST SCHOOL DISTRICT 2.794% 08/01/44		08/05/2020	WELLS FARGO		2,050,940	2,000,000	310
575831-FX-5	MASSACHUSETTS ST CLG BLDG AUTH HIGHER EDUCATION 2.972% 05/01/40		08/05/2020	RAYMOND JAMES & ASSOCIATES		2,060,260	2,000,000	5,944
57584F-MN-7	MASSACHUSETTS ST DEV FIN AGY S GENERAL OBLIGATION 2.350% 05/01/37		12/10/2020	PIPER SANDLER & CO		2,019,600	2,000,000	1,567
57600D-ZW-6	MASSACHUSETTS ST SCH BLDG AUTH EDUCATION 2.950% 05/15/43		12/10/2020	RAYMOND JAMES & ASSOCIATES		2,072,360	2,000,000	10,817
71884A-GS-2	PHOENIX AZ CIVIC IMPT CORP EXC GENERAL 2.564% 07/01/40		08/05/2020	PIPER SANDLER & CO		2,000,000	2,000,000	
722647-MN-1	PINE ISLAND MN INDEP SCH DIST# SCHOOL DISTRICT 2.500% 02/01/42		12/17/2020	PIPER SANDLER & CO		1,282,905	1,290,000	
722647-MP-6	PINE ISLAND MN INDEP SCH DIST# SCHOOL DISTRICT 2.600% 02/01/44		12/17/2020	PIPER SANDLER & CO		497,085	500,000	
882806-HT-2	TEXAS ST TECH UNIV REVENUES HIGHER EDUCATION 2.748% 02/15/40		08/05/2020	WELLS FARGO		2,058,640	2,000,000	1,221
916544-FD-6	UPPER SANTA CLARA VY CA JT PWR GENERAL 2.621% 08/01/40		08/05/2020	PIPER SANDLER & CO		2,034,120	2,000,000	2,039
959452-MA-8	WISTRN MI SCH DIST SCHOOL DISTRICT 3.001% 05/01/42		08/07/2020	PIPER SANDLER & CO		1,866,447	1,770,000	21,247
3199999. Subtotal - Bonds - U.S. Special Revenues						20,455,417	20,005,000	57,547
12326D-AA-2	Business Jet Securities, LLC SERIES 20191 CLASS A 4.212% 07/15/34		03/11/2020	ROBERT W. BAIRD		538,623	534,842	1,752
36258F-AA-7	GS Mortgage-Backed Securities SERIES 2020PJ1 CLASS A1 3.500% 05/25/50		02/18/2020	GOLDMAN SACHS & CO		307,602	300,420	555
36262D-AA-6	GS Mortgage-Backed Securities SERIES 2020PJ2 CLASS A1 3.500% 07/25/50		02/18/2020	GOLDMAN SACHS & CO		505,387	493,964	1,297
46591T-AC-8	JP MORGAN MORTGAGE TRUST SERIES 20202 CLASS A3 3.500% 07/25/50		02/19/2020	JP MORGAN SECURITIES		448,497	438,159	1,150
81748A-AA-2	SEQUOIA MORTGAGE TRUST SERIES 20203 CLASS A1 3.000% 04/25/50		03/06/2020	MORGAN STANLEY		414,560	406,183	609
81748K-AA-0	SEQUOIA MORTGAGE TRUST SERIES 20202 CLASS A1 3.500% 03/25/50		02/13/2020	MORGAN STANLEY		521,905	509,331	990
949831-AA-9	Wells Fargo Mortgage Backed Se SERIES 20193 CLASS A1 3.500% 10/25/49		02/18/2020	WELLS FARGO		286,182	279,543	516
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						3,022,756	2,962,442	6,869
8399997. Total - Bonds - Part 3						23,996,008	23,467,442	66,279
8399998. Total - Bonds - Part 5						3,024,356	2,914,261	21,410
8399999. Total - Bonds						27,020,364	26,381,703	87,689
8999997. Total - Preferred Stocks - Part 3							XXX	
8999998. Total - Preferred Stocks - Part 5							XXX	
8999999. Total - Preferred Stocks							XXX	
9799997. Total - Common Stocks - Part 3							XXX	
9799998. Total - Common Stocks - Part 5							XXX	
9799999. Total - Common Stocks							XXX	
9899999. Total - Preferred and Common Stocks							XXX	
9999999 - Totals						27,020,364	XXX	87,689

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Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi- fication	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con- sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date
033717-KT-5	ANDERSON CNTY SC SCH DIST #1 BUILD AMERICA BONDS-SER A 6.000% 03/01/29		03/03/2020	Call 100.0000		1,000,000	1,000,000	1,010,860	1,000,239		(226)		(226)		1,000,013		(13)	(13)	30,333	03/01/2029
094077-KU-7	BLOOMFIELD NM SCH DIST #6 QUALIFIED SCH CONSTR BDS-BLOOM 5.851% 08/01/27		03/26/2020	WELLS FARGO		1,009,050	1,000,000	1,000,000	1,000,000						1,000,000		9,050	9,050	38,844	08/01/2027
350830-BX-2	FOUNTAINDALE IL PUBLIC LIBRARY BUILD AMERICA BONDS-TAXABLE-LI 5.800% 02/01/28		02/03/2020	Call 100.0000		900,000	900,000	906,750	903,754		12		12		903,766		(3,766)	(3,766)	26,100	02/01/2028
429740-U2-7	HIGH POINT NC TAXABLE-PUB IMPT-SER B-BUILD 5.675% 06/01/30		03/26/2020	WELLS FARGO		1,686,686	1,680,000	1,680,000	1,680,000						1,680,000		6,686	6,686	31,515	06/01/2030
494890-YN-0	KING CNTY WA SCH DIST #210 FED BUILD AMERICA BONDS- 5.750% 12/01/29		01/02/2020	Call 100.0000		500,000	500,000	500,000	500,000						500,000				2,476	12/01/2029
609694-CX-0	MONMOUTH OR TAXABLE-MINET PROJ-SER B 5.744% 06/01/28		03/17/2020	PIPER SANDLER & CO		1,007,380	1,000,000	1,000,000	1,000,000						1,000,000		7,380	7,380	17,232	06/01/2028
969080-EW-6	WILL GRUNDY ETC CNTYS IL CMNTY BUILD AMERICA BONDS-TXB-B 6.650% 01/01/26		01/30/2020	Redemption 100.0000		500,000	500,000	510,470	500,148		(148)		(148)		500,000				19,303	01/01/2026
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						6,603,116	6,580,000	6,608,080	6,584,141		(362)		(362)		6,583,779		19,337	19,337	165,803	XXX
01030L-B3-3	ALABAMA ST INCENTIVES FING AUT TAXABLE-SER B 6.042% 09/01/29		09/01/2020	Redemption 100.0000		25,000	25,000	25,105	25,045		(45)		(45)		25,000				1,511	09/01/2029
01179P-N3-7	ALASKA ST MUNI BOND BANK AUTH 2010B-TAXABLE-SER THREE 5.432% 10/01/30		07/20/2020	Tax Free Exchange		1,000,000	1,000,000	1,000,000	1,000,000						1,000,000				43,607	10/01/2030
247508-ET-7	DELTA CO SALES & USE TAX REVEN BUILD AMERICA BONDS-SER B 5.231% 12/01/25		03/19/2020	PIPER SANDLER & CO		1,020,920	1,000,000	1,000,000	1,000,000						1,000,000		20,920	20,920	16,274	12/01/2025
31392F-XU-6	FEDERAL NATL MTG ASSN FHR 2002-88 AL 5.500% 12/25/22		12/01/2020	Paydown		7,400	7,400	7,400	7,400						7,400				212	12/25/2022
31392X-NF-1	FED HOME LOAN MTG CORP FHR 2523 PH 5.500% 11/15/32		12/01/2020	Paydown		5,417	5,417	5,376	5,398		19		19		5,417				144	11/15/2032
314120-4X-4	FNMA FN 932438 4.000% 01/01/25		12/01/2020	Paydown		15,889	15,889	16,095	15,889		(69)		(69)		15,889				319	01/01/2025
383730-5Z-0	GNMA GNR 2003-46 PC 5.000% 06/20/33		12/01/2020	Paydown		289,045	289,045	278,432	284,465		4,580		4,580		289,045				8,281	06/20/2033
38374H-J8-4	GNMA GNR 2004-72 PN 5.500% 09/20/34		12/01/2020	Paydown		80,766	80,766	80,766	80,766						80,766				2,579	09/20/2034
452024-GS-5	ILLINOIS ST MUNI ELEC AGY PWR BUILD AMERICA BONDS 6.128% 02/01/21		11/06/2020	PIPER SANDLER & CO		505,000	500,000	500,500	500,062		(49)		(49)		500,013		4,987	4,987	39,066	02/01/2021
563039-AK-2	MANHATTAN KS SALES TAX SPL OBL BUILD AMERICA BONDS TAXABLE-DO 6.515% 12/01/26		12/01/2020	Redemption 100.0000		95,000	95,000	96,306	95,578		(578)		(578)		95,000				6,189	12/01/2026
59455T-Q3-9	MICHIGAN ST MUNI BOND AUTH BUILD AMERICA BONDS-TAXABLE-LO 6.250% 05/01/25		05/01/2020	Call 100.0000		125,000	125,000	125,000	125,000						125,000				3,906	05/01/2025
647421-DA-1	NEW MEXICO ST UNIV RGTS IMPT R BUILD AMERICA BONDS-TAXABLE-B- 6.124% 04/01/30		04/01/2020	Call 100.0000		320,000	320,000	320,000	320,000						320,000				9,798	04/01/2030
647421-DG-8	NEW MEXICO ST UNIV RGTS IMPT R BUILD AMERICA BONDS-TAXABLE-B- 6.124% 04/01/30		04/01/2020	Call 100.0000		430,000	430,000	430,000	430,000						430,000				13,167	04/01/2030
735351-CJ-5	PORT SAINT LUCIE FL STORMWATER BUILD AMERICA BONDS-RECOVERY Z 6.516% 05/01/26		05/01/2020	Call 100.0000		750,000	750,000	750,000	750,000						750,000				24,435	05/01/2026
84774B-BA-0	SPEEDWAY IN REDEV AUTH LEASE R TAXABLE-A-BUILD AMERICA BONDS 5.876% 02/01/25		09/10/2020	WELLS FARGO		1,139,030	1,000,000	1,000,000	1,000,000						1,000,000		139,030	139,030	65,289	02/01/2025
914460-FF-2	UNIV OF MINNESOTA MN BUILD AMERICA BONDS-TAXABLE-SE 5.768% 02/01/30		02/03/2020	Call 100.0000		540,000	540,000	540,000	540,000						540,000				15,574	02/01/2030
95648X-AM-7	WEST VIRGINIA ST ECON DEV AUTH TAXABLE 5.370% 07/01/20		07/01/2020	Redemption 100.0000		175,000	175,000	178,500	175,277		(277)		(277)		175,000				9,398	07/01/2020
3199999. Subtotal - Bonds - U.S. Special Revenues						6,523,467	6,358,517	6,353,480	6,354,948		3,581		3,581		6,358,530		164,937	164,937	259,749	XXX
02147L-AM-5	COUNTRYWIDE ALTERNATIVE LN TR CIALT 2006-22R 2A2 6.250% 05/25/36		12/01/2020	Paydown		22,395	33,773	20,661	18,050		4,344		4,344		22,395				1,328	05/25/2036
04015U-AL-2	ARES CLO LTD ARES 2015-38A DR 2.718% 04/20/30		11/18/2020	CITIGROUP GLOBAL MARKETS		456,250	500,000	500,000	500,000						500,000		(43,750)	(43,750)	20,412	04/20/2030
048677-AB-4	ATLANTIC MARINE CORP ATLMAR 5.343 12/01/50		12/01/2020	Redemption 100.0000		4,180	4,180	3,494	3,589		591		591		4,180				169	12/01/2050
059475-AB-9	BANC OF AMERICA ALT LN TR BOAA 2007-2 1A2 5.500% 06/25/37		12/01/2020	Paydown		10,714	12,802	9,959	9,148		1,566		1,566		10,714				467	06/25/2037

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										11	12	13	14	15						
CUSIP Identi- fication	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con- sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date
05950N-BH-0	BANC OF AMERICA FUNDING CORP BAFC 2006-5 3A4 5.750% 09/25/36		12/01/2020	Paydown		102,387	103,681	103,309	103,295		(908)		(908)		102,387				3,859	09/25/2036
059529-AJ-6	BANC OF AMERICA FUNDING CORP BAFC 2007-7 3A2 4.872% 09/25/37		12/01/2020	Paydown		49,936	70,687	54,634	48,501		1,435		1,435		49,936				1,650	09/25/2037
124857-AM-5	CBS CORP VIAC 3.7 08/15/24 3.700% 08/15/24		12/01/2020	GOLDMAN SACHS & CO. CITIGROUP GLOBAL		822,630	750,000	733,830	741,120		1,646		1,646		742,765		79,865	79,865	36,075	08/15/2024
12532B-AG-2	CFRE COMM MTGE TRUST CFRE 2016-C7 C 4.429% 12/10/54		11/18/2020	MARKETS		417,500	500,000	480,892	485,512		1,412		1,412		486,924		(69,424)	(69,424)	21,882	12/10/2054
125523-AN-0	CIGNA CORP C1 4 02/15/22 4.000% 02/15/22 CIGNA CORP C1 4 3/4 11/15/21 4.750%		03/19/2020	Call 105.0340		525,170	500,000	495,570	495,959		389		389		496,348		3,652	3,652	37,059	02/15/2022
125523-BN-9	11/15/21 CREDIT SUISSE MTGE TRUST CSMC 2016-NXSR B		03/31/2020	Call 103.8772		779,079	750,000	750,796	750,743		(96)		(96)		750,647		(647)	(647)	61,087	11/15/2021
12594P-BA-8	4.251% 12/15/49 CREDIT SUISSE MTGE TRUST CSMC 2016-NXSR C		12/10/2020	BARCLAYS CAPITAL GROUP CITIGROUP GLOBAL		470,313	500,000	514,997	510,884		(1,397)		(1,397)		509,487		(39,175)	(39,175)	22,022	12/15/2049
12594P-BB-6	4.503% 12/15/49 COUNTRYWIDE ALT LN TR CIALT 2004-300B 2A3		12/17/2020	MARKETS		412,656	500,000	484,169	488,544		1,577		1,577		490,121		(77,465)	(77,465)	23,365	12/15/2049
12667F-J4-8	5.750% 02/25/35 COUNTRYWIDE ALT LN TR CIALT 2005-25T1 A5		12/01/2020	Paydown		409,987	444,846	404,324	397,459		12,527		12,527		409,987				15,271	02/25/2035
12667G-RF-2	5.750% 07/25/35 COUNTRYWIDE ALT LN TR CIALT 2006-4CB 1A6		12/01/2020	Paydown		156,947	175,898	156,662	131,187		25,761		25,761		156,947				6,801	07/25/2035
12668B-PS-6	6.000% 04/25/36 COUNTRYWIDE ALT LN TR CIALT 2006-9T1 A2		12/01/2020	Paydown		59,956	64,066	50,743	35,279		24,677		24,677		59,956				2,177	04/25/2036
12668B-VB-6	5.750% 05/25/36 CARLYLE GLOBAL MARKET STRATEGI CGMS 2017-4A		12/01/2020	Paydown		79,797	93,780	72,200	50,095		29,702		29,702		79,797				3,303	05/25/2036
14315B-AG-1	C 3.037% 01/15/30 CARPENTER TECHNOLOGY CRS 5.2 07/15/21		11/19/2020	MARKETS		452,500	500,000	500,000	500,000						500,000		(47,500)	(47,500)	22,641	01/15/2030
144285-AJ-2	5.200% 07/15/21 CHASE MORTGAGE FINANCE CORP CHASE 2007-S3		08/24/2020	Call 104.1960		416,784	400,000	384,888	397,013		1,227		1,227		398,240		1,760	1,760	39,837	07/15/2021
16163H-AQ-4	1A15 6.000% 05/25/37 CITIGROUP COMMERCIAL MTGE TRUS CGOINT 2016-P6		12/01/2020	Paydown Performance Trust		91,116	96,962	76,099	64,742		26,373		26,373		91,116				2,706	05/25/2037
17291E-BA-8	C 4.279% 12/10/49 CITIGROUP MORTGAGE LOAN TRUST CMLT1 2010-6		11/06/2020	Capital		476,101	500,000	482,581	486,437		1,186		1,186		487,623		(11,522)	(11,522)	19,976	12/10/2049
17316Y-AG-3	4A1 5.500% 12/25/36 DELL EQUIPMENT FINANCE TRUST DEFT 2017-1 D		04/01/2020	Paydown		16,251	16,251	16,170	16,189		62		62		16,251				158	12/25/2036
24703F-AF-3	3.440% 04/24/23 DELTA AIR LINES DAL 6.821 08/10/22 6.821%		03/23/2020	Call 100.0000 Redemption		500,000	500,000	499,931	499,993		7		7		500,000				4,300	04/24/2023
247367-BH-7	08/10/22 DRIVE AUTO RECIEVABLES TRUST DRIVE 2017-BA D		08/10/2020	100.0000		22,763	22,763	22,991	22,838		(75)		(75)		22,763				1,287	08/10/2022
26208D-AF-3	3.720% 10/17/22 ECOLAB INC ECL 4.35 12/08/21 4.350%		12/15/2020	Paydown		286,705	286,705	286,698	286,705						286,705				5,114	10/17/2022
278865-AL-4	12/08/21 FLOWSERVE CORPORATION FLS 3 1/2 09/15/22		09/14/2020	Call 104.7543		427,398	408,000	449,090	418,283		(3,668)		(3,668)		414,616		(6,616)	(6,616)	32,906	12/08/2021
34354P-AC-9	3.500% 09/15/22 GSR MORTGAGE LOAN TRUST GSR 2005-1F 2A2		12/11/2020	MORGAN STANLEY		760,599	737,000	704,321	725,660		3,854		3,854		729,514		31,085	31,085	32,172	09/15/2022
36242D-VE-0	6.000% 02/25/35 GILEAD SCIENCES INC GILD 4 1/2 04/01/21		11/01/2020	Paydown		54,845	54,845	55,153	54,857		(13)		(13)		54,845				2,023	02/25/2035
375558-AQ-6	4.500% 04/01/21 GREAT RIVER ENERGY GRRIVE 6.254 07/01/38		01/04/2020	Call 100.0000 Redemption		1,000,000	1,000,000	1,026,525	1,003,476		(28)		(28)		1,003,448		(3,448)	(3,448)	45,000	04/01/2021
39121J-AE-0	6.254% 07/01/38 GREAT RIVER ENERGY GRRIVE 4.478 07/01/30		07/01/2020	100.0000 Redemption		19,512	19,512	19,463	19,485		26		26		19,512				1,220	07/01/2038
39121J-AH-3	4.478% 07/01/30 HP INC HPQ 4.3 06/01/21 4.300% 06/01/21		07/01/2020	100.0000 Call		28,000	28,000	26,510	27,029		971		971		28,000				1,254	07/01/2030
428236-BM-4	RESIDENTIAL ASSET SEC TR RAST 2005-A7 A3 5.500% 06/25/35		07/22/2020	Call 103.3934		1,033,934	1,000,000	1,016,750	1,002,946		(1,142)		(1,142)		1,001,804		(1,804)	(1,804)	61,526	06/01/2021
45660L-NQ-8	JP MORGAN MORTGAGE TRUST SERIES 2019B CLASS A3 3.500% 03/25/50		11/01/2020	Paydown		21,023	19,609	18,654	17,630		3,392		3,392		21,023				678	06/25/2035
46591K-AC-7			12/01/2020	Paydown		326,443	326,443	330,677	330,690		(4,247)		(4,247)		326,443				6,117	03/25/2050

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										11	12	13	14	15						
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46645U-AZ-0	JPMORGAN CHASE COMM MTGE JPMCC 2016-JP4 C 3.557% 12/15/49		12/16/2020	MORGAN STANLEY		497,656	500,000	448,487	460,022		4,016		4,016		464,038		33,619	33,619	18,285	12/15/2049
487312-AA-8	KEENAN FT DETRIKOK ENERGY KEENAN 6.093 05/15/33 6.093% 05/15/33		11/15/2020	Redemption 100.0000		2,716	2,716	2,648	2,672		44		44		2,716				125	05/15/2033
494550-BE-5	KINDER MORGAN ENER PART KMI 5.3 09/15/20 5.300% 09/15/20		09/15/2020	Maturity		400,000	400,000	431,792	403,042		(3,042)		(3,042)		400,000				21,200	09/15/2020
571748-BH-4	MARSH & MCLENNAN COS INC MMC 4 3/4 03/15/39 4.750% 03/15/39		12/01/2020	GOLDMAN SACHS & CO.		955,433	715,000	827,777	826,005		(3,912)		(3,912)		822,094		133,339	133,339	41,321	03/15/2039
576434-V6-8	MASTR ALTERNATE LOAN TRUST MALT 2005-6 1A2 5.500% 12/25/35		12/01/2020	Paydown		41,267	41,891	37,826	37,696		3,571		3,571		41,267				1,271	12/25/2035
576434-YL-2	MASTR ALTERNATE LOAN TRUST MALT 2004-12 5A4 6.000% 12/25/34		12/01/2020	Paydown		909,340	909,340	934,473	915,017		(5,677)		(5,677)		909,340				12,995	12/25/2034
59524E-AB-8	MID-ATLANTIC MILITARY CO MIDATL 5.24 08/01/50 5.240% 08/01/50		08/01/2020	Redemption 100.0000		4,627	4,627	3,288	3,468		1,159		1,159		4,627				176	08/01/2050
61980A-AC-7	MOTIVA ENTERPRISES LLC MTVD 5 3/4 01/15/20 5.750% 01/15/20		01/15/2020	Maturity		149,000	149,000	148,708	148,999		1		1		149,000				4,284	01/15/2020
629568-AV-8	NABORS INDUSTRIES INC NBR 5 09/15/20 5.000% 09/15/20		09/15/2020	Maturity		116,000	116,000	113,021	115,726		274		274		116,000				5,800	09/15/2020
629568-AX-4	NABORS INDUSTRIES INC NBR 4 5/8 09/15/21 4.625% 09/15/21		12/01/2020	GOLDMAN SACHS & CO.		44,265	52,000	52,097	52,021		(11)		(11)		52,010		(7,745)	(7,745)	2,926	09/15/2021
629568-AX-4	NABORS INDUSTRIES INC NBR 4 5/8 09/15/21 4.625% 09/15/21		01/22/2020	Call 102.2500		458,080	448,000	448,833	448,180		(6)		(6)		448,174		(174)	(174)	17,390	09/15/2021
651639-AN-6	NEWMONT MINING CORP NEM 3 1/2 03/15/22 3.500% 03/15/22		03/19/2020	Call 105.4780		949,302	900,000	897,327	899,262		70		70		899,332		668	668	65,402	03/15/2022
655664-AT-7	NORDSTROM INC JNIN 4 3/8 04/01/30 4.375% 04/01/30		12/11/2020	MORGAN STANLEY		995,000	1,000,000	998,880	998,880		63		63		998,943		(3,943)	(3,943)	48,368	04/01/2030
68389X-BE-4	ORACLE CORP ORCL 3.9 05/15/35 3.900% 05/15/35		12/10/2020	BANK OF AMERICA		1,205,530	1,000,000	1,076,390	1,074,709		(3,784)		(3,784)		1,070,925		134,605	134,605	42,142	05/15/2035
694476-AC-6	PACIFIC LIFEORP PACLIF 6 02/10/20 6.000% 02/10/20		02/10/2020	Maturity		180,000	180,000	192,424	180,190		(190)		(190)		180,000				5,400	02/10/2020
720186-AE-5	PIEDMONT NATURAL GAS CO DUK 6 12/19/33 6.000% 12/19/33		12/10/2020	MORGAN STANLEY		641,449	490,000	519,400	511,943		(1,024)		(1,024)		510,919		130,530	130,530	42,712	12/19/2033
82652N-AC-2	SIERRA RECEIVABLES FUNDING CO SERIES 20193A CLASS C 3.000% 07/15/38		12/23/2020	Paydown		179,474	179,474	179,456	179,457		17		17		179,474				2,458	07/15/2038
89233P-7J-9	TOYOTA MOTOR CREDIT CORP TOYOTA 3 02/04/33 3.000% 02/04/33		02/04/2020	Call 100.0000		750,000	750,000	744,375	745,948		23		23		745,971		4,029	4,029	11,250	02/04/2033
92553P-AJ-1	VIACOM INC VIAC 3 7/8 12/15/21 3.875% 12/15/21		07/10/2020	Call 104.8640		786,480	750,000	741,173	747,989		525		525		748,514		1,486	1,486	53,029	12/15/2021
95000P-AL-8	WELLS FARGO COMM MTGE TRUST WFCM 2016-C37 C 4.489% 12/15/49		11/16/2020	Performance Trust Capital		516,016	500,000	484,467	487,891		1,080		1,080		488,970		27,045	27,045	22,048	12/15/2049
04016P-AG-3	ARES CLO LTD ARES 2017-43A D 3.977% 10/15/29	D	11/09/2020	BNP PARIBAS		476,875	500,000	499,500	502,152		(476)		(476)		501,676		(24,801)	(24,801)	27,321	10/15/2029
04363U-AB-2	ASCIANO FINANCE LTD PNHAW 4 5/8 09/23/20 4.625% 09/23/20	D	09/23/2020	Maturity		250,000	250,000	255,235	250,552		(552)		(552)		250,000				11,563	09/23/2020
04941V-AJ-4	ATLAS SENIOR LOAN FUND LTD ATOLO 2018-9A D 2.768% 04/20/28	D	11/12/2020	BARCLAYS CAPITAL GROUP		435,000	500,000	500,000	500,000						500,000		(65,000)	(65,000)	20,533	04/20/2028
12479L-AA-8	CAL FUNDING II LTD CAI 2012-1A A 3.470% 10/25/27	D	04/27/2020	Call 100.0000		258,333	258,333	258,278	258,301		3		3		258,304		29	29	2,988	10/25/2027
12479L-AA-8	CAL FUNDING II LTD CAI 2012-1A A 3.470% 10/25/27	D	03/25/2020	Paydown		25,000	25,000	24,995	24,997		3		3		25,000				145	10/25/2027
55820R-AG-7	MADISON PARK FUNDING LTD MDPK 2017-25A C 3.807% 04/25/29	D	11/18/2020	GOLDMAN SACHS & CO.		481,250	500,000	500,000	500,000						500,000		(18,750)	(18,750)	26,036	04/25/2029
64130H-AJ-0	NEUBERGER BERMAN CLO LTD NEUB 2017-24A B2 3.822% 04/19/30	D	03/12/2020	Call 100.0000		500,000	500,000	499,906	499,945						499,944		56	56	7,485	04/19/2030
87938W-AM-5	TELEFONICA EMISIONES SAU TELEFO 5.134 04/27/20 5.134% 04/27/20	D	04/27/2020	Maturity		500,000	500,000	486,555	499,417		583		583		500,000				12,835	04/27/2020

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identi- fication	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con- sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					23,421,964	23,041,184	23,060,052	22,917,819		123,909		123,909		23,041,732		160,004	160,004	1,063,330	XXX
8399997	Total - Bonds - Part 4					36,548,547	35,979,701	36,021,612	35,856,908		127,128		127,128		35,984,041		344,278	344,278	1,488,882	XXX
8399998	Total - Bonds - Part 5					2,972,561	2,914,261	3,024,356			(30,454)		(30,454)		2,993,902		(21,341)	(21,341)	50,649	XXX
8399999	Total - Bonds					39,521,108	38,893,962	39,045,968	35,856,908		96,674		96,674		38,977,943		322,937	322,937	1,539,531	XXX
8999997	Total - Preferred Stocks - Part 4						XXX													XXX
8999998	Total - Preferred Stocks - Part 5						XXX													XXX
8999999	Total - Preferred Stocks						XXX													XXX
9799997	Total - Common Stocks - Part 4						XXX													XXX
9799998	Total - Common Stocks - Part 5						XXX													XXX
9799999	Total - Common Stocks						XXX													XXX
9899999	Total - Preferred and Common Stocks						XXX													XXX
9999999	Totals					39,521,108	XXX	39,045,968	35,856,908		96,674		96,674		38,977,943		322,937	322,937	1,539,531	XXX

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE
COMPANY OF NEW YORK

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Identi- fication	Description	For- eign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consid- eration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort- ization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
01179R-G5-6	ALASKA ST MUNI BOND BANK AUTH 2010B- TAXABLE-SER THREE 5.432% 10/01/30		07/20/2020	Tax Free Exchange	10/01/2020	Call	100.0000	555,000	555,000	555,000									15,074	9,128
914072-5C-3	UNIV OF ARKANSAS AR UNIV REVEN HIGHER EDUCATION 3.301% 11/01/39		08/10/2020	PIPER SANDLER & CO	08/25/2020	PIPER SANDLER & CO		1,000,000	1,079,940	1,058,300		(299)		(299)			(21,341)	(21,341)	10,545	9,261
3199999. Subtotal - Bonds - U.S. Special Revenues								1,555,000	1,634,940	1,613,300		(299)		(299)			(21,341)	(21,341)	25,619	18,389
12326Q-AA-2	Business Jet Securities, LLC SERIES 20191 CLASS A 4.212% 07/15/34		03/11/2020	ROBERT W. BAIRD	12/15/2020	Paydown		112,345	113,140	112,345		(794)		(794)					2,272	368
36258F-AA-7	GS Mortgage-Backed Securities SERIES 2020PJ1 CLASS A1 3.500% 05/25/50		02/18/2020	GOLDMAN SACHS & CO.	12/01/2020	Paydown		196,051	200,738	196,051		(4,687)		(4,687)					3,531	362
36262D-AA-6	GS Mortgage-Backed Securities SERIES 2020PJ2 CLASS A1 3.500% 07/25/50		02/18/2020	GOLDMAN SACHS & CO.	12/01/2020	Paydown		206,036	210,800	206,036		(4,765)		(4,765)					4,100	541
46591T-AC-8	JP MORGAN MORTGAGE TRUST SERIES 20202 CLASS A3 3.500% 07/25/50		02/19/2020	JP MORGAN SECURITIES	12/01/2020	Paydown		261,841	268,019	261,841		(6,178)		(6,178)					5,067	687
81748A-AA-2	SEQUOIA MORTGAGE TRUST SERIES 20203 CLASS A1 3.000% 04/25/50		03/06/2020	MORGAN STANLEY	12/01/2020	Paydown		93,817	95,752	93,817		(1,935)		(1,935)					1,410	141
81748K-AA-0	SEQUOIA MORTGAGE TRUST SERIES 20202 CLASS A1 3.500% 03/25/50		02/13/2020	MORGAN STANLEY	12/01/2020	Paydown		190,669	195,376	190,669		(4,707)		(4,707)					3,862	371
949831-AA-9	Wells Fargo Mortgage Backed Se SERIES 20193 CLASS A1 3.500% 10/25/49		02/18/2020	WELLS FARGO	12/01/2020	Paydown		298,502	305,591	298,502		(7,089)		(7,089)					4,788	551
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)								1,359,261	1,389,416	1,359,261		(30,155)		(30,155)					25,030	3,021
8399998. Total - Bonds								2,914,261	3,024,356	2,972,561		(30,454)		(30,454)			(21,341)	(21,341)	50,649	21,410
8999998. Total - Preferred Stocks																				
9799998. Total - Common Stocks																				
9899999. Total - Preferred and Common Stocks																				
9999999 - Totals								3,024,356	2,972,561	2,993,902		(30,454)		(30,454)			(21,341)	(21,341)	50,649	21,410

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

N O N E

Schedule D - Part 6 - Section 2

N O N E

Schedule DA - Part 1 - Short-Term Investments Owned

N O N E

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees as of December 31 of
Current Year

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE E - PART 1 - CASH

1	2	3	4	5	6	7
Depository	Code	Rate of Interest	Amount of Interest Received During Year	Amount of Interest Accrued December 31 of Current Year	Balance	*
CITIBANK NEW YORK, NY					297,301	XXX
JPMORGAN CHASE BANK NEW YORK, NY					19,688	XXX
STATE STREET BANK BOSTON, MA					489,536	XXX
WEST BANK WEST DES MOINES, IA					(92,164)	XXX
0199998 Deposits in ... depositories which do not exceed the allowable limit in any one depository (See instructions) - open depositories	XXX	XXX				XXX
0199999. Totals - Open Depositories	XXX	XXX			714,361	XXX
0299998 Deposits in ... depositories which do not exceed the allowable limit in any one depository (See instructions) - suspended depositories	XXX	XXX				XXX
0299999. Totals - Suspended Depositories	XXX	XXX				XXX
0399999. Total Cash on Deposit	XXX	XXX			714,361	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX		XXX
.....						
.....						
.....						
.....						
.....						
.....						
.....						
.....						
0599999 Total - Cash	XXX	XXX			714,361	XXX

TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR

1. January.....	847,914	4. April.....	448,541	7. July.....	898,084	10. October.....	253,618
2. February.....	(89,511)	5. May.....	355,261	8. August.....	825,293	11. November.....	947,156
3. March.....	1,218,312	6. June.....	544,712	9. September.....	524,738	12. December.....	714,361

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned December 31 of Current Year

Book/Adjusted Carrying Value by NAIC Designation Category Footnote:									
1A ..\$	1B ..\$	1C ..\$	1D ..\$	1E ..\$	1F ..\$	1G ..\$			
2A ..\$	2B ..\$	2C ..\$							
3A ..\$	3B ..\$	3C ..\$							
4A ..\$	4B ..\$	4C ..\$							
5A ..\$	5B ..\$	5C ..\$							
6 ...\$									

ANNUAL STATEMENT FOR THE YEAR 2020 OF THE AMERICAN EQUITY INVESTMENT LIFE INSURANCE COMPANY OF NEW YORK

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

		1	2	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
		Type of Deposit	Purpose of Deposit	3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
States, Etc.							
1.	Alabama	AL					
2.	Alaska	AK					
3.	Arizona	AZ					
4.	Arkansas	AR					
5.	California	CA					
6.	Colorado	CO					
7.	Connecticut	CT					
8.	Delaware	DE					
9.	District of Columbia	DC					
10.	Florida	FL					
11.	Georgia	GA					
12.	Hawaii	HI					
13.	Idaho	ID					
14.	Illinois	IL					
15.	Indiana	IN					
16.	Iowa	IA					
17.	Kansas	KS					
18.	Kentucky	KY					
19.	Louisiana	LA					
20.	Maine	ME					
21.	Maryland	MD					
22.	Massachusetts	MA					
23.	Michigan	MI					
24.	Minnesota	MN					
25.	Mississippi	MS					
26.	Missouri	MO					
27.	Montana	MT					
28.	Nebraska	NE					
29.	Nevada	NV					
30.	New Hampshire	NH					
31.	New Jersey	NJ					
32.	New Mexico	NM					
33.	New York	NY	B. STATUTORY REQUIREMENT			404,137	538,750
34.	North Carolina	NC					
35.	North Dakota	ND					
36.	Ohio	OH					
37.	Oklahoma	OK					
38.	Oregon	OR					
39.	Pennsylvania	PA					
40.	Rhode Island	RI					
41.	South Carolina	SC					
42.	South Dakota	SD					
43.	Tennessee	TN					
44.	Texas	TX					
45.	Utah	UT					
46.	Vermont	VT					
47.	Virginia	VA					
48.	Washington	WA					
49.	West Virginia	WV					
50.	Wisconsin	WI					
51.	Wyoming	WY					
52.	American Samoa	AS					
53.	Guam	GU					
54.	Puerto Rico	PR					
55.	U.S. Virgin Islands	VI					
56.	Northern Mariana Islands	MP					
57.	Canada	CAN					
58.	Aggregate Alien and Other	OT					
59.	Subtotal	XXX	XXX			404,137	538,750
DETAILS OF WRITE-INS							
5801.						
5802.						
5803.						
5898.	Summary of remaining write-ins for Line 58 from overflow page	XXX	XXX				
5899.	Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX				

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Schedule D - Part 3 E13

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